

1 This book is an introduction to geometric representation theory.  
2 What is geometric representation theory? It is hard to define exactly  
3 what it is as this subject is constantly growing in methods and scope.  
4 The main aim of this area is to approach representation theory which  
5 deals with symmetry and non-commutative structures by geometric  
6 methods (and also get insights on the geometry from the representa-  
7 tion theory). Here by geometry we mean any local to global situation  
8 where one tries to understand complicated global structures by gluing  
9 them from simple local structures. The main example is the Beilinson-  
10 Bernstein localization theorem. This theorem essentially says that the  
11 representation theory of a semi-simple Lie algebra (such as  $\mathfrak{sl}(n, \mathbb{C})$ ) is  
12 encoded in the geometry of its flag variety. This theorem enables the  
13 transfer of “hard” (global) problems about the universal enveloping al-  
14 gebra, to “easy” (local) problems in geometry. The Beilinson-Bernstein  
15 localization theorem has been extremely useful in solving problems in  
16 representation theory of semi-simple Lie algebras and in gaining deeper  
17 insight into the structure of representation theory as a whole. There  
18 are many more examples of geometric representation theory in action,  
19 from Deligne-Lusztig varieties to the geometric Langlands’ program  
20 and categorification.

21 The focus of this book is the Beilinson-Bernstein localization theo-  
22 rem. It follows the advice of the great mathematician Israel M. Gelfand:  
23 we only cover the case of  $\mathfrak{sl}_2$  (classical and quantum). This approach  
24 allows us to introduce many topics in a very concrete way without go-  
25 ing into the general theory. Thus we cover the Peter-Weyl theorem,  
26 the Borel-Weil theorem, the Beilinson-Bernstein theorem and much  
27 more for both the classical and quantum case. Dealing with the quan-  
28 tum case allows us also to introduce many tools from non-commutative  
29 algebraic geometry and quantum groups. These topics are usually con-  
30 sidered very advanced. To have a full understanding of them requires  
31 a good grasp of algebraic geometry, D-module theory, category theory,  
32 homological algebra and the theory of semi-simple Lie algebras. We  
33 think that by focusing on the simplest case of  $\mathfrak{sl}_2$  the student can gain  
34 much insight and intuition into the subject. A good and deep under-  
35 standing of  $\mathfrak{sl}_2$  makes the general theory much simpler to learn and  
36 appreciate.

37 This book is based on a graduate lecture course given at MIT by  
38 the second author. We are grateful to the students taking that course  
39 for sharing their notes with us as we prepared this manuscript.

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96

**Introduction**

97 In representation theory, the Lie algebra  $\mathfrak{sl}_2 := \mathfrak{sl}_2(\mathbb{C})$  comprises the  
 98 first and most important example of a semi-simple Lie algebra. In this  
 99 introductory text, which grew out of a course taught by the first au-  
 100 thor, we will walk the reader through important concepts in geometric  
 101 representation theory, as well as their quantum group analogues. Our  
 102 focus is on developing concrete examples to illustrate the geometric  
 103 notions discussed in the text. As such, we will restrict our attention  
 104 almost exclusively to  $\mathfrak{sl}_2$ , giving more general definitions only when it  
 105 is convenient or illustrative.

106 In Chapter 1, we show that the category of finite-dimensional  $\mathfrak{sl}_2$ -  
 107 modules is a semi-simple abelian category; we prove this important fact  
 108 in a way which will generalize most easily to the quantum setting in  
 109 later chapters.

110 In Chapter 2, we introduce the formalisms of Hopf algebras and  
 111 tensor categories. These capture the essential properties of algebraic  
 112 groups, their representations, and their coordinate algebras, in a way  
 113 that can be extended to the quantum setting.

114 In Chapter 3, we discuss the relation between geometry of various  
 115  $G$ -varieties and the representation theory of  $G$ . We discuss the Peter-  
 116 Weyl theorem, and obtain as a corollary the Borel-Weil theorem. We  
 117 define D-modules on  $\mathbb{P}^1$ , and we relate them to representations of  $\mathfrak{sl}_2$ :  
 118 this is the first instance of the so-called Beilinson-Bernstein localization  
 119 theorem.

120 In Chapter 4, we introduce the quantized universal enveloping al-  
 121 gebra  $U_q(\mathfrak{sl}_2)$ , and extend the results of Chapter 1 to the quantum  
 122 setting.

123 In Chapter 5, we explain the notion of a braided tensor category,  
 124 a mild generalization of the notion of a symmetric tensor category.  
 125 Braided tensor categories underlie the representation theory of  $U_q(\mathfrak{sl}_2)$   
 126 in a way analogous to the role of symmetric tensor categories in the  
 127 representation theory of  $\mathfrak{sl}_2$ .

128 In Chapter 6, we reproduce the results of Chapter 3 in the quantum  
 129 setting. We have quantum analogs of each of the Peter-Weyl, Borel-  
 130 Weil, and Beilinson-Bernstein theorems.

131 Throughout the text assume some passing familiarity with the the-  
 132 ory of Lie algebras. Two excellent introductions are Humphreys [?]  
 133 and Knapp [?].

CHAPTER 1

134

**The first classical example:  $\mathfrak{sl}_2$ .**

135

**1. The Lie algebra  $\mathfrak{sl}_2$** 

The Lie algebra  $\mathfrak{sl}_2 := \mathfrak{sl}_2(\mathbb{C})$  consists of the traceless  $2 \times 2$  matrices, with the standard Lie bracket:

$$[A, B] := AB - BA.$$

136 A standard and convenient presentation of  $\mathfrak{sl}_2(\mathbb{C})$  is given as follows.

137 We let:

$$(1) \quad E = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, F = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, H = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix},$$

Then  $\mathfrak{sl}_2$  is spanned by  $E, F$ , and  $H$ , with commutators:

$$[H, E] = 2E, \quad [H, F] = -2F, \quad [E, F] = H.$$

138 Recall that a representation of  $\mathfrak{g}$  (equivalently, a  $\mathfrak{g}$ -module) is a vec-  
139 tor space  $V$ , together with a Lie algebra homomorphism  $\rho : \mathfrak{g} \rightarrow \text{End}(V)$ .140 We will often omit  $\rho$  from notation, and write simply  $x.v$  for  $\rho(x).v$ .141 The finite-dimensional representations of  $\mathfrak{sl}_2$  are sufficiently compli-  
142 cated to be interesting, yet can be completely understood by elemen-  
143 tary means. In this chapter, we recall their classification. We begin  
144 with some examples:145 **EXAMPLE 1.1.** The defining representation. The Lie algebra  $\mathfrak{sl}_2$   
146 acts on  $\mathbb{C}^2$  by matrix multiplication.147 **EXAMPLE 1.2.** The adjoint representation. Any Lie algebra  $\mathfrak{g}$  acts  
148 on itself by  $x.y := [x, y]$ .149 **EXAMPLE 1.3.** Given any representation  $V$  of a Lie algebra  $\mathfrak{g}$ , its  
150 dual vector space  $V^*$  carries an action defined by  $(X.f)(v) = f(-X.v)$ .  
151 The corresponding representation is also denoted  $V^*$ .152 **EXAMPLE 1.4.** Given two representations  $V$  and  $W$  of  $\mathfrak{g}$ , the vector  
153 space  $V \oplus W$  carries an action of  $\mathfrak{g}$  defined by  $x(v, w) := (xv, xw)$  for  
154  $(v, w) \in V \oplus W$ , and  $x \in \mathfrak{g}$ . The corresponding representation is also  
155 denoted  $V \oplus W$ .156 **EXAMPLE 1.5.** Given two representations  $V$  and  $W$ , the vector  
157 space  $V \otimes W$  carries an action of  $\mathfrak{g}$  defined by  $x(v \otimes w) = x(v) \otimes$   
158  $w + v \otimes x(w)$ , for  $v \otimes w \in V \otimes W$ , and  $x \in \mathfrak{g}$ . The corresponding  
159 representation is also denoted  $V \otimes W$ .160 As we will see in Chapter 2, these examples make the category of  
161  $\mathfrak{g}$ -modules into an abelian tensor category with duals (see also [?]).

162

**2. Irreducible finite-dimensional modules**

163 DEFINITION 2.1. Let  $V$  be an  $\mathfrak{sl}_2$ -module. A non-zero  $v \in V$  is  
 164 a *weight vector* of weight  $\lambda$  if  $Hv = \lambda v$ . A *highest weight vector* is a  
 165 weight vector  $v$  of  $V$  such that  $Ev = 0$ . Denote by  $V_\lambda$  the subspace of  
 166 weight vectors of weight  $\lambda$ .

167 Observe that commutation relations (1) imply  $EV_\lambda \subset V_{\lambda+2}$ , and  
 168  $FV_\lambda \subset V_{\lambda-2}$ .

169 EXERCISE 2.2. Prove that every finite dimensional  $\mathfrak{sl}_2$  module has  
 170 a highest weight vector.

171 It follows that any irreducible finite dimensional representation is  
 172 generated by a highest weight vector; this fact will be the key to their  
 173 classification.

174 LEMMA 2.3. *Let  $V$  be a finite-dimensional  $\mathfrak{sl}_2$ -module, and suppose*  
 175 *there exists a highest weight vector  $v_0$ , of weight  $\lambda$ . Let  $v_i := (1/i!)F^i(v_0)$*   
 176 *(by convention,  $v_{-1} = 0$ ). Then we have that:*

$$(2) \quad Hv_i = (\lambda - 2i)v_i, \quad Fv_i = (i + 1)v_{i+1}, \quad Ev_i = (\lambda - i + 1)v_{i-1}.$$

177 PROOF. The first two relations are obvious, and the third is a  
 178 straightforward computation:

$$\begin{aligned} iEv_i &= EFv_{i-1} = [E, F]v_{i-1} + FEv_{i-1} \\ &= Hv_{i-1} + FEv_{i-1} = (\lambda - 2i + 2)v_{i-1} + (\lambda - i + 2)Fv_{i-2} \\ &= (\lambda - 2i + 2)v_{i-1} + (i - 1)(\lambda - i + 2)v_{i-1} = i(\lambda - i + 1)v_{i-1}. \end{aligned}$$

179

□

180 THEOREM 2.4. *Let  $V$  be an irreducible finite dimensional  $\mathfrak{sl}_2$ -module.*  
 181 *Then  $V$  has a unique (up to scalar) highest weight vector of weight*  
 182  *$m := \dim V - 1$ . Further,  $V$  decomposes as a direct sum of one dimen-*  
 183 *sional weight spaces of weights  $m, m - 2, \dots, 2 - m, -m$ .*

184 PROOF. It follows from Lemma 2.3 that  $\text{span}\{v_i\}_{i \in \mathbb{N}}$  is a submodule  
 185 of  $V$ , and thus all of  $V$ . We let  $m \geq 0$  be maximal such that  $v_m \neq$   
 186  $0$  (equivalently,  $v_{m+1}$  is the first which is zero). Then by the third  
 187 equation of equation (2):  $0 = Ev_{m+1} = (\lambda - m)v_m$ . Therefore we  
 188 see that  $\lambda = m$ , and that  $\dim V = m + 1$ . Further, it is immediate  
 189 that the three formulas (with  $\lambda = m$ ) define a representation of  $\mathfrak{sl}_2$   
 190 on a vector space of dimension  $m + 1$ , which we will denote  $V(m)$ .  
 191 Any such representation is irreducible, as applying  $E$  to a vector  $w$   
 192 repeatedly will eventually yield a nonzero multiple of  $v_0$ , and thus  $w$   
 193 generates all of  $V$ . □

194 We note three important examples: firstly, the trivial representation  
 195 is the weight zero irreducible. The defining representation of  $\mathfrak{sl}_2$  on 2-  
 196 space is the weight one irreducible. Finally, we note that the adjoint  
 197 representation is three dimensional of highest weight 2, and this implies  
 198 that  $\mathfrak{sl}_2$  is a simple Lie algebra.

### 199 3. The universal enveloping algebra

The universal enveloping algebra  $U(\mathfrak{g})$ , of a Lie algebra  $\mathfrak{g}$ , is the quotient of the free associative algebra on the vector space  $\mathfrak{g}$  (i.e. the tensor algebra  $T(\mathfrak{g})$ ), by the commutator relations  $a \otimes b - b \otimes a = [a, b]$ . That is,

$$U(\mathfrak{g}) := T(\mathfrak{g}) / \langle a \otimes b - b \otimes a - [a, b] \rangle.$$

200 The canonical inclusion  $\mathfrak{g} \hookrightarrow T(V)$  induces a natural map  $i : \mathfrak{g} \rightarrow$   
 201  $U(\mathfrak{g})$ . This gives rise to a functor  $U$  from Lie algebras to associative  
 202 algebras. We also have a forgetful functor  $F$  from associative algebras  
 203 to Lie algebras, given by defining  $[a, b] := ab - ba$ , and then forgetting  
 204 the associative multiplication.

205 **REMARK 3.1.** Actually, the PBW theorem implies that the map  
 206  $i : \mathfrak{g} \rightarrow U(\mathfrak{g})$  is an inclusion, but this is not needed in what follows.

207 **PROPOSITION 3.2.** *The functors  $(U, F)$  form an adjoint pair.*

208 **PROOF.** We need an isomorphism  $\phi : \text{Hom}(U(\mathfrak{g}), A) \rightarrow \text{Hom}(\mathfrak{g}, F(A))$ .  
 209 Given  $f : U(\mathfrak{g}) \rightarrow A$ , we define  $\phi(f) = f \circ i$ . It is easy to check that  
 210 this gives the required isomorphism.  $\square$

211 By the adjointness above, a  $\mathfrak{g}$ -module is the same as an associative  
 212 algebra homomorphism  $\rho : U(\mathfrak{g}) \rightarrow \text{End}(V)$ . In other words, we have  
 213 an equivalence of categories  $\mathfrak{g}\text{-Mod} \sim U(\mathfrak{g})\text{-Mod}$ . Thus we may view  
 214 representation theory of Lie algebras as a sub-branch of representation  
 215 theory of associative algebras, rather than something entirely new.

216 The universal enveloping algebra of  $\mathfrak{sl}_2$  contains an important cen-  
 217 tral element, which will feature in the next section.

**DEFINITION 3.3.** The Casimir element,  $C \in U(\mathfrak{sl}_2)$ , is given by the formula:

$$C = EF + FE + \frac{H^2}{2}.$$

218 **CLAIM 3.4.**  *$C$  is a central element of  $U(\mathfrak{sl}_2)$ .*



PROOF. It suffices to show that  $C$  commutes with the generators  $E, F, H$ . We compute:

$$\begin{aligned} [E, C] &= [E, EF] + [E, FE] + [E, \frac{H^2}{2}] \\ &= [E, E]F + E[E, F] + [E, F]E + F[E, E] \\ &\quad + \frac{1}{2}([E, H]H + H[E, H]) \\ &= EH + HE - EH - HE = 0. \end{aligned}$$

219 The bracket  $[C, F]$  is zero by a similar computation or by consideration  
220 of the automorphism switching  $E$  and  $F$  and taking  $H$  to  $-H$ .

Taking the bracket with  $H$  gives:

$$\begin{aligned} [H, C] &= [H, E]F + E[H, F] + [H, F]E + F[H, E] \\ &= 2EF - 2EF - 2FE + 2FE = 0, \end{aligned}$$

221 which proves the claim. □

222

#### 4. Semisimplicity

223 Having classified irreducible finite dimensional representations, we  
224 now wish to extend this classification to all finite dimensional repre-  
225 sentations. This is accomplished by the following:

226 **THEOREM 4.1.** *The category of finite dimensional  $\mathfrak{sl}_2$ -modules is*  
227 *semisimple: any finite dimensional  $\mathfrak{sl}_2$ -module is projective and thus*  
228 *decomposes as a direct sum of simples.*

229 In the proof of the theorem, we will use the following characteriza-  
230 tion of semi-simplicity:

231 **EXERCISE 4.2.** Show that an abelian category is semi-simple if, and  
232 only if, for every object  $X$  the functor  $Hom(X, -)$  is projective. Hint:  
233 for the “if” direction, consider an exact sequence  $0 \rightarrow U \rightarrow V \rightarrow W \rightarrow$   
234  $0$ , and apply the functor  $Hom(W, -)$  to produce the required splitting  
235  $W \rightarrow V$ .

By the exercise, we need to show that, for any finite dimensional  $\mathfrak{sl}_2$ -module  $X$ , the functor  $Hom_{\mathfrak{sl}_2}(X, -)$  is exact on finite dimensional modules. We have a natural isomorphism,

$$\phi : Hom_{\mathfrak{sl}_2}(V, W^* \otimes L) \xrightarrow{\sim} Hom_{\mathfrak{sl}_2}(V \otimes W, L).$$

$$f \mapsto \phi(f),$$

where  $\phi(f)(v \otimes w) := \langle f(v), w \rangle$ . Let  $I$  denote the trivial representation; we have a natural isomorphism,  $X \cong I \otimes X$ , for any  $X$ . Therefore we have natural isomorphisms:

$$\text{Hom}_{\mathfrak{sl}_2}(X, V) \cong \text{Hom}_{\mathfrak{sl}(2)}(I \otimes X, V) \cong \text{Hom}_{\mathfrak{sl}_2}(I, X^* \otimes V).$$

236 As these are all vector spaces, tensoring by  $X^*$  is an exact functor. So  
 237 we see that to prove the claim it suffices to show that  $\text{Hom}_{\mathfrak{sl}_2}(I, -)$  is  
 238 exact.

239 A homomorphism from the trivial module into  $V$  is simply the  
 240 choice of a vector  $v$  with the property that  $xv = 0$  for all  $x \in \mathfrak{sl}_2$ . The  
 241 set of all such  $v$  is a submodule of  $V$ , denoted  $V^{\mathfrak{sl}_2}$ , which is naturally  
 242 isomorphic to  $\text{Hom}_{\mathfrak{sl}_2}(I, V)$ . So we have reduced the above theorem  
 243 to:

244 LEMMA 4.3. *For any finite dimensional  $\mathfrak{sl}_2$ -module  $V$ , the functor*  
 245  $V \rightarrow V^{\mathfrak{sl}_2}$  *is an exact functor.*

246 The proof of this lemma will rely upon the central Casimir element  
 247  $C \in U(\mathfrak{sl}_2)$ . Note that, by Schur's lemma  $C$  will act as a scalar on any  
 248 finite dimensional irreducible  $V$ .

249 EXERCISE 4.4. If  $V$  is irreducible of highest weight  $m$ , then  $C$  acts  
 250 as scalar multiplication by  $\frac{m^2+2m}{2}$  (hint: it suffices to compute the  
 251 action of  $C$  on a highest-weight vector).

252 PROPOSITION 4.5. *Let  $V$  a finite dimensional  $\mathfrak{sl}_2$  module. If  $C^k$*   
 253 *acts as 0 on  $V$  for some  $k > 0$ , then  $\mathfrak{sl}_2$  acts trivially on  $V$ .*

254 PROOF. We proceed by induction on  $\dim V$ , the case  $\dim V = 0$   
 255 being trivial. Let  $U \subset V$  be a maximal proper submodule ( $U = 0$   
 256 is possible). By induction,  $\mathfrak{sl}_2 U = 0$ . Further,  $V/U$  is an irreducible  
 257 module, and by the above we know that  $C$  acts as a nonzero scalar  
 258 (and hence so does  $C^k$ ) on  $V/U$  unless  $V/U$  is the trivial 1 dimensional  
 259 module. Thus, for  $v \in V$ ,  $xv \in U$  for all  $x \in \mathfrak{sl}_2$  and so  $yxv = 0$   
 260 for all  $y \in \mathfrak{sl}_2$ . Therefore  $[x, y]v = 0$ ; however, since  $\mathfrak{sl}_2$  is a simple  
 261 Lie algebra, we have  $[\mathfrak{sl}_2, \mathfrak{sl}_2] = \mathfrak{sl}_2$ , and thus  $V$  is a trivial module as  
 262 required.  $\square$

263 REMARK 4.6. [?], [?] The Casimir element  $C$  may be defined for any  
 264 finite dimensional semi-simple Lie algebra, using the Killing form. It  
 265 can be shown that this is a central element which acts nontrivially on  
 266 nonzero irreducible modules.

267 Now, the following proposition finishes the argument:

268 PROPOSITION 4.7. *Let  $V$  a finite dimensional  $\mathfrak{sl}_2$  module. Then*

- 269 (1)  $\ker(C) = V^{\mathfrak{sl}_2}$ .  
 270 (2)  $\ker(C^2) \subseteq \ker(C)$ .  
 271 (3)  $V = \ker(C) \oplus \operatorname{im}(C)$ .  
 272 (4) The functor  $V \mapsto V^{\mathfrak{sl}_2}$  is exact.

PROOF. Claim (1) is immediate from Exercise 4.4 above; together with Proposition 4.5, it implies (2). We have  $\ker(C) \cap \operatorname{im}(C) = 0$ , by Claim (2), which implies (3). To see (4), we first construct a chain complex  $\tilde{V} = 0 \rightarrow V \rightarrow V \rightarrow 0$ , where the middle differential is multiplication by  $C$  (a morphism because  $C$  is central). We have  $H_1(\tilde{V}) = H_0(\tilde{V}) \cong V^{\mathfrak{sl}_2}$  by (2). Suppose we have an exact sequence of  $\mathfrak{sl}_2$ -modules  $0 \rightarrow U \rightarrow V \rightarrow W \rightarrow 0$ . Since  $C \in U(\mathfrak{sl}_2)$ , the maps necessarily commute with the differentials to give an exact sequence of the complexes:

$$0 \rightarrow \tilde{U} \xrightarrow{i} \tilde{V} \xrightarrow{j} \tilde{W} \rightarrow 0.$$

We apply the snake lemma to obtain the long exact sequence,

$$0 \rightarrow U_0 \xrightarrow{i_1} V^{\mathfrak{sl}_2} \xrightarrow{j_1} W^{\mathfrak{sl}_2} \xrightarrow{\delta} U^{\mathfrak{sl}_2} \xrightarrow{i_0} V^{\mathfrak{sl}_2} \xrightarrow{j_0} W^{\mathfrak{sl}_2} \rightarrow 0.$$

273 Further, the induced map  $i_0 : U^{\mathfrak{sl}_2} \rightarrow V^{\mathfrak{sl}_2}$  may be identified with  
 274 the restriction of the original map  $U \rightarrow W$ . By assumption this was  
 275 injective, and so  $\operatorname{im}(\delta) = 0$  and the induced right-hand sequence of  
 276 invariants is exact as required.  $\square$

277 REMARK 4.8. The above proof can be slightly modified to apply to  
 278 a general semi-simple Lie algebra with Casimir element  $C$ .

279 While Proposition 4.7 guarantees that a general  $V$  can be split into  
 280 a direct sum of simple  $\mathfrak{sl}_2$  modules, the following is a more explicit  
 281 algorithm for constructing the decomposition.

- 282 (1) Decompose  $V = \bigoplus V_{(m)}$ , where  $V_{(m)}$  denotes the eigenspace for  
 283 the operator  $C$  with eigenvalue  $m^2 + 2m$   
 284 (2) Within each  $V_{(m)}$ , choose a basis  $\{v_i\}_{i=1}^k$  for the  $\lambda = m$ -weight  
 285 space.  
 286 (3) Set  $V_{(m),i} = \mathfrak{sl}_2 v_i$ , which will be an  $m$  dimensional space by  
 287 our characterization above.  
 288 (4) Then  $V = \bigoplus_m (\bigoplus_i V_{(m),i})$  is a decomposition into simple mod-  
 289 ules.

## 290 5. Characters

291 The representation theory of  $\mathfrak{sl}_2$  admits a powerful theory of char-  
 292 acters, analogous to that of finite groups. Computing characters allows  
 293 us to easily determine the isomorphism type of any finite-dimensional  
 294  $\mathfrak{sl}_2$ -module, and to decompose tensor products.

295 DEFINITION 5.1. For a finite dimensional  $\mathfrak{sl}_2$  module  $V$ , we define  
 296 the formal sum:

$$ch(V) = \sum_{k \in \mathbb{Z}} (\dim V_k) x^k,$$

where we recall that  $V_k$  denotes the weight space,

$$V_k = \{v \in V \mid Hv = kv\}.$$

EXERCISE 5.2. Defining  $x^k \cdot x^l = x^{k+l}$ , we have:

$$ch(A \oplus B) = ch(A) + ch(B), \quad ch(A \otimes B) = ch(A)ch(B).$$

EXAMPLE 5.3. By Theorem 2.4, we have:

$$ch(V(n)) = \frac{x^{n+1} - x^{-n-1}}{x - x^{-1}} = x^n + x^{n-2} + \cdots + x^{2-n} + x^{-n}.$$

297 REMARK 5.4. Suppose  $V$  is a finite-dimensional  $\mathfrak{sl}_2$ -module, with  
 298 character  $ch(V)$ . Then  $p(x) = ch(V) \cdot (x - x^{-1})$  is a Laurent polynomial  
 299 in  $x$ . The coefficient of  $x^k$  in  $p(x)$  is the multiplicity of the irreducible  
 300  $V(k)$  in  $V$ .

301 EXERCISE 5.5. (Clebsch-Gordan) Give a decomposition of  $V(m) \otimes$   
 302  $V(n)$  as a sum of irreducibles  $V(i)$  in two different ways:

- 303 (1) by finding all the highest weight vectors in the tensor product.  
 304 (2) by computing the character.

305 EXERCISE 5.6. Show that the subspace  $Sym^n(V(1))$  of  $V(1)^{\otimes n}$ ,  
 306 consisting of symmetric tensors, is a sub-module for the  $\mathfrak{sl}_2$  action, and  
 307 is isomorphic to  $V(n)$ .

308 The exercise implies that, as a tensor category, the category of  
 309  $\mathfrak{sl}_2$ -modules is generated by the object  $V(1)$ : in other words, every  
 310 irreducible  $\mathfrak{sl}_2$ -module can be found in some tensor power of  $V(1)$ .

311 EXERCISE 5.7. Show that  $V(1) \otimes V(1) \cong V(2) \oplus V(0)$ .

312 We will see in next chapter that this is in some sense the only  
 313 relation in this category.

## 314 6. The PBW theorem, and the center of $U(\mathfrak{sl}_2)$

315 The Poincare-Birkhoff-Witt theorem gives a basis of  $U(\mathfrak{g})$  for any  
 316 Lie algebra  $\mathfrak{g}$ . The proof we present hinges on a technical result in  
 317 non-commutative algebra known as the diamond lemma, which is of  
 318 independent interest.

319 Let  $k\langle X \rangle$  denote the free algebra on a finite set  $X$ . Fix a total  
 320 ordering  $<$  on  $X$ , extend lexicographically to all monomials of the same  
 321 degree, and finally declare  $m < n$ , if  $m$  is of lesser degree. Further, fix

322 a finite set  $S$  of pairs  $(m_i, f_i)$ , of a monomial  $m_i$  in  $k\langle X \rangle$ , and a general  
 323 element  $f_i \in k\langle X \rangle$  all of whose monomials are less than  $m_i$ , or of smaller  
 324 degree. A general monomial in  $k\langle X \rangle$  is called a PBW monomial if it  
 325 contains no  $m_i$  as a subword. A general element of  $k\langle X \rangle$  is called  
 326 PBW-ordered if it is a sum of PBW monomials.

327 LEMMA 6.1 (Diamond lemma, [?]). *Suppose that:*

328 (1) “Overlap ambiguities are resolvable”: For every triple of mono-  
 329 mials  $A, B, C$ , with some  $m_i = AB$ , and  $m_j = BC$ , the expres-  
 330 sions  $f_i C$  and  $A f_j$  can be further resolved to the same PBW-  
 331 ordered expression.

332 (2) “Inclusion ambiguities are resolvable”: For every  $A, B, C$ , with  
 333  $m_i = B$ , and  $m_j = ABC$ , the expressions  $A f_i C$  and  $f_j$  can be  
 334 further resolved to the same PBW-ordered expression.

335 Then, the set of PBW monomials in  $k\langle X \rangle$  forms a basis for the quotient  
 336 ring  $k\langle X \rangle / \langle m_i - f_i \mid (m_i, f_i) \in S \rangle$ .

The defining relations of  $U(\mathfrak{sl}_2)$  fit into the above formalism, with  
 $E < H < F$  and:

$$S = \{(FE, EF - H), (HE, EH + 2E), (FH, HF + 2F)\}.$$

337 THEOREM 6.2 (PBW Theorem). *A basis for  $U(\mathfrak{sl}_2)$  is given by the*  
 338 *PBW monomials  $E^k H^l F^m$ , for  $k, l, m \in \mathbb{Z}_{\geq 0}$ .*

PROOF. We have only to check conditions (1) and (2) from Lemma  
 6.1. However, (2) is trivially satisfied, since the defining relations are  
 at most quadratic in the generators. In fact, there is only one possible  
 instance of condition (1), which is the monomial  $FHE$ . We compute:

$$\begin{aligned} (FH)E &= H(FE) + 2FE = (HE)F - H^2 + 2EF - 2H \\ &= EHF + 2EF - H^2 + 2EF - 2H. \end{aligned}$$

$$\begin{aligned} F(HE) &= (FE)H + 2(FE) = E(FH) - H^2 + 2EF - 2H \\ &= EHF + 2EF - H^2 + 2EF - 2H. \end{aligned}$$

339

□

340 REMARK 6.3. In fact, with only slightly more effort, the diamond  
 341 lemma and the Jacobi identity together imply a related PBW theorem  
 342 for any Lie algebra - not necessarily semi-simple - over any field.

COROLLARY 6.4. *We have an isomorphism of  $\mathfrak{sl}_2$ -modules,*

$$U(\mathfrak{sl}_2) \cong \text{Sym}(\mathfrak{sl}_2) := \bigoplus_{k \geq 0} \text{Sym}^k(\mathfrak{sl}_2).$$

PROOF. Define a filtration,  $\mathcal{F}^\bullet$ , of  $\mathfrak{sl}_2$ -modules on  $U(\mathfrak{sl}_2)$  by declaring each of  $E, H, F$  to be of degree one. Then it follows from Theorem 6.2 that the associated graded algebra,

$$grU(\mathfrak{sl}_2) = \bigoplus_{k \geq 0} \mathcal{F}^k U(\mathfrak{sl}_2) / \mathcal{F}^{k-1} U(\mathfrak{sl}_2),$$

343 is isomorphic to the symmetric algebra,  $Sym(\mathfrak{sl}_2)$ . However, since each  
344  $\mathcal{F}^\bullet$  is a finite-dimensional  $\mathfrak{sl}_2$ -module, and hence semi-simple, we have  
345 an isomorphism  $U(\mathfrak{sl}_2) \cong grU(\mathfrak{sl}_2)$ .  $\square$

COROLLARY 6.5 (Harish-Chandra isomorphism). *The center of  $U(\mathfrak{sl}_2)$  is freely generated by the Casimir element. We have an isomorphism:*

$$ZU(\mathfrak{sl}_2) \cong \mathbb{C}[C].$$

346 PROOF. We present an elementary proof, which highlights the tech-  
347 nique of characters. First, it is clear that the powers of  $C$  are linearly  
348 independent, as the leading order PBW monomial of  $C^k$  is  $E^k F^k$ . What  
349 remains to show is that there are no other central elements. We note  
350 that  $ZU(\mathfrak{sl}_2)$  may be identified with the space of invariants  $U(\mathfrak{sl}_2)^{\mathfrak{sl}_2}$ :  
351 for  $z \in U(\mathfrak{sl}_2)$ , we have  $[X, z] = 0$  for all  $X$  if, and only if,  $z$  lies in the  
352 center.

Following Corollary 6.4, let us define a weighted character of  $U(\mathfrak{sl}_2)$  as follows:

$$\tilde{ch}(U(\mathfrak{sl}_2)) := \sum_k t^k ch(Sym^k V(2)).$$

As a  $\mathbb{C}[H]$ -module, we have  $V(2) \cong V_{-2} \oplus V_0 \oplus V_2$ , which implies an isomorphism of  $\mathbb{C}[H]$ -modules,

$$Sym(V(2)) \cong Sym(V_{-2}) \otimes Sym(V_0) \otimes Sym(V_2).$$

Thus, we have:

$$\tilde{ch}(U(\mathfrak{sl}_2)) = \frac{1}{(1 - x^{-2}t)(1 - t)(1 - x^2t)}.$$

The multiplicity of  $V(0)$  in each  $Sym^k V(2)$  is the  $xt^k$  coefficient of  $p(x, t) = \tilde{ch}(U(\mathfrak{sl}_2)) \cdot (x - x^{-1})$ , following Remark 5.4. We have:

$$\begin{aligned} p(x, t) &= \frac{x - x^{-1}}{(1 - t)(1 - x^{-2}t)(1 - x^2t)} \\ &= \frac{1}{1 - t^2} \left( \frac{x}{1 - x^2t} - \frac{x^{-1}}{1 - x^{-2}t} \right), \end{aligned}$$

353 which has  $x$ -coefficient  $\frac{1}{1-t^2}$ . It follows that there are no invariants in  
354 odd degrees, and that  $C^k$  spans  $Sym^{2k}(\mathfrak{sl}_2)$ , as desired.  $\square$

CHAPTER 2

355

**Hopf algebras and tensor categories**

356

### 1. Hopf algebras

357 In Example 1.4 of Chapter 1, for any  $\mathfrak{g}$ -modules  $V$  and  $W$ , we  
 358 endowed the vector space  $V \otimes W$  with a  $\mathfrak{g}$ -module structure. In this  
 359 section, we consider a general class of associative algebras called Hopf  
 360 algebras, which come equipped with a natural tensor product operation  
 361 on their categories of modules. The enveloping algebra  $U(\mathfrak{sl}_2)$  will be  
 362 our first example. To begin, let us re-phrase the axioms for an algebra  
 363 in a convenient categorical fashion.

364 DEFINITION 1.1. An algebra over  $\mathbb{C}$  is a vector space  $A$  equipped  
 365 with a multiplication  $\mu : A \otimes A \rightarrow A$ , and a unit  $\eta : \mathbb{C} \rightarrow A$ , such that  
 366 the following diagrams commute:

$$\begin{array}{ccc}
 \mathbb{C} \otimes A & \xrightarrow{\eta \otimes id} & A \otimes A & \xleftarrow{id \otimes \eta} & A \otimes \mathbb{C} \\
 & \searrow \cong & \downarrow \mu & & \swarrow \cong \\
 & & A & & 
 \end{array}
 \qquad
 \begin{array}{ccc}
 A \otimes A \otimes A & \xrightarrow{\mu \otimes id} & A \otimes A \\
 id \otimes \mu \downarrow & & \downarrow \mu \\
 A \otimes A & \xrightarrow{\mu} & A
 \end{array}$$

367 These diagrams represent the unit and associativity axioms, respec-  
 368 tively.

EXAMPLE 1.2. Given any two algebras  $A$  and  $B$ , we can define an algebra structure on the vector space  $A \otimes B$  by the composition

$$A \otimes B \otimes A \otimes B \xrightarrow{id \otimes \tau \otimes id} A \otimes A \otimes B \otimes B \xrightarrow{\mu_A \otimes \mu_B} A \otimes B,$$

369 where  $\tau$  flips tensor components:  $\tau(v \otimes w) = w \otimes v$ .

370 We define a co-algebra by dualizing the above notions (i.e. by  
 371 reversing all the arrows).

372 DEFINITION 1.3. A co-algebra over  $\mathbb{C}$  is a vector space  $A$  equipped  
 373 with a co-multiplication  $\Delta : A \rightarrow A \otimes A$ , and a co-unit  $\epsilon : A \rightarrow \mathbb{C}$ , such  
 374 that the following diagrams commute.

$$\begin{array}{ccc}
 \mathbb{C} \otimes A & \xleftarrow{\epsilon \otimes id} & A \otimes A & \xrightarrow{id \otimes \epsilon} & A \otimes \mathbb{C} \\
 & \swarrow \cong & \uparrow \Delta & & \searrow \cong \\
 & & A & & 
 \end{array}
 \qquad
 \begin{array}{ccc}
 A \otimes A \otimes A & \xleftarrow{\Delta \otimes id} & A \otimes A \\
 id \otimes \Delta \uparrow & & \uparrow \Delta \\
 A \otimes A & \xleftarrow{\Delta} & A
 \end{array}$$

375 By analogy, these are called the co-unit and co-associativity axioms,  
 376 respectively.



REMARK 1.4. For any co-algebra  $A$ ,  $A^*$  becomes an algebra, via the composition

$$\mu : A^* \otimes A^* \hookrightarrow (A \otimes A)^* \xrightarrow{\Delta^*} A^*$$

of the natural inclusion, and the dual to the comultiplication map. If  $A$  is a finite-dimensional algebra, then  $A^*$  becomes a co-algebra, via the composition,

$$\Delta : A \xrightarrow{\mu^*} (A \otimes A)^* \cong A^* \otimes A^*.$$

377 However, for  $A$  infinite dimensional, this prescription does not lead to  
 378 a comultiplication map for  $A^*$ , since the inclusion  $A^* \otimes A^* \hookrightarrow (A \otimes A)^*$   
 379 is not an isomorphism. In the next chapter we'll see a way around this  
 380 difficulty.

EXAMPLE 1.5. Given two co-algebras  $A$  and  $B$ , we can define a co-algebra structure on vector space  $A \otimes B$  by

$$A \otimes B \xrightarrow{\Delta \otimes \Delta} A \otimes A \otimes B \otimes B \xrightarrow{id \otimes \tau \otimes id} A \otimes B \otimes A \otimes B$$

381 DEFINITION 1.6. A *bi-algebra* is a vector space  $A$  equipped with  
 382 algebra structure  $(A, \mu, \eta)$  and co-algebra structure  $(A, \Delta, \epsilon)$  satisfying  
 383 either of the conditions:

- 384 (1)  $\Delta$  and  $\epsilon$  are algebra morphisms.  
 385 (2)  $\mu$  and  $\eta$  are co-algebra morphisms

386 EXERCISE 1.7. Prove that (1) and (2) are equivalent (hint: write  
 387 out the appropriate diagrams, and turn your head to one side).

388 EXERCISE 1.8. Group algebras. Let  $G$  be a finite group, and let  
 389  $\mathbb{C}[G]$  denote its group algebra. Check that  $\Delta(g) = g \otimes g$  and  $\epsilon(g) = \delta_{e,g}$   
 390 defines a bi-algebra structure on  $\mathbb{C}[G]$ ,

391 EXERCISE 1.9. Enveloping algebra. Let  $\mathfrak{g}$  be a Lie algebra, and  
 392  $U(\mathfrak{g})$  its universal enveloping algebra. For  $X \in \mathfrak{g}$ , define  $\Delta(X) =$   
 393  $X \otimes 1 + 1 \otimes X$ , and  $\epsilon(X) = 0$ . Show that this defines a bi-algebra  
 394 structure on  $U(\mathfrak{g})$ .

395 EXERCISE 1.10. Let  $G$  be an affine algebraic group, and denote its  
 396 coordinate algebra  $\mathcal{O}(G)$ . Define  $\Delta(f) \in \mathcal{O}(G) \otimes \mathcal{O}(G) \cong \mathcal{O}(G \times G)$   
 397 by  $\Delta(f)(x \otimes y) = f(x \cdot y)$ , where “ $\cdot$ ” is the multiplication in the group.  
 398 Define  $\epsilon(f)$  as projection onto the constant term. Show that this defines  
 399 a bi-algebra structure. You will need to show that  $\Delta(f)$  is a polynomial  
 400 in  $x$  and  $y$ .

401 EXERCISE 1.11. Let  $H$  be a bialgebra, and let  $I \subset H$  be an ideal  
 402 (with respect to the algebra structure) such that  $\Delta(I) \subset H \otimes I + I \otimes H$   
 403 (i.e.  $I$  is a co-ideal). Show that  $\Delta$  and  $\epsilon$  descend, to form a bi-algebra  
 404 structure on  $H/I$ .

DEFINITION 1.12. Let  $A$  be a co-algebra,  $B$  an algebra. Let  $f, g : A \rightarrow B$  be linear maps. We define the convolution product  $f * g$  as the composition:

$$A \xrightarrow{\Delta} A \otimes A \xrightarrow{f \otimes g} B \otimes B \xrightarrow{\mu} B$$

405 If  $A$  is a bialgebra, then taking  $B = A$  above yields the structure  
 406 of an associative algebra on  $\text{End}(A)$ , with unit  $\eta \circ \epsilon$ .

407 DEFINITION 1.13. A *Hopf algebra* is a bi-algebra  $H$  such that there  
 408 exists an inverse  $S : H \rightarrow H$  to  $\text{Id}$  relative to  $*$ : that is, we have  
 409  $S * \text{id} = \text{id} * S = \eta \circ \epsilon$ .  $S$  is called the *antipode*.

410 REMARK 1.14. Note that the antipode on a bi-algebra is unique, if  
 411 it exists, by uniqueness of inverses in the associative algebra  $\text{End}(A)$ .

412 The best way to understand the antipode is as a sort of linearized  
 413 inverse, as the following examples illustrate.

414 EXERCISE 1.15. Define  $S$  for Examples 1.8, 1.9, 1.10, and show  
 415 that it defines a Hopf algebra in each case.

416 EXERCISE 1.16. (??, III.3.4) In any Hopf algebra,  $S(xy) = S(y)S(x)$ .  
 417 Hint: Define  $\nu, \rho \in \text{Hom}(H \otimes H, H)$  by  $\nu(x \otimes y) = S(y)S(x)$ , and  
 418  $\rho(x \otimes y) = S(xy)$ . Then compute  $\rho * \mu = \mu * \nu = \eta \circ \epsilon$ .

419 REMARK 1.17. In the case that  $S$  is invertible, it is an anti-automorphism  
 420 and thus can be used to interchange the category of left and right mod-  
 421 ules over  $H$ .

422 EXERCISE 1.18. Suppose that the Hopf algebra  $H$  is either commu-  
 423 tative, or co-commutative. Show by direct computation that  $S^2 * S =$   
 424  $\eta \circ \tau$ , and thus conclude that  $S$  is an involution.

DEFINITION 1.19. For any bi-algebra  $H$ , and  $H$ -modules  $M$  and  $N$ , we define their tensor product  $M \otimes N$  to have as underlying vector spaces the usual tensor product over  $\mathbb{C}$ , with  $H$ -action defined by:

$$H \otimes (M \otimes N) \xrightarrow{\Delta \otimes \text{id}} H \otimes H \otimes M \otimes N \xrightarrow{\tau_{23}} H \otimes M \otimes H \otimes N \xrightarrow{\mu_M \otimes \mu_N} M \otimes N$$

425 EXERCISE 1.20. Check that  $M \otimes N$  is in fact an  $H$ -module, by  
 426 verifying the associativity and unit axioms.

427 EXERCISE 1.21. Similarly, given two  $H$ -comodules  $M$  and  $N$ , we  
 428 can define a comodule structure on their tensor product. Define the  
 429 action, and check that it gives a well-defined co-module structure.

430 REMARK 1.22. In Examples 1.8, 1.9, 1.10, we recover in this way  
 431 the usual action on  $M \otimes N$ . For instance if  $G$  is a group, then in  
 432  $k[G]$ , we have  $g(v \otimes w) = g(v) \otimes g(w)$ ; if  $\mathfrak{g}$  is a Lie algebra, we have  
 433  $x(v \otimes w) = x(v) \otimes w + v \otimes x(w)$ .

## 434 2. The first examples of Hopf Algebras

435 **2.1. The Hopf algebra  $U(\mathfrak{sl}_2)$ .** We have previously defined  $U =$   
 436  $U(\mathfrak{sl}_2)$  as an algebra; by Example 1.9, we can endow it with a co-  
 437 product structure such that

$$\begin{aligned}\Delta(E) &= E \otimes 1 + 1 \otimes E, & \Delta(F) &= F \otimes 1 + 1 \otimes F \\ \Delta(H) &= H \otimes 1 + 1 \otimes H, & \epsilon(E) &= \epsilon(F) = \epsilon(H) = 0.\end{aligned}$$

Following Exercise 1.15,  $U$  has antipode given by:

$$S(E) = -E, \quad S(F) = -F, \quad S(H) = -H.$$

**2.2. The Hopf algebra  $\mathcal{O}(SL_2)$ .** The algebraic group

$$SL_2 = SL_2(\mathbb{C}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid a, b, c, d \in \mathbb{C}, ad - bc = 1 \right\}$$

has coordinate algebra  $\mathcal{O}(SL_2) := \mathbb{C}[a, b, c, d]/\langle ad - bc - 1 \rangle$ . We define a co-product for  $\mathcal{O} = \mathcal{O}(SL_2)$  on generators as follows:

$$\begin{aligned}\Delta(a) &= a \otimes a + b \otimes c, & \Delta(b) &= a \otimes b + b \otimes d, \\ \Delta(c) &= c \otimes a + d \otimes c, & \Delta(d) &= c \otimes b + d \otimes d.\end{aligned}$$

We may write this more concisely as follows:

$$\begin{pmatrix} \Delta(a) & \Delta(b) \\ \Delta(c) & \Delta(d) \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \otimes \begin{pmatrix} a & b \\ c & d \end{pmatrix}.$$

438 EXERCISE 2.1. Let  $\bar{\Delta} : \mathbb{C}[a, b, c, d] \rightarrow \mathbb{C}[a, b, c, d] \otimes \mathbb{C}[a, b, c, d]$  be  
 439 given by the formulas for  $\Delta$  above. Show that:

- 440 (1)  $\bar{\Delta}(ad - bc) = (ad - bc) \otimes (ad - bc)$ , so that  
 441 (2)  $\bar{\Delta}(ad - bc - 1) \subset (ad - bc - 1) \otimes H + H \otimes (ad - bc - 1)$ .

442 Conclude that  $\bar{\Delta}$  descends to a homomorphism  $\Delta : \mathcal{O} \rightarrow \mathcal{O} \otimes \mathcal{O}$ .

This makes  $\mathcal{O}(SL_2)$  into a bi-algebra. We now introduce an antipode, which will endow it with the structure of a Hopf algebra. We define  $S$  on generators:

$$\begin{pmatrix} S(a) & S(b) \\ S(c) & S(d) \end{pmatrix} = \begin{pmatrix} d & -b \\ -c & a \end{pmatrix}.$$

443 EXERCISE 2.2. Verify that  $S$  is an antipode.

### 444 3. Tensor Categories

445 In the previous section, we saw that for any Hopf algebra  $H$ , the  
446 category of  $H$ -modules has a tensor product structure. In this section,  
447 we will define the notion of a tensor category, which captures this prod-  
448 uct structure. The reason for the focus on categorical constructions is  
449 that when we look at the quantum analogs of our classical objects,  
450 much of the geometric intuition fades, while the categorical notions  
451 remain largely intact.

DEFINITION 3.1. Let  $\mathcal{C}, \mathcal{D}$  be categories. Their product,  $\mathcal{C} \times \mathcal{D}$ , is the category whose objects are pairs  $(V, W)$ ,  $V \in \text{ob}(\mathcal{C}), W \in \text{ob}(\mathcal{D})$ , and whose morphisms are given by:

$$\text{Mor}((U, V), (U', V')) = \text{Mor}(U, U') \times \text{Mor}(V, V').$$

452 Let  $\otimes$  be a functor  $\otimes : \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$ . This means that for each pair  
453  $(U, V) \in \mathcal{C} \times \mathcal{C}$ , we have their tensor product  $U \otimes V$ , and for any maps  
454  $f : U \rightarrow U', g : V \rightarrow V'$ , we have a map  $f \otimes g : U \otimes V \rightarrow U' \otimes V'$ .

455 DEFINITION 3.2. An associativity constraint on  $\otimes$  is a natural iso-  
456 morphism  $a_{U,V,W} : (U \otimes V) \otimes W \rightarrow U \otimes (V \otimes W)$  which satisfies the  
457 *Pentagon Axiom*.

$$\begin{array}{ccc} ((A \otimes B) \otimes C) \otimes D & \xrightarrow{a_{A,B,C \otimes D}} & (A \otimes (B \otimes C)) \otimes D \\ \downarrow a_{A \otimes B, C, D} & & \downarrow a_{A, B \otimes C, D} \\ (A \otimes B) \otimes (C \otimes D) & & \\ \downarrow a_{A, B, C \otimes D} & & \\ A \otimes (B \otimes (C \otimes D)) & \xleftarrow{1 \otimes a_{B,C,D}} & A \otimes ((B \otimes C) \otimes D) \end{array}$$

458 REMARK 3.3. It is useful to think of the functor  $\otimes$  as a cate-  
459 gorified version of an associative product. Whereas in the theory of  
460 groups or rings (or more generally, monoids) one encounters the iden-  
461 tity  $(ab)c = a(bc)$  expressing associativity of multiplication, this is not  
462 sensible for categories, as objects are rarely equal, but more often iso-  
463 morphic (consider the example of tensor products of vector spaces). It

464 is an exercise to show that the basic associative identity for monoids  
 465 implies that any two parenthesizations of the same word of arbitrary  
 466 length are equal. In tensor categories, we need to impose an equal-  
 467 ity of various associators on tensor products of quadruples of objects.  
 468 MacLane's theorem [] asserts that this commutativity on 4-tuples im-  
 469 plies the analogous equality of associators for  $n$ -tuples, so that we may  
 470 omit parenthesizations going forward.

471 DEFINITION 3.4. A unit for  $\otimes$  is a triple  $(I, l, r)$ , where  $I \in \mathcal{C}$ , and  
 472  $l : I \otimes U \rightarrow U$  and  $r : U \otimes I \rightarrow U$  are natural isomorphisms.

DEFINITION 3.5. A tensor category is a collection  $(\mathcal{C}, \otimes, a, I, l, r)$   
 with  $a, I, l, r$  as above, such that we have the following commutative  
 diagram

$$\begin{array}{ccc} (A \otimes I) \otimes B & \xrightarrow{a} & A \otimes (I \otimes B) \\ & \searrow & \swarrow \\ & A \otimes B & \end{array}$$

DEFINITION 3.6. A tensor functor  $F : (\mathcal{C}, \otimes) \rightarrow (\mathcal{D}, \otimes)$  is a pair  
 $(F, J)$  of a functor  $F : \mathcal{C} \rightarrow \mathcal{D}$ , and a natural isomorphism

$$J_{A,B} : FA \otimes FB \xrightarrow{\sim} F(A \otimes B), \quad I \xrightarrow{\sim} F(I)$$

473 such that diagrams

$$\begin{array}{ccc} & F(A \otimes B) \otimes FC & \\ & \swarrow & \searrow \\ (FA \otimes FB) \otimes FC & & F((A \otimes B) \otimes C) \\ \downarrow & & \downarrow \\ FA \otimes (FB \otimes FC) & & F(A \otimes (B \otimes C)) \\ & \swarrow & \searrow \\ & FA \otimes F(B \otimes C) & \end{array}$$

and

$$\begin{array}{ccc} FA \otimes I & \longrightarrow & FA \\ \downarrow & & \uparrow \\ FA \otimes FI & \longrightarrow & F(A \otimes I) \end{array}$$

474 commute, as well as the similar diagram for right unit constraints.

DEFINITION 3.7. A tensor natural transformation between tensor functors  $F$  and  $G$  is a natural transformation  $\alpha : F \rightarrow G$  is such that

$$\begin{array}{ccc} FA \otimes FB & \longrightarrow & F(A \otimes B) \\ \downarrow & & \downarrow \\ GA \otimes GB & \longrightarrow & G(A \otimes B) \end{array}$$

475 commutes.

476

477 DEFINITION 3.8.  $(\mathcal{C}, \otimes)$  is *strict* if  $a, l, r$  are all equalities in the  
 478 category (meaning that the underlying objects are equal, and the mor-  
 479 phism is the identity). A tensor functor  $F = (F, J)$  is strict if  $J$  is an  
 480 equality and  $I = FI$ .

481 REMARK 3.9. Most categories arising naturally in representation  
 482 theory are not strict categories, but we will see in chapter ?? by an  
 483 extension of MacLane's coherence theorem that any tensor category is  
 484 tensor equivalent to a strict category. In chapter ??, we will see some  
 485 examples of strict tensor categories.

486 EXAMPLE 3.10.

CHAPTER 3

487 **Geometric Representation Theory for  $SL_2$**

488 In this chapter we begin the study of geometric representation the-  
 489 ory, in which techniques from algebraic and differential geometry are  
 490 brought to bear on the representation theory of algebraic groups. We  
 491 focus on three main results:

- 492 (1) the Peter-Weyl Theorem, which states that the coordinate al-  
 493 gebra  $\mathcal{O}(G)$ , viewed as a left  $G \times G$ -module, contains one di-  
 494 rect summand  $End(V)$  for every finite dimensional irreducible  
 495 module  $V$  of  $G$ ;  
 496 (2) the Borel-Weil theorem, which realizes finite-dimensional rep-  
 497 resentations of a semi-simple algebraic group geometrically as  
 498 sections of certain equivariant line bundles on the correspond-  
 499 ing flag variety; and  
 500 (3) the Beilinson-Bernstein localization theorem, which gives an  
 501 equivalence between the category of  $D$ -modules on the flag  
 502 variety and the category of  $U(\mathfrak{g})$ -modules with trivial central  
 503 character.

504 As in the previous chapter, we will look to  $SL_2$  for most of our exam-  
 505 ples.

## 506 1. The algebra of matrix coefficients

The finite dimensional representations of a (possibly infinite dimen-  
 sional) Hopf algebra  $H$  determine a natural subalgebra of  $H^*$ , called  
 the algebra of matrix coefficients, which is naturally a Hopf algebra,  
 thus overcoming the finiteness issues in Remark ???. The dual vector  
 space  $H^*$  carries an action of  $H \otimes H$ , given by:

$$((a \otimes b)\phi)(x) := \phi(S(b)xa).$$

507 DEFINITION 1.1. The external tensor product  $V \boxtimes W$  of  $H$ -modules  
 508  $V$  and  $W$  is the  $H \otimes H$ -module with underlying vector space  $V \otimes_{\mathbb{C}} W$ ,  
 509 and action  $(u_1 \otimes u_2)(v \otimes w) := u_1 v \otimes u_2 w$ .

510 Let  $V$  be a finite dimensional  $H$ -module. For  $f \in V^*, v \in V$ ,  
 511 the matrix coefficients  $c_{f,v}^V \in H^*$  are defubed by  $c_{f,v}^V(u) := f(u.v)$ , for  
 512  $u \in H$ . The assignment  $(f, v) \mapsto c_{f,v}^V$  is bi-linear; we thus obtain a  
 513 linear map  $c^V : V^* \boxtimes V \rightarrow H^*$ .

514 EXERCISE 1.2. Show that  $c_{f,v}^V c_{g,w}^W = c_{g \otimes f, v \otimes w}^{V \otimes W}$ .

515 EXERCISE 1.3. Let  $\phi : V \rightarrow W$  be a homomorphism of  $H$ -modules.  
 516 Show that, for  $v \in V, f \in W^*$ , we have  $c_{f, \phi v}^W = c_{\phi^* f, v}^V$ .

517 DEFINITION 1.4. The algebra,  $\mathcal{O}$ , of matrix coefficients, is the linear  
 518 subspace of  $H^*$  spanned by the  $c_{f,v}$  for all finite-dimensional.  $V$ .



EXERCISE 1.5. Conclude that  $\mathcal{O}$  is a  $H \otimes H$ -submodule of  $H^*$ , and that  $c^V$  is a  $H \otimes H$ -module map, by showing, for  $a, b \in H$ :

$$(a \otimes b)c_{f,v} = c_{bf,av}.$$

519 EXERCISE 1.6. Fix a basis  $v_1, \dots, v_n$  for  $V$ , and let  $f_1, \dots, f_n$  for  $V^*$   
 520 be the dual basis. Verify that the representation map  $\rho : U \rightarrow \mathfrak{gl}(V)$   
 521 sends  $x$  to the matrix  $(c_{f_i, v_i}(x))_{i,j=1}^n$ , thus justifying the name “matrix  
 522 coefficient”.

523 EXERCISE 1.7. Suppose that  $H$  is commutative, or co-commutative,  
 524 so that the tensor flip  $v \otimes w \mapsto w \otimes v$  is a morphism of  $H$ -modules.  
 525 Show in this case that  $\mathcal{O}$  is commutative.

526 PROPOSITION 1.8. Let  $\Delta : H^* \rightarrow (H \otimes H)^*$  denote the dual to the  
 527 multiplication map on  $H$ . Then we have  $\Delta(\mathcal{O}) \subset \mathcal{O} \otimes \mathcal{O} \subset (H \otimes H)^*$ ,  
 528 and this endows  $\mathcal{O}$  with the structure of a Hopf algebra.

529 PROOF. For the first claim, it suffices to show that  $\Delta c_{f,v} \in \mathcal{O} \otimes \mathcal{O}$ ,  
 530 for each finite-dimensional  $V$ , each  $f \in V^*$ , and  $v \in V$ . Let  $\{v_i\}$  be a  
 531 basis for  $V$  and  $\{f_i\}$  a dual basis for  $V^*$ . The proof follows from the  
 532 following exercise:

533 EXERCISE 1.9. Show that  $\Delta(c_{f,v}) = \sum_{i=1}^n c_{f,v_i} \otimes c_{f_i,v}$ , by checking  
 534 that this expression satisfies:  $\langle \Delta(c_{f,v}), x \otimes y \rangle = \langle c_{f,v}, xy \rangle$ .

535 Having defined the bi-algebra structure, the antipode  $S$  is defined  
 536 by  $\langle S(c_{f,v}), x \rangle = \langle c_{f,v}, S(x) \rangle$ , for  $x \in H$ .

537 □

## 2. Peter-Weyl Theorem for $SL(2)$

538  
 539 Returning to the case  $U = U(\mathfrak{sl}_2)$ , we have the following description  
 540 of the algebra  $\mathcal{O}$  of matrix coefficients.

THEOREM 2.1. (*Peter-Weyl*) Let  $V(n)$  denote the irreducible representation of  $\mathfrak{sl}_2$  of highest weight  $n$ . Then we have an isomorphism of  $U \otimes U$ -modules:

$$\mathcal{O} \cong \bigoplus_{j=0}^{\infty} V(j)^* \boxtimes V(j),$$

PROOF. We have a map of  $U \otimes U$ -modules,

$$\bigoplus_{j=0}^{\infty} c^{V(j)} : \bigoplus_{j=0}^{\infty} V(j)^* \boxtimes V(j) \rightarrow \mathcal{O}.$$

541 Each  $c^{V(j)}$  is an injection: the kernel is a submodule of the irreducible  
 542  $U \otimes U$ -module  $V(j)^* \otimes V(j)$ , and each  $c^{V(j)}$  is clearly not identically

543 zero. Moreover, the images of  $c^{V(j)}$  and  $c^{V(k)}$  must intersect trivially,  
 544 for  $j \neq k$ , since these are non-isomorphic irreducible submodules.

It only remains to prove surjectivity; we need to show that  $\mathcal{O}$  is in fact contained in the sum of the images of the maps  $c^{V(i)}$ . For this, let  $V$  be an arbitrary finite dimensional representation, and using the semi-simplicity proved in Chapter 1, write  $V$  as a finite direct sum of irreducibles:

$$V \cong \bigoplus_{i=0}^N V(i)^{\oplus m_i}.$$

Let  $\pi_{i,j}$  and  $\iota_{i,j}$ , respectively, denote the projection onto, and inclusion into, the  $j$ th copy of  $V(i)$  in the sum. We clearly have  $\pi_{i,j}^* = \iota_{i,j}$ . Let  $f \in V^*, v \in V$ . Then we may write:

$$v = \sum_{i,j} \iota_{i,j} v_{i,j}, \quad f = \sum_{k,l} \pi_{k,l}^* f_{k,l},$$

for some collection of  $v_{i,j} \in V(i)$  and  $f_{k,l} \in V(i)^*$ . Thus, we have:

$$c_{f,v}^V = \sum_{i,j,k,l} c_{\pi_{k,l}^* f_{k,l}, \iota_{i,j} v_{i,j}}^V = \sum_{i,j,k,l} c_{f_{k,l}, \pi_{k,l} \iota_{i,j} v_{i,j}}^V.$$

545 We have  $\pi_{k,l} \iota_{i,j} = \text{Id}_{V(i)}$  if  $i = k$ , and 0 otherwise. Thus the right hand  
 546 side lies in the span of the images of the maps  $c^{V(i)}$ , as desired.  $\square$

547 **REMARK 2.2.** Clearly, both the statement and proof of the Peter-  
 548 Weyl theorem apply *mutatis mutandis* for any semi-simple algebraic  
 549 groups.

550 **3. Reconstructing  $\mathcal{O}(SL_2)$  from  $U(\mathfrak{sl}_2)$  via matrix coefficients.**

Choose a basis  $v_1, v_2$  of  $V(1)$ , and let  $v^1, v^2$  denote the dual basis of  $V(1)^*$ . We use the notation  $c_j^i := c_{v^i \otimes v_j}$ . We denote by  $i_0$  and  $\pi_0$  the maps:

$$\begin{aligned} i_0 : V(0) &\rightarrow V(1) \otimes V(1), & \pi_0 : V(1)^* \otimes V(1)^* &\rightarrow V(0) \\ 1 &\mapsto v_1 \otimes v_2 - v_2 \otimes v_1 & \sum a_{ij} v^i \otimes v^j &\mapsto (a_{12} - a_{21}) \end{aligned}$$

Thus  $i_0$  and  $\pi_0$  are the inclusion and projection, respectively, of the trivial representation relative to the decomposition,

$$V(1) \otimes V(1) \cong V(2) \oplus V(0).$$

551 **EXERCISE 3.1.** The purpose of this exercise is to construct an iso-  
 552 morphism between  $\mathcal{O}(SL_2)$  and the algebra  $\mathcal{O}$  of matrix coefficients on  
 553  $U(\mathfrak{sl}_2)$ .

(1) Show that there exists a unique homomorphism:

$$\begin{aligned}\phi &: \mathbb{C}[a, b, c, d] \rightarrow \mathcal{O}, \\ (a, b, c, d) &\mapsto (c_1^1, c_2^1, c_1^2, c_2^2).\end{aligned}$$

554 (2) Show that  $\phi$  is surjective, using the fact that  $V(1)$  generates  
555 the tensor category of  $\mathfrak{sl}_2$  modules.

556 (3) Show that the relations  $c_{f, i_0(v)} = c_{\pi_0(f), v}$ , for  $f = v^1, v^2$  and  
557  $v = v_1, v_2$ , reduce to the single relation  $ad - bc = 1$ .

(4) The algebra  $\mathcal{O}(SL_2) = \mathbb{C}[a, b, c, d]/\langle ad - bc - 1 \rangle$  admits a filtration with generators  $a, b, c, d$  in degree one. Let  $F_i$  denote the  $i$ th filtration, and show that  $F_i/F_{i-1}$  has a basis:

$$\mathcal{B}_i = \{a^k d^l c^m \mid k + l + m = i\} \cup \{a^k d^l b^m \mid k + l + m = i\},$$

558 so that  $\dim F_i/F_{i+1} = |\mathcal{B}_i| = 2\binom{i+2}{2} - (i+1) = (i+1)^2$ .

(5) Show that  $\phi$  is a map of filtered vector spaces, where

$$F_i(\mathcal{O}) = \bigoplus_{k \leq i} V(k)^* \boxtimes V(k).$$

559 (6) Conclude that  $\phi$  is injective, and thus an isomorphism of al-  
560 gebras.

561 EXERCISE 3.2. Show that  $\phi$  is an isomorphism of Hopf algebras, by  
562 showing that it respects co-products.

563 REMARK 3.3. This exercise is the easiest case of a very general the-  
564 ory, called Tannaka-Krein Reconstruction, which gives a prescription  
565 for recovering the coordinate algebra of a reductive algebraic group  
566 (more generally, any Hopf algebra) from its category of finite dimen-  
567 sional representations.

#### 568 4. Equivariant vector bundles, and sheaves

Let  $X$  be an algebraic variety over  $\mathbb{C}$ , and  $G$  an algebraic group. Let us denote the multiplication map on  $G$  by  $mult$ :

$$G \times G \xrightarrow{mult} G$$

Suppose  $G$  acts on  $X$ , meaning that we have an algebraic morphism:

$$G \times X \xrightarrow{act} X$$

which is associative:

$$act \circ (mult \times 1) = (act) \circ (1 \times act) : G \times G \times X \rightarrow X$$

569 DEFINITION 4.1. A  $G$ -equivariant vector bundle on  $X$  is a vector  
570 bundle  $\pi : V \rightarrow X$ , over  $X$ , together with an action  $G \times V \rightarrow V$   
571 commuting with  $\pi$ , and restricting to a linear map  $\phi_{g,x} : V_x \rightarrow V_{gx}$  of  
572 each fiber.

It follows that the maps  $\phi_{g,x}$  are linear isomorphisms, and are associative in the following sense:

$$\phi_{h,gx} \circ \phi_{g,x} = \phi_{hg,x}.$$

We will now give a generalization of this definition to sheaves. Using the multiplication, action and projection we can form three maps,  $d_0, d_1, d_2 : G \times G \times X \rightarrow G \times X$ :

$$\begin{aligned} d_0(g_1, g_2, x) &= (g_2, g_1^{-1}x), & d_1(g_1, g_2, x) &= (g_1g_2, x), \\ d_2(g_1, g_2, x) &= (g_1, x). \end{aligned}$$

573 We also have the identity section from  $s : X \rightarrow G \times X, s(x) = (e, x)$ ,  
574 and the projection  $proj : G \times X \rightarrow X, proj(g, x) = x$ .

DEFINITION 4.2. A  $G$ -equivariant sheaf on  $X$  is a pair  $(\mathcal{F}, \theta)$ , where  $\mathcal{F}$  is a sheaf on  $X$  and  $\theta$  is an isomorphism,

$$\theta : proj^*\mathcal{F} \longrightarrow act^*\mathcal{F}$$

satisfying the cocycle and unit conditions:

$$d_0^*\theta \circ d_2^*\theta = d_1^*\theta, \quad s^*\theta = id_{\mathcal{F}}.$$

575 EXERCISE 4.3. Prove that if  $V$  is an equivariant vector bundle then  
576 the locally free sheaf of sections of  $V$  is an equivariant sheaf.

577 EXERCISE 4.4. Prove that if  $V$  is a  $G$ -equivariant locally free sheaf  
578 on  $X$ , then  $\text{Spec}_X(V)$ , the associated vector bundle on  $X$  is a  $G$ -  
579 equivariant vector bundle.

580 REMARK 4.5. Note that we can give this definition also in other  
581 categories (topological, differentiable, analytic,...).

582 Suppose now that  $X = \text{Spec}(A)$  is an affine variety and  $G =$   
583  $\text{Spec}(H)$  is an affine algebraic group, so that  $H$  is a commutative Hopf  
584 algebra. The action of  $G$  on  $X$  translates into  $A$  being a  $H$ -comodule  
585 algebra:

586 DEFINITION 4.6. An  $H$ -comodule algebra  $A$  is an  $H$ -comodule, and  
587 an algebra, such that the multiplication map  $m : A \otimes A \rightarrow A$  is a map  
588 of comodules, where  $A \otimes A$  is an  $H$ -module via tensor product.

589 DEFINITION 4.7. The category  $\mathcal{C}_A^H$  of  $H$ -equivariant  $A$ -modules has  
590 as objects  $H$ -comodules  $M$ , equipped with a map  $m : A \otimes M \rightarrow M$   
591 of  $H$ -comodules, making  $M$  into an  $A$ -module. The morphisms in this  
592 category are the maps that commute with both the  $A$ -module structure  
593 and the  $H$ -comodule structure.

594 EXERCISE 4.8. In the setup of the preceding paragraph, construct  
 595 an equivalence between  $\mathcal{C}_A^H$  and the category of  $G$ -equivariant sheaves  
 596 on  $X$ .

597 EXERCISE 4.9. Suppose that  $G$  acts transitively on  $X$ . Show that  
 598 a  $G$ -equivariant sheaf is locally free (hint: produce an isomorphism on  
 599 stalks,  $\mathcal{F}_x \rightarrow \mathcal{F}_{gx}$ ).

600 EXERCISE 4.10. Let  $X = G$ , and let  $G$  act on itself by left multipli-  
 601 cation. Show that the category of quasi-coherent  $G$ -equivariant sheaves  
 602 of  $\mathcal{O}_G$ -modules is equivalent to the category of vector spaces.

603 EXERCISE 4.11. Let  $X = \{pt\}$  with the trivial  $G$ -action. Show  
 604 that the category of  $G$ -equivariant sheaves on  $X$  is equivalent to the  
 605 category of representations of  $G$ .

## 606 5. Quasi-coherent sheaves on the flag variety

For any semi-simple algebraic group, the flag variety is a homoge-  
 neous space, the quotient  $G/B$  of  $G$  by its Borel subgroup  $B$ . In the  
 case  $G = SL_2$ , the Borel subgroup  $B$  is the set of upper-triangular  
 matrices,

$$B = \begin{pmatrix} a & b \\ 0 & a^{-1} \end{pmatrix}.$$

607 We may identify  $B$  with the stabilizer of the line spanned by the first  
 608 basis vector; the orbit-stabilizer theorem then gives an identification of  
 609  $G/B$  with the first projective space  $\mathbb{P}^1$ .

610 While  $G/B$  is a projective variety – in particular, not affine – we can  
 611 nevertheless approach its category of quasi-coherent sheaves without  
 612 appeal to projective geometry, by describing quasi-coherent sheaves on  
 613  $G/B$  as  $B$ -equivariant sheaves on  $G$ . This purely algebraic point of  
 614 view will most easily generalize to the quantum case considered in the  
 615 next chapter, where most of the geometry is necessarily expressed in  
 616 algebraic terms.

617 DEFINITION 5.1. The category of quasi-coherent sheaves on the  
 618 coset space  $G/B$ , denoted  $\mathcal{QCoh}(G/B)$ , has as objects all  $B$ -equivariant  
 619  $\mathcal{O}$ -modules on  $G$ . Morphisms in  $\mathcal{QCoh}(G/B)$  are those which commute  
 620 with both the  $\mathcal{O}$  action and the  $\mathcal{O}(B)$ -coaction.

621 REMARK 5.2. It is a theorem due to [] that the flag variety is in  
 622 fact an algebraic variety, and that furthermore its category of quasi-  
 623 coherent sheaves is equivalent to the category we have defined above.

624 REMARK 5.3. Because the  $G$ -action is transitive, we can identify  
 625 the fibers of the sheaf for all  $x \in G/B$ .

626 More generally, for any Hopf we have a Hopf algebra  $H$ . The next  
627 lemma generalizes Exercise 4.10.

628 PROPOSITION 5.4.  $\mathcal{C}_H^H \sim \text{Vect}$ .

629 PROOF. Let  $M^{co-inv} = \{m \in M \mid \Delta m = m \otimes 1\}$ , then  $M \mapsto M^{co-inv}$   
630 defines a functor  $F : \mathcal{C}_H \rightarrow \text{Vect}$ . The assignment  $V \mapsto V \otimes H$  gives  
631 a functor  $G : \text{Vect} \rightarrow \mathcal{C}_H$ . To finish the proof, we need to produce  
632 natural isomorphisms  $M \cong H \otimes M^{co-inv}$  and  $(V \otimes H)^{co-inv} \cong V$ .  $\square$

633 Suppose  $H$  has a quotient Hopf algebra  $A$ . We define a category  
634  ${}_A\mathcal{C}_H$  as the category whose objects are  $H$ -modules  $M$  with a right  $\mathcal{O}$ -  
635 comodule and left  $A$ -module structures, such that  $H \otimes M \rightarrow M$  is an  
636  $A$ -comodule map and  $H$ -comodule map.

637 Here we use  $H \xrightarrow{\Delta} H \otimes H \rightarrow A \otimes H$  to give  $H$  an  $A$ -comodule  
638 structure.

639 LEMMA 5.5.  ${}_A\mathcal{C}_H \sim \text{Left } A\text{-modules}$ .

640 PROOF. This is an easy extension of Proposition 5.4.  $\square$

641 Since  $G = SL(2)$  is an *affine* algebraic variety, the quasi-coherent  
642 sheaves on  $G$  are just the  $\mathcal{O}(SL(2))$  modules. In this case, the Borel  
643 subgroup is the group  $U$  of upper triangular matrices. Thus, we can  
644 construct the category of  $\mathbb{P}^1$ -modules as the category of  $\mathcal{O}(SL(2))$  mod-  
645 ules  $M$  which have  $\mathcal{O}(U)$ -comodule action, such that  $\mathcal{O}(SL(2)) \otimes M \rightarrow$   
646  $M$  is both an  $\mathcal{O}(SL(2))$ -module map, and a  $\mathcal{O}(U)$ -comodule map. This  
647 gives us our first description of quasi-coherent modules on  $\mathbb{P}^1$ .

648 **5.1. The  $\mathbb{G}_m$ -equivariant construction of  $\mathbb{P}^1$ .** There is a sec-  
649 ond, less general, construction of quasi-coherent sheaves on  $\mathbb{P}^1$ , which  
650 will give us a more explicit description. We note that  $U = T \rtimes N$ ,  
651 where  $T \cong \mathbb{C}^\times$  is the group of diagonal matrices, and  $N \cong \mathbb{C}$  is the  
652 group of unipotent matrices. Thus,  $SL(2)/U \cong (SL(2)/N)/T$ .

$$N = \left\{ \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} \right\}, U = \left\{ \begin{pmatrix} a & b \\ 0 & a^{-1} \end{pmatrix} \right\}, T = \left\{ \begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix} \right\}, a, b \in \mathbb{C}.$$

653 EXERCISE 5.6.  $SL(2)/N \cong \mathbb{A}_\circ^2$ , where  $\mathbb{A}^2 = \text{Spec}(\mathbb{C}[x, y])$ , and  $\mathbb{A}_\circ^2$   
654 denotes  $\mathbb{A}^2 \setminus \{0\}$ . It may be helpful to think of  $\mathbb{A}_\circ^2$  as the space of based  
655 lines  $\{(l, v) \mid 0 \neq v \in l \subset \mathbb{C}^2\}$ .

656 Now let us describe  $\mathcal{QCoh}(\mathbb{A}_\circ^2)$ . We first recall that since  $\mathbb{A}^2$  is affine,  
657  $\mathcal{QCoh}(\mathbb{A}^2) = \mathbb{C}[x, y]$ -modules.

658 DEFINITION 5.7. A  $\mathbb{C}[x, y]$  module  $M$  is *torsion* if for any  $m \in M$ ,  
659 there exists an  $l \gg 0$  s.t.  $x^l m = y^l m = 0$ .

660 We consider the restriction functor  $Res : \mathcal{QCoh}(\mathbb{A}^2) \rightarrow \mathcal{QCoh}(\mathbb{A}_\circ^2)$ .  
 661 This is clearly surjective, since we can always extend a sheaf by zero  
 662 off of an open set.

663 LEMMA 5.8. *Res(M)  $\cong$  0 if, and only if, M is a torsion sheaf.*

664 PROOF. Let  $M$  be a torsion sheaf on  $\mathbb{A}^2$ . On  $\mathbb{A}^2 \setminus \{\text{y-axis}\}$ ,  $x$  is  
 665 invertible, so  $M$  is necessarily zero there. Likewise, on  $\mathbb{A}^2 \setminus \{\text{x-axis}\}$ ,  $y$   
 666 is invertible, so  $M$  is zero there. Since these two open sets cover  $\mathbb{A}_\circ^2$ ,  
 667 we can conclude that torsion sheaves are sent to zero under restriction.  
 668 Conversely, if  $M_x$  and  $M_y$  are both zero, then  $M$  is a torsion sheaf.  $\square$

669 We would like now to conclude that  $\mathcal{QCoh}(\mathbb{A}_\circ^2)$  is the quotient of  
 670  $\mathcal{QCoh}(\mathbb{A}^2)$  by the full subcategory consisting of torsion modules. In  
 671 order to say this, we must define what we mean by the quotient of  
 672 a category by a subcategory. This is naturally defined whenever the  
 673 categories are abelian, and the subcategory is full, and also closed with  
 674 respect to short exact sequences. These notions, and the quotient con-  
 675 struction, are explained in the appendix ?? on abelian categories.

676 THEOREM 5.9.  $\mathcal{QCoh}(\mathbb{A}_\circ^2) \simeq \mathbb{C}[x, y] - \text{modules}/\text{torsion}$ .

677 THEOREM 5.10.  $\mathcal{QCoh}(\mathbb{P}^1) = \text{graded } \mathbb{C}[x, y] - \text{modules}/\text{torsion}$ .

678 PROOF. The  $\mathbb{C}^*$  action on  $\mathbb{C}[x, y]$  is dilation of each homogeneous  
 679 component,  $\lambda(p(x, y)) = \lambda^{\deg(p)}p(x, y)$ . Thus, an equivariant module  
 680 with respect to this action inherits a grading  $M_k = \{m \in M \mid \lambda(m) =$   
 681  $\lambda^k m\}$ . Conversely, given a grading we can define the  $\mathbb{C}^*$  action accord-  
 682 ingly.  $\square$

683 EXAMPLE 5.11.  $\mathbb{C}[x, y]$ , which corresponds to  $\mathcal{O}_{\mathbb{C}P^1}$ ;

684 EXAMPLE 5.12. If  $M = \bigoplus_n M_n$  is an object, then  $M(m)$  is defined  
 685 by the shifted grading,  $M(m)_n = M_{n-m}$

686 EXAMPLE 5.13. The Serre twisting sheaves are a particular case of  
 687 the last two examples. We have  $\mathcal{O}_{\mathbb{C}P^1}(i) = \mathbb{C}[x, y](i)$ ,

688 DEFINITION 5.14. We define the global sections functor for a graded  
 689  $\mathbb{C}[x, y]$ -module to just be the zeroth graded component.  $\Gamma(\bigoplus_n M_n) =$   
 690  $M_0$ . Clearly, this coincides with the usual definition of global sections  
 691 of an  $\mathcal{O}_{\mathbb{P}^1}$ -module.

## 692 6. The Borel-Weil Theorem

For an algebraic group  $G$ , we say that  $V$  is an algebraic module if we have a map to  $GL(V)$  that is a morphism of group varieties. Given an algebraic  $B$ -module  $V$ , we can obtain another algebraic  $B$ -module

$\mathcal{O}(\mathrm{SL}(2)) \otimes V$  by taking the right action of  $B$  on  $\mathcal{O}(\mathrm{SL}(2))$ . This space also has a left  $\mathcal{O}(\mathrm{SL}(2))$ -module structure. So, we can define an induced  $\mathcal{O}(\mathrm{SL}(2))$ -module

$$\mathrm{Ind}_B^{\mathrm{SL}(2)}(V) = (\mathcal{O}(\mathrm{SL}(2)) \otimes_{\mathbb{C}} V)^B$$

693 where the superscript  $B$  denotes that we take the  $B$  invariant part  
 694 (only the vectors fixed by  $B$  via the action on  $V$  and the right action  
 695 on  $\mathcal{O}(\mathrm{SL}(2))$ ).

We analyze how this induction works in more detail. Since we are considering  $\mathrm{SL}(2)$ , we will only need to work with one-dimensional algebraic  $B$ -modules, which we now characterize. A one-dimensional representation of  $\mathbb{C}^* \cong \mathbb{G}_m$  is a morphism  $\mathbb{C}^* \rightarrow \mathbb{C}^*$  respecting multiplication, and it's easy to see that these are the maps  $z \mapsto z^n$ . There are no non-trivial algebraic representations of  $\mathbb{C} \cong \mathbb{G}_a$ . Thus, the one-dimensional representations of  $B$  are indexed by the integers. We let  $\mathbb{C}_n$  denote the representation

$$\begin{pmatrix} a & b \\ 0 & a^{-1} \end{pmatrix} 1_n = a^{-n} 1_n$$

696 We have the following important result.

697 THEOREM 6.1. (*Borel-Weil*)

$$\mathrm{Ind}_B^{\mathrm{SL}(2)} \mathbb{C}_n = V(n)^*$$

PROOF. Consider the invariants  $(\mathcal{O}(\mathrm{SL}(2)) \otimes \mathbb{C}_n)^B$ . Note that the  $B$ -invariant submodules correspond exactly to irreducible submodules  $V(0)$ , and hence to highest weight vectors of weight 0. We can use the Peter-Weyl theorem to write

$$(\mathcal{O}(\mathrm{SL}(2)) \otimes \mathbb{C}_n)^B = \left( \bigoplus_{j=0}^{\infty} V(j)^* \otimes V(j) \otimes \mathbb{C}_n \right)^B$$

Note  $B$  only acts on the rightmost two factors, so we can reduce to

$$\bigoplus_{j=0}^{\infty} V(j)^* \otimes (V(j) \otimes \mathbb{C}_n)^B$$

698 Now, for example, if  $\{v_0, \dots, v_j\}$  forms a basis for  $V_j$ , then  $\{v_0 \otimes$   
 699  $1_n, \dots, v_j \otimes 1_n\}$  is a basis for  $V(j) \otimes \mathbb{C}_n$ . The only vector killed by  $E$  is  
 700  $v_0 \otimes 1_n$ , and it has weight  $j - n$ . Thus, the only highest weight vectors  
 701 of weight 0 occur when  $j = n$ . So, we find  $\mathrm{Ind}_B^{\mathrm{SL}(2)} \mathbb{C}_n = V(n)^*$ .  $\square$



702 REMARK 6.2. More generally the Borel-Weil theorem implies that  
 703 for  $G$  semi-simple,  $B$  its Borel sub-algebra, every finite dimensional  
 704 representation of  $G$  can be realized by induction from  $B$  in this way.

705 What is the geometric interpretation of this theorem? We can re-  
 706 late the induced representation to line bundle structures on the quo-  
 707 tient  $\mathrm{SL}(2)/B$ . By proposition ??, a one dimensional  $B$ -module  $M$   
 708 determines a  $G$ -equivariant  $\mathcal{O}(G/B)$  line bundle  $\tilde{M}$ . The global sec-  
 709 tions  $\Gamma(\tilde{M})$  of this line bundle have a  $G$ -action, and this module is  
 710  $\mathrm{Ind}_B^G M$ . Let's take a look at our example. We can describe quasi-  
 711 coherent  $\mathcal{O}$ -modules on  $\mathbb{P}^1 \cong \mathrm{SL}(2)/B$  by considering  $B$ -equivariant  
 712  $\mathcal{O}(\mathrm{SL}(2))$ -modules. Starting from a  $B$ -module  $V$ , we can obtain such  
 713 equivariant modules by tensoring  $\mathcal{O}(\mathrm{SL}(2)) \otimes_{\mathbb{C}} V$  and taking the right  
 714  $B$ -action on  $\mathcal{O}(\mathrm{SL}(2))$  as above. For example, starting with  $\mathbb{C}_n$ , our  
 715 equivariant module will be  $\mathcal{O}(\mathrm{SL}(2)) \otimes \mathbb{C}_n$ . By Borel-Weil the global  
 716 sections of the quotient bundle will be  $V(n)^*$ , so we can identify this  
 717 line bundle with the twisting sheaf  $\mathcal{O}_{\mathbb{P}^1}(n)$ .

## 718 7. Beilinson-Bernstein Localization

719 **7.1.  $D$ -modules on  $\mathbb{P}^1$ .** In this section, we will construct certain  
 720  $D$ -modules, which are essentially sets of solutions of algebraic differen-  
 721 tial equations. In section ??, we will define  $D$ -modules for any affine  
 722 algebraic variety, but for now, we consider the cases of  $\mathbb{A}^2$ ,  $\mathbb{A}_\circ^2 = \mathbb{A}^2 \setminus \{0\}$   
 723 and  $\mathbb{P}^1$ . To consider  $D$ -modules on a general algebraic variety, one sim-  
 724 ply sheafifies the construction for affine algebraic varieties.

725 DEFINITION 7.1. We define the *second Weyl algebra*,  $W$ , to be the  
 726 algebra generated over  $\mathbb{C}$  by  $\{x, y, \partial_x, \partial_y\}$ , subject to relations  $[x, \partial_x] =$   
 727  $[y, \partial_y] = 1$ , with all other pairs of generators commuting.  $W$  is a graded  
 728 algebra over  $\mathbb{C}$  with  $\deg x = \deg y = 1$ ,  $\deg \partial_x = \deg \partial_y = -1$ .

729 DEFINITION 7.2. A  $D$ -module on  $\mathbb{A}^2$  is a module over  $W$

730 DEFINITION 7.3. A  $W$ -module  $M$  is *torsion* if for all  $m \in M$ , there  
 731 is a  $k$  such that  $x^k m = y^k m = 0$

732 A similar consideration to that which led to quasi-coherent sheaves  
 733 on  $\mathbb{A}_\circ^2$  yields the following

734 DEFINITION 7.4. *The category of  $D$ -modules on  $\mathbb{A}_\circ^2$*  is the quotient  
 735 of the category of  $W$ -modules by the full subcategory of torsion mod-  
 736 ules.

737  $W$  contains a distinguished element, called the *Euler operator*  $T =$   
 738  $x\partial_x + y\partial_y$ . Geometrically,  $T$  corresponds to the vector field on  $\mathbb{A}^2$

739 pointing in the radial direction at every point, and vanishing only at  
740 the origin. We now use  $W$  to define  $D$ -modules on  $\mathbb{P}^1$ :

741 **DEFINITION 7.5.** The category of  $D$ -modules on  $\mathbb{P}^1$  has as its ob-  
742 jects graded  $W_2$ -modules  $M$  modulo torsion such that  $T$  acts on the  
743  $n$ th graded component  $M_n$  as scalar multiplication by  $n$ .

744 **REMARK 7.6.** This graded action by the Euler operator is the cor-  
745 rect notion of equivariance in the differential setting.

746 **EXAMPLE 7.7.** The polynomial ring  $\mathbb{C}[x, y]$  with the usual grading  
747 is a  $D$ -module on  $\mathbb{P}^1$ , where  $x$  and  $y$  act by left multiplication, and  
748  $\partial_x$  and  $\partial_y$  act by differentiation. More generally, the structure sheaf is  
749 always a  $D$ -module.

750 **EXAMPLE 7.8.** The shifted modules  $\mathbb{C}[x, y](n)$  are *not*  $D$ -modules,  
751 because although they are modules over  $W$ , the Euler operator does  
752 not act on the graded components by the correct scalar.

753 **EXAMPLE 7.9.**  $\mathbb{C}[x, x^{-1}, y]$  with grading  $\deg x = \deg y = 1$  and  
754  $\deg x^{-1} = -1$  is a  $D$ -module. Note that the global sections functor  
755 yields  $\Gamma(\mathbb{C}[x, x^{-1}, y]) = \mathbb{C}[x^{-1}y]$ , whereas above we had  $\Gamma(\mathbb{C}[x, y]) = \mathbb{C}$ .

756 **7.2. The Localization Theorem.** We wish to investigate the  
757 structure of  $W$  a little further. If we decompose it into graded compo-  
758 nents as  $W = \bigoplus_{i \in \mathbb{Z}} W_i$ , then what is the 0th component  $W_0$ ? Since  
759  $W$  acts faithfully on  $\mathbb{C}[x, y]$ , it suffices to consider the embedding  
760  $W \hookrightarrow \text{End}(\mathbb{C}[x, y])$  and answer the same question for the image of  
761  $W$ .

762 **EXERCISE 7.10.** The component  $W_0$  is generated by the elements  
763  $x\partial_y, y\partial_x, x\partial_x$ , and  $y\partial_y$ .

764 **LEMMA 7.11.** *The elements  $x^i y^j \partial_x^k \partial_y^l$  form a basis for  $W_2$ .*

765 **PROOF.** Using the commutation relations, it is easy to show that  
766 these elements are stable under left multiplication by the generators  
767 of  $W$ . Furthermore, since 1 is of this form, these elements must span  
768  $W$ . Thus it remains only to check the linear independence of these  
769 elements. This is clear from the faithful action on  $\mathbb{C}[x, y]$ , so we are  
770 done.  $\square$

771 Modifying the generating set for  $W_0$  slightly to be  $x\partial_y, y\partial_x, T, x\partial_x -$   
772  $y\partial_y$ , we now notice a few interesting relations:

$$\begin{aligned} x\partial_y(x) &= 0, & y\partial_x(x) &= y, & (x\partial_x - y\partial_y)(x) &= x \\ x\partial_y(y) &= x, & y\partial_x(y) &= 0, & (x\partial_x - y\partial_y)(y) &= -y. \end{aligned}$$

773 This is exactly the action of  $\mathfrak{sl}(2, \mathbb{C})$ , where we identify the generators  
 774  $E = x\partial_y$ ,  $F = y\partial_x$ , and  $H = x\partial_y - y\partial_x$ , together with the element  $T$ .

775 DEFINITION 7.12. Let  $U$  be a Hopf algebra acting on a module  
 776  $A$ . Then  $A$  is called a *module algebra* if we have a multiplication  $\mu :$   
 777  $A \otimes A \rightarrow A$ , which is a map of  $U$ -modules. Specifically, if  $\Delta u = u_1 \otimes u_2$ ,  
 778 then we require  $u(ab) = (u_1 a)(u_2 b)$ .

779 For the universal enveloping algebra  $U = \mathcal{U}(\mathfrak{sl}(2, \mathbb{C}))$  and  $x \in$   
 780  $\mathfrak{sl}(2, \mathbb{C})$ , we have the comultiplication map  $\Delta x = x \otimes 1 + 1 \otimes x$ , so the def-  
 781 inition of a module algebra imposes the condition  $x(ab) = (xa)b + a(xb)$ .  
 782 This is precisely the Leibniz rule, so  $x$  acts as a derivation. In particu-  
 783 lar, if  $\mathcal{U}(\mathfrak{sl}(2, \mathbb{C}))$  acts on  $\mathbb{C}[x, y]$  as a module algebra, then the genera-  
 784 tors  $E, F, H$  act as derivations and so their action coincides with that  
 785 of  $x\partial_y, y\partial_x, x\partial_x - y\partial_y$ . (We leave it as an exercise to check that  $U$  acts  
 786 in the correct way.)

787 In particular, the action of  $\mathbb{C}\langle x\partial_y, y\partial_x, x\partial_x - y\partial_y \rangle \subset W \subset \text{End}(\mathbb{C}[x, y])$   
 788 is identical to that of  $\mathcal{U}(\mathfrak{sl}(2, \mathbb{C}))$ . Furthermore,  $T = x\partial_x + y\partial_y$  is central  
 789 inside  $W_0$  since it acts as a scalar on each graded component and thus  
 790 commutes with these degree-preserving generators there. But we know  
 791 that the center of  $\mathcal{U}(\mathfrak{sl}(2, \mathbb{C}))$  is generated by the Casimir element  $C$ ,  
 792 so we can express  $T$  as a polynomial in  $C$ . Since  $C$  acts on  $\mathbb{C}[x, y]_i$  as  
 793 scalar multiplication by  $i(i + 2)$ , and  $T$  acts on it as multiplication by  
 794  $i$ , we must have  $C = T^2 + 2T$ . Therefore we have

$$W_0 = \mathcal{U}(\mathfrak{sl}(2, \mathbb{C}))[T]/\langle C = T^2 + 2T \rangle.$$

795 For any  $D$ -module  $M$  on  $\mathbb{P}^1$ , we get an action of  $W_0$  on the global  
 796 sections  $\Gamma(M) = M_0$ . Since  $T$  acts as zero on  $M_0$ , however, we see  
 797 that  $\Gamma(M)$  is in fact a module over  $\mathcal{U}(\mathfrak{sl}(2, \mathbb{C}))/\langle C = 0 \rangle$ . This is still  
 798 an algebra, since  $C$  is central and thus  $\langle C \rangle$  is a bi-ideal; we will let  
 799  $\mathcal{U}_0 = \mathcal{U}(\mathfrak{sl}(2, \mathbb{C}))/\langle C = 0 \rangle$  for convenience.

800 EXAMPLE 7.13. If  $M = \mathbb{C}[x, x^{-1}, y]$  then  $\Gamma(M) = \mathbb{C}(x^{-1}y)$ , and  
 801 clearly  $C$  acts on this by 0. We can compute the action of  $E, F, H \in$   
 802  $\mathfrak{sl}(2, \mathbb{C})$  on this module (as  $x\partial_y, y\partial_x, x\partial_x - y\partial_y$  respectively) to see that  
 803 it is an infinite dimensional  $\mathfrak{sl}(2, \mathbb{C})$ -module. Taking Fourier transforms  
 804 gives the dual of the Verma module  $M_0^*$ .

805 We now claim that  $D$ -modules over  $\mathbb{P}^1$  are equivalent to modules  
 806 over  $\mathcal{U}_0$ . More precisely:

807 PROPOSITION 7.14. *The functor  $\Gamma : D\text{-mod}(\mathbb{P}^1) \rightarrow \mathcal{U}_0\text{-mod}$  is an*  
 808 *equivalence of categories.*

809 PROOF. Notice that  $\Gamma$  is representable by an object  $D$ , i.e.  $\Gamma(M) \cong$   
 810  $\text{Hom}_{D\text{-mod}}(D, M)$ . (We leave it as an exercise to construct this object

811  $D \in D\text{-mod}(\mathbb{P}^1)$  as a quotient of  $W_2$  by an element  $T$  which is defined so  
 812 that the Casimir element acts the way it should, and to check that  $\mathcal{U}_0 =$   
 813  $\text{End}(D)$ .) Thus we need to prove that  $D$  is a projective. We require  
 814 two facts: first, that  $\Gamma = \text{Hom}_{D\text{-mod}}(D, -)$  is exact, and second, that  
 815  $\Gamma$  is faithful, or that if  $\Gamma(M) = 0$  then  $M = 0$ .

816 In order to prove exactness, we first need Kashiwara's theorem: if  $M$   
 817 is torsion, then  $M = \mathbb{C}[\partial_x, \partial_y] \cdot M_0$ , where  $M_0 = \{m \in M \mid xm = ym =$   
 818  $0\}$ . We can check this for modules over  $W_1 = \mathbb{C}\langle x, \partial_x \rangle / \langle [\partial_x, x] = 1 \rangle$ :  
 819 for any  $W_1$ -module  $M$ , we define  $M_i = \{m \in M \mid x\partial_x m = im\}$ . Then  
 820 we have well-defined maps  $x : M_i \rightarrow M_{i+1}$  and  $\partial_x : M_i \rightarrow M_{i-1}$ ,  
 821 and  $x\partial_x : M_i \rightarrow M_i$  is an isomorphism for  $i < 0$ , so  $\partial_x x = x\partial_x + 1$   
 822 is an isomorphism on  $M_i$  for  $i < -1$ . But then both  $x\partial_x$  and  $\partial_x x$  are  
 823 isomorphisms on  $M_i$ , so in particular  $x : M_i \rightarrow M_{i+1}$  is an isomorphism  
 824 for  $i \leq -2$  and  $\partial_x : M_i \rightarrow M_{i-1}$  is an isomorphism for  $i \leq -1$ . In  
 825 particular, if  $xm = 0$ , then  $x\partial_x m = (\partial_x x - 1)m = -m$  and hence  
 826  $m \in M_{-1}$ . More generally, if  $x^i m = 0$  then it follows by an easy  
 827 induction that  $m \in \bigoplus_{j=-i}^{-1} M_j$ . We conclude that if  $M$  is torsion, then  
 828  $M = \mathbb{C}[\partial_x] \cdot M_{-1}$ , and so the functor  $M \mapsto M_{-1}$  gives an equivalence of  
 829 categories from torsion  $W_1$ -modules to vector spaces. An argument by  
 830 induction will show that the analogous statement is true for any  $W_i$ , and  
 831 so in particular if  $M$  is a torsion  $W_2$ -module then  $M = \mathbb{C}[\partial_x, \partial_y] \cdot M_{-2}$   
 832 where  $M_{-2} = \{m \in M \mid Tm = -2m\}$ . Therefore any graded torsion  
 833  $W_2$ -module has all homogeneous elements in degrees  $\leq -2$ .

834 We can now prove that  $\Gamma$  is exact; since it's already left exact, we  
 835 only need to show that it preserves surjectivity. Suppose that we have  
 836 an exact sequence  $M \rightarrow N \rightarrow 0$  in the category of graded modules  
 837 modulo torsion, so that in reality  $M \rightarrow N$  may not be surjective –  
 838 all we know is that  $C = \text{coker}(M \rightarrow N)$  is a graded torsion module.  
 839 Taking global sections yields a sequence  $\Gamma(M) \rightarrow \Gamma(N) \rightarrow \Gamma(C)$ , or  
 840  $M_0 \rightarrow N_0 \rightarrow C_0$ , and since  $C$  is torsion we know that it is concentrated  
 841 in degrees  $\leq -2$ , so that  $C_0 = 0$ . But  $\Gamma$  is exact in the graded category,  
 842 so the sequence  $\Gamma(M) \rightarrow \Gamma(N) \rightarrow 0$  is exact as desired. Therefore  $\Gamma$  is  
 843 indeed exact.  $\square$

844 **EXERCISE 7.15.** Complete the proof by showing that  $\Gamma$  is faithful,  
 845 i.e. that if  $M_0 = 0$  then  $M$  is torsion.

846 The representing object  $D$  is a  $\mathcal{U}_0$ -module since  $\text{End}(D) = \mathcal{U}_0$ , so  
 847 we now have a *localization functor*  $\text{Loc}(M) = D \otimes_{\mathcal{U}_0} M$  on the category  
 848 of  $\mathcal{U}_0$ -modules. This passes from an algebraic category to a geometric  
 849 one, hence in the opposite direction from  $\Gamma$ .

CHAPTER 4

850

**The first quantum example:  $U_q(\mathfrak{sl}_2)$ .**

851

### 1. The quantum integers

852 In this section we introduce some polynomial expressions in a com-  
 853 plex variable  $q$ , called quantum integers, which share many basic arith-  
 854 metical properties with the integers. When we define the quantum  
 855 analogs of  $SL_2$  and  $\mathfrak{sl}_2$ , the integral weights which arose there will be  
 856 replaced by quantum integral weights. The study of quantum integers  
 857 predates quantum physics, and goes back indeed to Gauss, who studied  
 858  $q$ -series related to finite fields. Only in the last half of the twentieth  
 859 century have the connections between these polynomials and the math-  
 860 ematics of quantum physics come to be understood. The interested  
 861 reader should consult [?], [?], [?] for a more thorough exposition.

DEFINITION 1.1. For  $a \in \mathbb{Z}$ , we define the quantum integer,

$$[a]_q = \frac{q^a - q^{-a}}{q - q^{-1}} = q^a + q^{a-2} + \cdots + q^{2-a} + q^{-a} \in \mathbb{C}[q, q^{-1}].$$

862 We will omit the “ $q$ ” in the subscript when there is no risk of confusion.

863 We further define

$$\begin{aligned} 864 \quad (1) \quad [a]! &= [a][a-1] \cdots [1]. \\ 865 \quad (2) \quad \begin{bmatrix} a \\ n \end{bmatrix} &= \frac{[a]!}{[a-n]![n]!} \in \mathbb{Z}[q]. \end{aligned}$$

866 EXERCISE 1.2. Let  $(n)_q := q^n [n]_{q^{\frac{1}{2}}} = \frac{q^n - 1}{q - 1}$ . Let  $\mathbb{F}_q$  denote the field  
 867 with  $q = p^k$  elements. Show that:

- 868 (1) The general linear group  $GL_n(\mathbb{F}_q)$  has order  $(n)_q!$ .
- 869 (2) There are  $\begin{bmatrix} n \\ k \end{bmatrix}_q$  subspaces in  $\mathbb{F}_q^n$  of dimension  $k$ .
- (3) Let  $D, \bar{D} : \mathbb{C}(q)[x, x^{-1}] \rightarrow \mathbb{C}(q)[x, x^{-1}]$  denote the difference operators,

$$(Df)(x) := \frac{f(qx) - f(q^{-1}x)}{x(q - q^{-1})}, \quad (\bar{D}f)(x) := \frac{f(qx) - f(x)}{x(q - 1)}.$$

870 Show that  $D(x^n) = [n]x^{n-1}$ , and  $\bar{D}(x^n) = (n)x^{n-1}$ . Observe  
 871 that  $\lim_{q \rightarrow 1} D = \lim_{q \rightarrow 1} \bar{D} = \frac{d}{dx}$ .

872

### 2. The quantum enveloping algebra $U_q(\mathfrak{sl}_2)$

DEFINITION 2.1. The quantum enveloping algebra  $U_q(\mathfrak{sl}_2)$  is the  $\mathbb{C}[q, q^{-1}]$ -algebra with generators  $E, F, K, K^{-1}$ , with relations:

$$KEK^{-1} = q^2E, \quad KFK^{-1} = q^{-2}F, \quad [E, F] = \frac{K - K^{-1}}{q - q^{-1}}$$

$$KK^{-1} = K^{-1}K = 1.$$

With these relations, we are equipped to prove the quantum analog of the PBW theorem. Declare  $E < K < K^{-1} < F$ . Then the relations in  $U_q$  are of the form:

$$S = \left\{ \begin{array}{l} (K^{\pm 2}E, q^{\pm 1}EK^{\pm 1}), (FK^{\pm 1}, q^{\pm 2}K^{\pm 1}F), (FE, EF - \frac{K-K^{-1}}{q-q^{-1}}), \\ (K^{\pm 1}K^{\mp 1}, 1) \end{array} \right\}.$$

873 THEOREM 2.2. (*Quantum PBW theorem*) The PBW monomials  
874  $\{E^a K^b F^c\}$  form a basis for  $U_q(\mathfrak{sl}_2)$ .

PROOF. It is clear by inspection of the relations that PBW monomials span  $U_q(\mathfrak{sl}_2)$ . It remains to show that these monomials are linearly independent. Mimicking the proof of the PBW theorem for  $U(\mathfrak{sl}_2)$ , we need only verify the overlap ambiguities in the statement of the diamond lemma. There is essentially only one interesting relation to check:

$$(FK)E = q^2KFE = -q^2 \frac{K^2 - 1}{q - q^{-1}}; \quad F(KE) = q^2FEK = -q^2 \frac{K^2 - 1}{q - q^{-1}}.$$

875

□

876 COROLLARY 2.3.  $U_q$  has no zero divisors.

877 PROOF. This follows by computing the leading order coefficients in  
878 the PBW basis. □

879 REMARK 2.4. Observe that checking the diamond lemma for  $U_q(\mathfrak{sl}_2)$   
880 is actually slightly *easier* than for classical  $\mathfrak{sl}_2$ . We will see that in many  
881 ways the relations for  $U_q(\mathfrak{sl}_2)$  are easier to work with than for classical  
882  $U(\mathfrak{sl}_2)$ .

883 We record the following commutation relations for future use:

884 LEMMA 2.5. We have:  $[E, F^m] = \frac{q^{m-1}K - q^{1-m}K^{-1}}{q - q^{-1}}[m]F^{m-1}$ .

EXERCISE 2.6. Prove the lemma, using induction and the identity

$$[a, bc] = [a, b]c + b[a, c].$$

An alternative proof of the PBW theorem for quantum  $\mathfrak{sl}_2$  may be given by constructing a faithful action of  $U_q$ , and verifying linear independence there. To this end, define an action of  $U_q$  on the vector space  $A = \mathbb{C}[x, y, z, z^{-1}]$  as follows:

$$\begin{aligned} E(y^s z^n x^r) &:= y^{s+1} z^n x^r, & K(y^s z^n x^r) &= q^{2s} y^s z^{n+1} x^r, \\ F(y^s z^n x^r) &= q^{2n} y^s z^n x^{r+1} + [s] y^{s-1} \frac{z q^{1-s} - z^{-1} q^{s-1}}{q - q^{-1}} z^n x^r. \end{aligned}$$

885 EXERCISE 2.7. Check that this defines an action, and verify that  
 886  $E^a K^b F^c(1) = y^a z^b x^c$ . Conclude that the set of PBW monomials is  
 887 linearly independent.

888 In what follows, we will assume that  $q^n \neq 1$  for all  $n$ . The case where  
 889  $q$  is a root of unity is of considerable interest, and will be addressed in  
 890 later chapters. Notice that many of the proofs which follow depend  
 891 on this assumption.

892 Finally, we note in passing that  $U_q$  becomes a graded algebra if we  
 893 define  $\deg(E) = 1, \deg(K) = \deg(K^{-1}) = 0, \deg(F) = -1$ .

### 894 3. Representation theory for $U_q(\mathfrak{sl}_2)$

895 The finite-dimensional representation theory for  $U_q$ , when  $q$  is not  
 896 a root of unity, is remarkably similar to that of  $U$ , as we will see below.  
 897 Somewhat surprisingly, the representation theory of  $U_q$  when  $q$  is a  
 898 root of unity is rather more akin to modular representation theory:  
 899 this arises from the simple observation that  $[m]_q = 0$  if, and only if,  
 900  $q^{2k} = 1$ .

901 DEFINITION 3.1. A vector  $v \in V$  is a weight vector of weight  $\lambda$  if  
 902  $Kv = \lambda v$ . We denote by  $V_\lambda$  the space of weight vectors of weight  $\lambda$ . A  
 903 weight vector  $v \in V_\lambda$  is highest weight if we also have  $E v = 0$ .

904 Observe that  $E V_\lambda \subset V_{q^2 \lambda}, F V_\lambda \subset V_{q^{-2} \lambda}$ ; hence if  $q$  is not a root of  
 905 unity, and  $V$  is finite dimensional, we can always find a highest weight  
 906 vector.

LEMMA 3.2. Let  $v \in V$  be a h.w.v. of weight  $\lambda$ . Define  $v_0 = v$ ,  
 $v_i = F^{[i]} v_0 = \frac{F^i}{[i]!} v$ . Then we have:

$$K v_i = q^{-2i} \lambda v_i, \quad F v_i = [i+1] v_{i+1}, \quad E v_i = \frac{\lambda q^{-i+1} - \lambda^{-1} q^{i-1}}{q - q^{-1}} v_{i-1}.$$

PROOF. The first two are straightforward computations. For the  
 third, we compute:

$$E v_i = \frac{E F^i}{[i]!} v_0 = \frac{q^{i-1} K - q^{1-i} K^{-1}}{q - q^{-1}} \frac{F^{i-1}}{[i-1]!} v_0 = \frac{q^{1-i} \lambda - q^{i-1} \lambda^{-1}}{q - q^{-1}} v_{i-1}.$$

907 □

908 Now, suppose  $V$  is finite dimensional and  $v_0$  is a h.w.v. of weight  
 909  $\lambda$ , and  $v_m \neq 0, v_{m+1} = 0$ . Then,  $0 = E v_{m+1} = [\lambda, -m] v_m$ , and thus  
 910  $[\lambda, -m] = \frac{\lambda q^{-m} - \lambda^{-1} q^m}{q - q^{-1}} = 0$ . Hence  $\lambda q^{-m} = \lambda q^m$ , and  $\lambda^2 = q^{2m} \rightarrow \lambda =$   
 911  $\pm q^m$ . In conclusion, we have the following theorem.



912 THEOREM 3.3. For each  $n \geq 0$ , we have two finite dimensional  
 913 irreducible representations of h.w.  $\pm q^n$  of dimension  $n+1$ , and these  
 914 are all of the finite dimensional representations.

#### 915 4. $U_q$ is a Hopf algebra

916 In this section we will see that the algebra  $U_q$  is equipped with a  
 917 comultiplication and antipode making it into a Hopf algebra. These  
 918 will be modelled on the comultiplication and antipodes in  $U(\mathfrak{sl}_2)$  from  
 919 the previous chapter.

920 PROPOSITION 4.1. There exists a unique homomorphism of algebras  
 921  $\Delta : U_q \rightarrow U_q \otimes U_q$  defined on generators by

$$\Delta E = E \otimes 1 + K \otimes E, \quad \Delta F = F \otimes K^{-1} + 1 \otimes F, \quad \Delta K^{\pm 1} = K^{\pm 1} \otimes K^{\pm 1}$$

PROOF. There is no problem defining  $\Delta$  on the free algebra  $T = \mathbb{C}\langle E, F, K, K^{-1} \rangle$ . In order for  $\Delta$  to descend to a homomorphism from  $U_q(\mathfrak{sl}_2)$ , we need to check  $\Delta(J) = 0$  in  $U_q(\mathfrak{sl}_2) \otimes U_q(\mathfrak{sl}_2)$ . For instance, we must check that:

$$\Delta(EF - FE) = \Delta\left(\frac{K - K^{-1}}{q - q^{-q}}\right)$$

922 This we will do now, and leave the remaining relations to the reader  
 923 to verify.

$$\begin{aligned} \Delta E \Delta F - \Delta F \Delta E &= (E \otimes 1 + K \otimes E)(F \otimes K^{-1} + 1 \otimes F) \\ &\quad - (F \otimes K^{-1} + 1 \otimes F)(E \otimes 1 + K \otimes E) \\ &= (EF \otimes K^{-1} + E \otimes F + KF \otimes EK^{-1} + K \otimes EF) \\ &\quad - (FE \otimes K^{-1} + E \otimes F + FK \otimes K^{-1}E + K \otimes FE) \\ &= (EF - FE) \otimes K^{-1} + K \otimes (EF - FE) \\ &= \frac{K - K^{-1}}{q - q^{-1}} \otimes K^{-1} + K \otimes \frac{K - K^{-1}}{q - q^{-1}} \\ &= \frac{K \otimes K - K^{-1} \otimes K^{-1}}{q - q^{-1}} \\ &= \Delta\left(\frac{K - K^{-1}}{q - q^{-1}}\right) \end{aligned}$$

924

□

925 EXERCISE 4.2. Verify that  $\Delta$  is co-associative, and thus defines a  
 926 co-multiplication.

927 We can now define a co-unit  $\epsilon$  for  $\Delta$ . Let  $\epsilon : U_q \rightarrow \mathbb{C}$  be the unique  
 928 algebra map satisfying  $\epsilon(E) = \epsilon(F) = 0, \epsilon(K) = \epsilon(K^{-1}) = 1$ .

929 EXERCISE 4.3. Verify the co-unit axiom for  $\epsilon$  and  $\Delta$ .

930 In conclusion, if we let  $\mu$  and  $\eta$  be the multiplication and unit maps  
 931 on the algebra  $U_q$ , we have that  $(U_q, \mu, \eta, \Delta, \epsilon)$  is a bi-algebra. We have  
 932 only now to produce an antipode.

PROPOSITION 4.4. *There exists a unique anti-automorphism  $S$  of  $U_q$  defined on generators by:*

$$S(K) = K^{-1} \quad S(K^{-1}) = K \quad S(E) = -K^{-1}E \quad S(F) = -FK.$$

933 Furthermore we have  $S^2(u) = K^{-1}uK$ , for all  $u \in U_q$ .

PROOF. There is no problem defining  $S$  on the free algebra  $T$ . To check that  $S$  is well defined on  $U_q$  then amounts to verifying that  $S(J) \subset J$ , for which it suffices (since  $S$  is an anti-morphism) to check the statement on the multiplicative generators for  $J$ . For instance, we must check:

$$S(EF - FE) = S\left(\frac{K - K^{-1}}{q - q^{-1}}\right).$$

934 We do this now, and leave the remaining computations to the reader.

$$\begin{aligned} S(EF - FE) &= S(F)S(E) - S(E)S(F) = FKK^{-1}E - K^{-1}EFK \\ &= FE - EF = \frac{K^{-1} - K}{q - q^{-1}} = S\left(\frac{K - K^{-1}}{q - q^{-1}}\right) \end{aligned}$$

935 The remaining relations follow in similar spirit. □

### 936 5. More representation theory for $U_q$

937 Now that we have equipped  $U_q$  with the structure of a Hopf algebra,  
 938 its category of representations is endowed with a tensor product, as in  
 939 (??). In the classical case, we saw that the calculus of this tensor  
 940 product was rather simple, and could be expressed in terms of the  
 941 Clebsch-Gordan isomorphisms (??). In this section we will establish  
 942 the quantum Clebsch-Gordan isomorphisms, and we will show that the  
 943 category  $U_q$ -mod is semi-simple. The formulations and proofs for both  
 944 statements will be completely analogous to the classical case.

945 PROPOSITION 5.1.  $V_+(1) \otimes V_+(1) \cong V_+(2) \oplus V_+(0)$

PROOF. We recall the notation of ??:  $v_0$  denotes a highest weight vector, while  $v_1 = Fv_0$ . Consider the vector  $v = v_0 \otimes v_0 \in V_+(1) \otimes V_+(1)$ . We have

$$\begin{aligned} Ev &= Ev_0 \otimes v_0 + Kv_0 \otimes Ev_0 = 0, \quad Kv = Kv_0 \otimes Kv_0 = q^2v, \\ Fv &= Fv_0 \otimes K^{-1}v_0 + v_0 \otimes Fv_0 = q^{-1}v_1 \otimes v_0 + v_0 \otimes v_1, \end{aligned}$$

$$F^{[2]}v = v_1 \otimes v_1, F^{[3]}v = 0.$$

946 Finally, we have the vector  $w = q^{-1}v_0 \otimes v_1 - v_1 \otimes v_0$ , such that  $Kw = w$ ,  
 947  $EW = FW = 0$ .  $\square$

948 These two submodules produce the required decomposition.

949 DEFINITION 5.2. The character  $ch_V \in \mathbb{C}[q, q^{-1}]$  of a finite dimen-  
 950 sional  $U_q(\mathfrak{sl}_2)$ -module is the trace of  $K|_V$ .

951 EXERCISE 5.3. Verify that  $ch_{V(n)} = [n + 1]_q$ .

952 EXERCISE 5.4. [?] State and prove the general quantum Clebsch-  
 953 Gordan formula for  $U_q(\mathfrak{sl}_2)$ , by mimicking our proof for  $U(\mathfrak{sl}_2)$ .

954 EXERCISE 5.5. Let  $c_{V(1),V(1)} : V(1) \otimes V(1) \rightarrow V(1) \otimes V(1)$  denote  
 955 the  $U_q(\mathfrak{sl}_2)$ -linear endomorphism which scales the component  $V(2)$  in  
 956 the tensor product by  $q$ , and the component  $V(0)$  by  $-q^{-1}$ . With  
 957 respect to the basis  $v_0 \otimes v_0, v_0 \otimes v_1, v_1 \otimes v_0, v_1 \otimes v_1$  of the tensor product,  
 958 show that:

$$c_{V(1),V(1)} = \begin{pmatrix} q & 0 & 0 & 0 \\ 0 & q - q^{-1} & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & q \end{pmatrix}.$$

### 959 5.1. Quantum Casimir element.

DEFINITION 5.6. The quantum Casimir operator,  $C \in U_q$  is the  
 element

$$C_q = FE + \frac{Kq + K^{-1}q^{-1}}{(q - q^{-1})^2} = EF + \frac{Kq^{-1} + K^{-1}q}{(q - q^{-1})^2}$$

960 EXERCISE 5.7. Show that the two definitions of  $C_q$  are equal, and  
 961 that  $C_q$  is central.

962 EXERCISE 5.8. Let  $\epsilon \in \{+, -\}$ , and let  $V_\epsilon(m)$  be a simple  $U_q$  mod-  
 963 ule. Then  $C_q$  acts by the scalar  $\epsilon \left( \frac{q^{m+1} + q^{-m-1}}{q - q^{-1}} \right)$ . In particular,  $C_q$  dis-  
 964 tinguishes between the different  $V_\epsilon(m)$

965 Thus, we have a central element  $\tilde{C}_q = C_q - \frac{q+q^{-1}}{(q-q^{-1})^2}$ , which acts as  
 966 zero on a simple module  $M$  if and only if it is the trivial module.

967 THEOREM 5.9. *The category of  $U_q(\mathfrak{sl}_2(\mathbb{C}))$ -modules is semi-simple.*

968 PROOF. It is identical to the proof of the classical case ??, using  
 969  $C_q$  in place of  $C$ .  $\square$

970 REMARK 5.10. We have shown that when  $q$  is not a root of unity,  
 971 the category of finite-dimensional type I  $U_q$ -modules is equivalent to  
 972 the category  $U$ -mod, as abelian categories. However, as tensor cat-  
 973 egories, they cannot be equivalent, because the co-product is non-  
 974 cocommutative in  $U_q$ .

975 REMARK 5.11. For any  $M$  a finite dimensional  $U_q$ -module, we can  
 976 decompose  $M = M_+ \oplus M_-$ , where  $M_+$  is a sum of type I modules,  
 977  $M_-$  is a sum of type II modules. Finally, we observe in passing that  
 978  $V_-(m) \cong V_-(0) \otimes V_+(m)$ .

## 979 6. The locally finite part and the center of $U_q(\mathfrak{sl}_2)$

There is a peculiarity in the construction of  $U_q(\mathfrak{sl}_2)$ . As with any  
 Hopf algebra, we may consider the ‘‘adjoint’’ action of  $U_q(\mathfrak{sl}_2)$  on itself:

$$x \cdot y := x_{(1)}yS(x_{(2)}),$$

980 where  $\Delta(x) = x_{(1)} \otimes x_{(2)}$  (the implicit sum is suppressed in the nota-  
 981 tion). In the classical setting, the adjoint action is just the commutator  
 982 action, and we found (via the PBW theorem) that  $U(\mathfrak{sl}_2)$  decomposed  
 983 naturally as a direct sum of finite dimensional representations. In par-  
 984 ticular, for any given  $x \in U(\mathfrak{sl}_2)$ , the orbit  $U(\mathfrak{sl}_2) \cdot x$  of  $x$  was finite-  
 985 dimensional. For a Hopf algebra  $H$ , we let  $H'$  denote the sub-space of  
 986 elements  $x$  which generate a finite orbit under the adjoint action.

For  $U_q(\mathfrak{sl}_2)$ , we compute:

$$\begin{aligned} E \cdot (E^l K^m F^n) &= E^{l+1} K^m F^n - K E^l K^m F^n K^{-1} E \\ &= (1 - q^{2l-2n+2m}) E^{l+1} K^m F^n + q^{2l-2n} E^l K^m \frac{q^{n-1} K - q^{1-n} K^{-1}}{q - q^{-1}} [n] F^{n-1}. \\ F \cdot (E^l K^m F^n) &= F E^l K^m F^n K - E^l K^m F^n F K \\ &= q^{2n} (q^{-2m} - q^2) E^l K^{m+1} F^{n+1} - q^{2n} [l] E^{l-1} \frac{K q^{n-1} - K^{-1} q^{1-n}}{q - q^{-1}} K^{m+1} F^n. \end{aligned}$$

It follows easily that the locally finite part  $U'_q(\mathfrak{sl}_2)$  of  $U_q(\mathfrak{sl}_2)$  is generated  
 by the elements  $EK^{-1}, F, K^{-1}$ . Let us define:

$$\bar{E} = EK^{-1}, \quad \bar{F} = F, \quad K^{-1}, \quad \bar{L} = \frac{1 - K^{-2}}{q - q^{-1}}.$$

We can easily compute commutation relations amongst generators of  $U'(\mathfrak{sl}_2)$ :

$$(3) \quad \bar{E}\bar{F} - \bar{F}\bar{E} = \frac{1 - K^{-2}}{q - q^{-1}} = \bar{L}.$$

$$(4) \quad q^4\bar{L}\bar{E} - \bar{E}\bar{L} = \frac{q^4\bar{E} - q^4K^{-2}\bar{E} - \bar{E} + \bar{E}K^{-2}}{q - q^{-1}} = q^2[2]\bar{E}.$$

$$(5) \quad \bar{L}\bar{F} - q^4\bar{F}\bar{L} = \frac{F - K^{-2}F - q^4F - q^4FK^{-2}}{q - q^{-1}} = -q^2[2]\bar{F}.$$

$$(6) \quad (q - q^{-1})\bar{L} = 1 - K^{-2}.$$

987 PROPOSITION 6.1. *The algebra  $U'_q(\mathfrak{sl}_2)$  is freely generated by  $\bar{E}$ ,  $\bar{F}$ ,*  
 988  *$\bar{L}$ , and  $K^{-1}$ , subject to relations (3)-(6).*

PROPOSITION 6.2. *The specialization  $U'_1(\mathfrak{sl}_2)$ , of  $U'_q(\mathfrak{sl}_2)$  at  $q = 1$ , is isomorphic to  $U(\mathfrak{sl}_2) \otimes \mathbb{C}[\mathbb{Z}/2]$ , via:*

$$\begin{aligned} \phi : U'_1(\mathfrak{sl}_2) &\rightarrow U(\mathfrak{sl}_2) \otimes \mathbb{C}[\mathbb{Z}/2], \\ (\bar{E}, \bar{F}, \bar{L}, K^{-1}) &\mapsto (E, F, H, \epsilon), \end{aligned}$$

989 *where  $\epsilon$  is the non-trivial element in  $\mathbb{Z}/2$ .*

PROPOSITION 6.3. *We have an isomorphism,*

$$U'_q(\mathfrak{sl}_2) \cong \mathbb{C}[\mathbb{Z}/2] \otimes \left( \bigoplus_{k \geq 0} \text{Sym}_q^k V(1) \right).$$

990 COROLLARY 6.4. *The center of  $U_q(\mathfrak{sl}_2)$  is the subalgebra freely gen-*  
 991 *erated by  $C_q$ .*

992 PROOF. The center of any Hopf algebra coincides with the ad-  
 993 invariant part, and so is clearly contained in  $U'_q$ . The character com-  
 994 putation of Chapter 1 therefore applies mutatis mutandis.  $\square$

CHAPTER 5

995	<b>Categorical Commutativity in Braided Tensor</b>
996	<b>Categories</b>

997

### 1. Braided and Symmetric Tensor Categories

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The Hopf algebras appearing in classical representation theory are either commutative as an algebra, or co-commutative as a co-algebra. Their quantum analogs are clearly no longer commutative, nor co-commutative; however they satisfy a weaker condition called “quasi-triangularity”, which we now explore.

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Let  $H$  be a Hopf algebra, and consider the tensor product  $V \otimes W$  of  $H$ -modules  $V$  and  $W$ . We have the map  $\tau : V \otimes W \rightarrow W \otimes V$  of vector spaces, which simply switches the tensor factors,  $\tau(v \otimes w) = w \otimes v$ .

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EXERCISE 1.1. Show that  $\tau$  is a morphism of  $H$ -modules for all  $V, W \in H\text{-mod}$  if, and only if,  $H$  is either commutative or co-commutative. (hint: consider the left regular action of  $H$  on itself)

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The tensor flip  $\tau$  is not a map of  $U_q(\mathfrak{sl}_2)$ -modules, as  $U_q(\mathfrak{sl}_2)$  is neither commutative, nor co-commutative. Nevertheless, in this chapter, we construct natural isomorphisms  $\sigma_{V,W} : V \otimes W \rightarrow W \otimes V$  generalizing  $\sigma_{V(1),V(1)}$  from Chapter 4, and satisfying a rich set of axioms endowing the category  $\mathcal{C} = U_q(\mathfrak{sl}_2)\text{-mod}_f$  of finite dimensional  $U_q(\mathfrak{sl}_2)$ -modules with the structure of a *braided tensor category*.

DEFINITION 1.2. Let  $(\mathcal{C}, \otimes, a, l, r)$  be a tensor category. A commutativity constraint  $\sigma$  on  $\mathcal{C}$  is a natural isomorphism,

$$\sigma_{V,W} : V \otimes W \rightarrow W \otimes V,$$

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for  $V, W \in \mathcal{C}$ , such that for all  $U, V, W$  the following diagrams commute.

$$\begin{array}{ccc} U \otimes (V \otimes W) & \xrightarrow{\sigma} & (V \otimes W) \otimes U \\ \alpha \swarrow & & \nwarrow \\ (U \otimes V) \otimes W & & V \otimes (W \otimes U) \\ \sigma \searrow & & \nearrow \sigma \\ (V \otimes U) \otimes W & \xrightarrow{\alpha} & V \otimes (U \otimes W) \end{array}$$
  

$$\begin{array}{ccc} (U \otimes V) \otimes W & \xrightarrow{\sigma} & W \otimes (U \otimes V) \\ \alpha \swarrow & & \nwarrow \alpha \\ U \otimes (V \otimes W) & & (W \otimes U) \otimes V \\ \sigma \searrow & & \nearrow \sigma \\ U \otimes (W \otimes V) & \xrightarrow{\alpha} & (U \otimes W) \otimes V \end{array}$$

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A braided tensor category is a tensor category, together with a commutativity constraint  $\sigma$ .

1018      REMARK 1.3. Like the pentagon axiom for the associator, the hexagon  
1019 axiom resolves a potential ambiguity. To move  $W$  past  $U \otimes V$ , one can  
1020 either group  $U$  and  $V$ , and commute  $W$  past them jointly, or pass  
1021 them one at a time. The hexagon diagram axiom asserts that in either  
1022 manner, you obtain the same isomorphism.

1023      REMARK 1.4. More concisely, a commutativity constraint is the  
1024 data necessary to equip the identity functor,  $\text{Id} : \mathcal{C} \rightarrow \mathcal{C}^{op}$ , from  $\mathcal{C}$  to the  
1025 category  $\mathcal{C}^{op}$  with the structure of a tensor functor, where  $\mathcal{C}^{op}$  denotes  
1026 the same underlying abelian category as  $\mathcal{C}$ , but with  $V \otimes^{op} W := W \otimes V$ .

PROPOSITION 1.5. *Let  $\mathcal{C}$  be a braided tensor category, and let  $U, V, W \in \mathcal{C}$ . Then (suppressing associators), we have the following equality in  $\text{Hom}_{\mathcal{C}}(U \otimes V \otimes W, W \otimes V \otimes U)$ :*

$$\sigma_{V,W} \circ \sigma_{U,W} \circ \sigma_{U,V} = \sigma_{U,V} \sigma_{U,W} \sigma_{V,W}.$$

PROOF. The naturality of  $\sigma$  in each argument implies:

$$\sigma_{V \otimes U, W} \circ \sigma_{V, W} \otimes \text{Id}_W = \sigma_{V, W} \circ \sigma_{U \otimes V, W}.$$

1027 Applying the hexagon axiom to each of  $\sigma_{V \otimes U, W}$  and  $\sigma_{U \otimes V, W}$ , we obtain  
1028 asserted equality.  $\square$

1029      DEFINITION 1.6. A braided tensor category  $\mathcal{C}$  is *symmetric* if for  
1030 each  $V, W \in \mathcal{C}$ , we have  $\sigma_{V,W} \circ \sigma_{W,V} = \text{Id}$ .

1031      EXERCISE 1.7. Let  $H$  be a commutative or co-commutative Hopf  
1032 algebra. Check that  $\sigma = \tau$  is a commutativity constraint on  $H\text{-mod}$ ,  
1033 and that it squares to the identity, so that  $H\text{-mod}$  is a symmetric tensor  
1034 category.

1035      EXERCISE 1.8. Denote by  $S_n$  the symmetric group on  $n$  letters,  
1036 generated by adjacent swaps  $s_{i,i+1}$ . Let  $V \in \mathcal{C}$  be an element of a  
1037 symmetric tensor category. Show that the map  $s_{i,i+1} \mapsto \text{Id} \otimes \cdots \otimes$   
1038  $\sigma_{V,V} \otimes \cdots \otimes \text{Id}$  defines a homomorphism of  $S_n$  to  $\text{End}(V^{\otimes n})$ . In the case  
1039  $\mathcal{C} = H\text{-mod}$ , and  $\dim_{\mathbb{C}} V \geq n$ , show that this is an inclusion. (Hint:  
1040 consider a basis  $e_1, \dots, e_n$ , and argue that the stabilizer of  $e_1 \otimes \cdots \otimes e_n$   
1041 is trivial).

1042      When  $q$  is not a root of unity, we exhibited in Chapter ?? an  
1043 equivalence of abelian categories between the category of finite dimen-  
1044 sional type-I  $U_q(\mathfrak{sl}_2)$ -modules and that of the finite dimensional  $U(\mathfrak{sl}_2)$ -  
1045 modules. There we observed that as tensor categories these two are  
1046 not equivalent, because  $U_q(\mathfrak{sl}_2)$  is non-cocommutative.



1047

**2. R-matrix Preliminaries**

In this section we answer the natural question: what is the necessary structure on a Hopf algebra  $H$ , to endow  $\mathcal{C} = H\text{-mod}$  with the structure of a braided tensor category? To answer this question, let us suppose that the category  $H\text{-mod}$  is braided, and consider the left regular action of  $H$  on itself. We have a braiding

$$\sigma_{H,H} : H \otimes H \rightarrow H \otimes H.$$

We define  $R := \tau\sigma_{H \otimes H}(1 \otimes 1)$ . Given arbitrary  $H$ -modules  $M$  and  $N$ , and arbitrary elements  $m \in M, n \in N$ , we have a homomorphism of  $H$ -modules,

$$\begin{aligned} \mu_{m,n} : H \otimes H &\rightarrow M \otimes N, \\ h_1 \otimes h_2 &\mapsto h_1 m \otimes h_2 n. \end{aligned}$$

1048 By naturality of  $\sigma$ , we must have  $\sigma_{M,N}(m \otimes n) = \tau R(m \otimes n)$ .

1049 **REMARK 2.1.** For historical reasons relating to their physics origins,  
1050 braiding operators are often called  $R$ -matrices. Elements  $R \in H \otimes H$   
1051 obtained in this way are called “universal  $R$ -matrices”, as their action  
1052 on any  $V \otimes W$  is an  $R$ -matrix.

1053 **EXERCISE 2.2.** Show that the element  $R$  is invertible and satisfies  
1054  $\Delta^{op}(u) = R\Delta(u)R^{-1}$ , where  $\Delta^{op} = \tau_{H,H} \circ \Delta$ , or in Sweedler’s notation,  
1055  $\Delta^{op}(u) = u_{(2)} \otimes u_{(1)}$ . Hint: Apply the  $H$ -linearity of  $c_{V,W}$

**EXERCISE 2.3.** Show that the hexagon relations imply the identity  
( $\Delta \otimes id$ )( $R$ ) =  $R_{13}R_{23}$  and ( $id \otimes \Delta$ )( $R$ ) =  $R_{13}R_{12}$ , where for  $R = \sum s_i \otimes t_i$ , we define:

$$R_{13} := \sum s_i \otimes 1 \otimes t_i, \quad R_{23} := \sum 1 \otimes s_i \otimes t_i, \quad R_{12} = \sum s_i \otimes t_i \otimes 1.$$

1056 **DEFINITION 2.4.** A quasi-triangular Hopf algebra is a Hopf algebra  
1057  $H$ , equipped with an invertible element  $R \in H \otimes H$ , such that  $\Delta^{op}(u) =$   
1058  $R\Delta(u)R^{-1}$  for all  $u \in H$ , and satisfying ( $\Delta \otimes id$ )( $R$ ) =  $R_{13}R_{23}$  and  
1059 ( $id \otimes \Delta$ )( $R$ ) =  $R_{13}R_{12}$ .

**EXERCISE 2.5.** Let  $H$  be a quasi-triangular Hopf algebra, with  $H$ -  
modules  $M$  and  $N$ . Define  $H$ -module homomorphisms,

$$\begin{aligned} \sigma_{M,N} : M \otimes N &\rightarrow N \otimes M, \\ \sigma(m \otimes n) &:= \tau(R(m \otimes n)). \end{aligned}$$

1060 Prove that  $\sigma$  defines a braiding on the category of  $H$ -modules.

1061 We have shown that the data of a braiding on the category of  $H$ -  
1062 modules is equivalent to that of a quasi-triangular structure on  $H$ .

1063

### 3. Drinfeld's Universal $R$ -matrix

The first universal  $R$ -matrix for  $U_q(\mathfrak{sl}_2)$  was given by Drinfeld [?]. Drinfeld's solution expresses the universal  $R$ -matrix for  $U_q$  not as living in  $U_q \otimes U_q$ , but rather in a  $\hbar$ -adic completion of  $U_q[[H, \hbar]] \widehat{\otimes} U_q[[H, \hbar]]$ , where  $H, \hbar$  are formal parameters satisfying  $q = e^{\hbar/2}$ , and  $K = \exp \frac{\hbar H}{2}$ :

$$R = \left( \sum_{n=0}^{\infty} \frac{(1-q^2)^n}{[n]!} q^{-\frac{n(n-1)}{2}} E^n \otimes F^n \right) \exp\left(\frac{\hbar}{4} H \otimes H\right)$$

1064 Drinfeld's construction of the  $R$ -matrix is perhaps best understood by  
 1065 regarding  $U_q(\mathfrak{sl}_2)$  as a certain quotient of the Drinfeld double  $D(U_q(\underline{\quad}))$  of  
 1066 its Borel sub-algebra. Discussion of  $\hbar$ -adic completion, and the Drin-  
 1067 feld double construction, would take us too far afield. We refer the  
 1068 interested reader instead to Kassel.

1069

### 4. Lusztig's $R$ -matrices

1070 Lusztig's approach to defining the universal  $R$ -matrix, like Drin-  
 1071 feld's, involves an infinite sum, but one which evaluates to a finite sum  
 1072 on  $V \otimes W$ , whenever either  $V$  or  $W$  is a finite dimensional  $U_q(\mathfrak{sl}_2)$ -  
 1073 module. It will be clear from the construction that Lusztig's and Drin-  
 1074 feld's constructions agree, upon substituting  $q = e^{\frac{\hbar}{2}}$ , and  $K = e^{\hbar H}$ .

To begin, we define elements  $\Theta_n$  in  $U_q \otimes U_q$ :

$$\Theta_n = a_n E^n \otimes F^n, \quad a_n = (-1)^n q^{-\frac{n(n-1)}{2}} \frac{(q - q^{-1})^n}{[n]!}$$

For example,  $\Theta_0 = 1 \otimes 1$ ,  $\Theta_1 = -(q - q^{-1})E \otimes F$ . And we have

$$a_n = -q^{-(n-1)} \frac{q - q^{-1}}{[n]} a_{n-1}.$$

EXERCISE 4.1. Prove the following identities:

$$\begin{aligned} (1 \otimes E)\Theta_n + (E \otimes K)\Theta_{n-1} &= \Theta_n(1 \otimes E) + \Theta_{n-1}(E \otimes K^{-1}) \\ (F \otimes 1)\Theta_n + (K^{-1} \otimes F)\Theta_{n-1} &= \Theta_n(F \otimes 1) + \Theta_{n-1}(K \otimes F) \\ (K \otimes K)\Theta_n &= \Theta_n(K \otimes K) \end{aligned}$$

EXERCISE 4.2. Let  $\alpha$  be an algebra anti-automorphism of a Hopf algebra  $H$ , and define

$$\Delta^\alpha = \tau(\alpha \otimes \alpha) \circ \Delta \circ \alpha^{-1}, \quad \varepsilon^\alpha = \varepsilon \circ \alpha^{-1}, \quad S^\alpha = \alpha \circ S \circ \alpha^{-1}.$$

1075 Show that these define a Hopf algebra structure on  $H$ .

1076 EXERCISE 4.3. There exists a unique antiautomorphism  $\alpha : U_q \rightarrow$   
 1077  $U_q$  such that  $\alpha(E) = E, \alpha(F) = F, \alpha(K) = K^{-1}$ .

Thus we can use this antiautomorphism to define an alternate Hopf algebra structure on  $U_q$ ,

$$\Delta^\alpha(E) = 1 \otimes E + E \otimes K^{-1}, \quad \Delta^\alpha(F) = K \otimes F + F \otimes 1, \quad \Delta^\alpha(K) = K \otimes K.$$

DEFINITION 4.4. Define the linear operator  $\Theta : M \otimes N \rightarrow M \otimes N$  by

$$\Theta = \sum_{n \geq 0} \Theta_n.$$

1078 Because  $E, F$  act locally nilpotently on any locally finite module,  
1079 this infinite sum is in fact a finite sum when applied to any vector, and  
1080 thus is well-defined in  $\text{End}_{\mathbb{C}}(M \otimes N)$ . Because  $\Theta = 1 \otimes 1 +$  (locally  
1081 nilpotent operators) is unipotent, we have that  $\Theta$  is invertible.

REMARK 4.5. For any  $u \in U_q$ , we have an equality of the linear maps

$$\Delta^{op}(u)\Theta = \Theta\Delta^\alpha(u).$$

1082 If the righthand side were  $\Delta(u)$ , instead of  $\Delta^\alpha(u)$ , then  $\Theta$  would sat-  
1083 isfy the same relations as a universal  $R$ -matrix ???. This modification  
1084 is accomplished in Drinfeld's construction by the multiplying by the  
1085 infinite series  $\exp(\frac{\hbar}{4}H \otimes H)$ . However, as we will see, Lusztig's solution  
1086 still gives an  $R$ -matrix when restricted to the locally finite  $U_q$ -modules.

EXERCISE 4.6. We compute the matrix  $\Theta$  explicitly for the module  $V(1) \otimes V(1)$ . Choose the standard basis for  $V(1) = \text{span}\{v_0, v_1\}$  and  $V(1) \otimes V(1) = \text{span}\{v_0 \otimes v_0, v_0 \otimes v_1, v_1 \otimes v_0, v_1 \otimes v_1\}$ . Deduce:

$$\Theta_0 + \Theta_1 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & q^{-1} - q & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

## 1087 5. Weights of Type I, and bicharacters (needs better title)

1088 DEFINITION 5.1. A finite dimensional module for  $U_q$  is type I if all  
1089 weight spaces are in  $\Lambda = \{q^n, n \in \mathbb{Z}\}$ .

1090 DEFINITION 5.2. We denote by  $\chi(M)$  the character of  $M$ , which is  
1091 the formal sum  $\chi(M) = \sum \dim M_{q^i} z^i$ . We note that the  $\chi(V(n))$ 's are  
1092 linearly independent and  $M \cong N$  if, and only if  $\chi(M) = \chi(N)$ .

1093 DEFINITION 5.3. A *bi-character* is a map  $f : \Lambda \times \Lambda \rightarrow \mathbb{C}^\times$  s.t.

$$\begin{aligned} f(\lambda\lambda', \mu) &= f(\lambda, \mu)f(\lambda', \mu), \\ f(\lambda, \mu\mu') &= f(\lambda, \mu)f(\lambda, \mu'), \\ f(\lambda, \mu) &= \lambda f(\lambda, \mu q^2) = \mu f(\lambda q^2, \mu). \end{aligned}$$

Then we have

$$f(q^a, q^b) = f(q, q)^{ab}, \quad f(q, q)f(q, q) = f(q, q^2) = q^{-1}f(q, 1) = q^{-1},$$

1094 thus  $f(q, q)$  is a square root of  $q^{-1}$ .

1095 EXERCISE 5.4. Choose a square root of  $q$ , and define  $f(q^a, q^b) =$   
 1096  $q^{-\frac{ab}{2}}$ . Check that this gives a bi-character

For any finite dimensional  $U_q$ -modules  $M, N$ , define  $\tilde{f} : M \otimes N \rightarrow M \otimes N$  as follows:

$$\text{for } m \in M_\lambda, n \in N_\mu, \quad \tilde{f}(m \otimes n) = f(\lambda, \mu)(m \otimes n).$$

1097 LEMMA 5.5. Let  $\Theta^f = \Theta \circ \tilde{f}$ , then we have  $\Delta^{op}(u) \circ \Theta^f = \Theta^f \circ \Delta(u)$ .

PROOF. We need to check that  $f \circ \Delta(u) = \Delta^\alpha(u) \circ f$ , which we may verify on the generators  $E, K, F$ . We give the proof for  $E$ ; the proof for  $F$  is similar, and the proof for  $K$  is trivial. We compute:

$$\begin{aligned} f \circ \Delta(u)(m \otimes n) &= f(q^2\lambda, \mu)Em \otimes n + f(\lambda, q^2\mu)\lambda m \otimes En \\ &= f(\lambda, \mu)(\mu^{-1}Em \otimes n + m \otimes En) \\ &= \Delta^\alpha(E) \circ f(m \otimes n). \end{aligned}$$

1098 □

1099 As a consequence, we have:

1100 THEOREM 5.6. The map  $\sigma_{M,N} = \tau \circ \Theta^f : M \otimes N \rightarrow N \otimes M$  is an  
 1101 isomorphism of  $U_q$ -modules.

1102 THEOREM 5.7. The isomorphisms  $\sigma = \tau \circ \Theta^f$  satisfy the hexagon  
 1103 relations??.

1104 DEFINITION 5.8. Let  $\Theta'_n = a_n K^n \otimes E^n \otimes F^n$  and  $\Theta''_n = a_n E^n \otimes$   
 1105  $F^n \otimes K^{-n}$ .

CLAIM 5.9. We have:

$$(\Delta \otimes 1)(\Theta_n) = \sum_{i=0}^n (\Theta_{n-i})_{13} \Theta'_i, \quad (1 \otimes \Delta)(\Theta_n) = \sum_{i=0}^n (\Theta_{n-i})_{13} \Theta''_i.$$

PROOF. We begin by computing the coproduct on powers of  $E$  and  $F$ . We have:

$$\begin{aligned} \Delta(E^n) &= \sum_{i=0}^n q^{i(r-i)} \begin{bmatrix} r \\ i \end{bmatrix} E^{r-i} K^i \otimes E^i \\ \Delta(F^n) &= \sum_{i=0}^n q^{i(r-i)} \begin{bmatrix} r \\ i \end{bmatrix} F^i \otimes F^{r-i} K^{-i}. \end{aligned}$$

The proof is an instance of the  $q$ -binomial theorem, for the  $q$ -commuting pairs  $(E \otimes 1, K \otimes E)$  and  $(F \otimes K^{-1}, 1 \otimes F)$ . Now, we know that

$$(1 \otimes \Delta)(\Theta_n) = a_n(E^n \otimes \Delta(F^n)).$$

Applying the above formula then yields:

$$(1 \otimes \Delta)(\Theta_n) = \sum_{j=0}^n q^{-j(n-j)} \begin{bmatrix} n \\ j \end{bmatrix} a_n E^n \otimes F^j \otimes K^{-j} F^{n-j}$$

On the other hand, we compute:

$$\begin{aligned} \sum_{i=0}^n (1 \otimes \Theta_{n-i}) \Theta_i'' &= \sum_{j=0}^n a_{n-j} a_j E^n \otimes F^j \otimes F^{n-j} K^{-j} \\ &= \sum_{j=0}^n a_{n-j} a_j q^{-2j(n-j)} (E^n \otimes F^j \otimes K^{-j} F^{n-j}). \end{aligned}$$

The claimed identity now follows from the identity:

$$q^{-2j(n-j)} a_j a_{n-j} = q^{-j(n-j)} \begin{bmatrix} n \\ j \end{bmatrix} a_n,$$

1106 which is an easy computation from the definitions. The second formula  
1107 follows from similar computations.  $\square$

Now, the proof of the main theorem uses formulas obtained from the above via twisting by  $\alpha$ . We have

$$(\alpha \otimes \alpha)(\Theta_n) = \Theta_n, \quad \tau_{12,3}(\alpha \otimes \alpha \otimes \alpha)(\Theta_n') = \Theta_n''.$$

Applying  $(\alpha \otimes \alpha \otimes \alpha)$  to the above equations thus yields

$$\begin{aligned} (\Delta^\alpha \otimes 1)(\Theta_n) &= \sum_{i=0}^n \Theta_i' (1 \otimes \Theta_{n-i}) \\ (1 \otimes \Delta^\alpha)(\Theta_n) &= \sum_{i=0}^n \Theta_i'' (\Theta_{n-i} \otimes 1) \end{aligned}$$

We shall also need several more identities: if we define  $\tilde{f}_{1,2}$  to be  $\tilde{f} \otimes 1$  (and similarly for  $\tilde{f}_{2,3}$  and  $\tilde{f}_{1,3}$ ), then we have the relations  $\tilde{f}_{1,2} \Theta_{1,3} = \Theta' \tilde{f}_{1,2}$  and  $\tilde{f}_{2,3} \Theta_{1,3} = \Theta'' \tilde{f}_{2,3}$ , where  $\Theta' = \sum_n \Theta_n'$  and similarly for  $\Theta''$ ; these relations follow immediately from the multiplicative properties of  $\tilde{f}$ . Further, one also easily derives

$$\begin{aligned} \tilde{f}_{1,2} \tilde{f}_{2,3} (1 \otimes \Theta) &= (1 \otimes \Theta) \tilde{f}_{1,2} \tilde{f}_{2,3} \\ \tilde{f}_{2,3} \tilde{f}_{1,3} (\Theta \otimes 1) &= (\Theta \otimes 1) \tilde{f}_{2,3} \tilde{f}_{1,3} \end{aligned}$$

To conclude that the Yang-Baxter equation holds, we write out both sides; the left hand being

$$(\Theta \otimes 1)\tilde{f}_{1,2}\Theta_{1,3}\tilde{f}_{1,3}(1 \otimes \Theta)\tilde{f}_{2,3}$$

and the right hand being

$$(1 \otimes \Theta)\tilde{f}_{2,3}\Theta_{1,3}\tilde{f}_{1,3}(\Theta \otimes 1)\tilde{f}_{1,2}$$

Now, using the above relations to rearrange the left hand side, one gets

$$(\Theta \otimes 1)(\Theta')(1 \otimes \Theta)\tilde{f}_{1,3}\tilde{f}_{1,2}\tilde{f}_{2,3}$$

To deal with this, we rearrange the  $\Theta$  terms as follows:

$$\begin{aligned} & (\Theta \otimes 1)(\Theta')(1 \otimes \Theta) \\ &= \sum_{n,i} (\Theta \otimes 1)(\Theta'_i)(1 \otimes \Theta_{n-i}) \\ &= \sum_n (\Theta \otimes 1)({}^\tau\Delta \otimes 1)(\Theta_n) \\ &= \sum_n (\Delta \otimes 1)(\Theta_n)(\Theta \otimes 1) \\ &= \sum_{n,i} (1 \otimes \Theta_{n-i})(\Theta''_i)(\Theta \otimes 1) \\ &= (1 \otimes \Theta)(\Theta'')(\Theta \otimes 1) \end{aligned}$$

1108 Where the third equality follows from the definition of  $\Theta$  and the co-  
1109 product. But this expression composed with  $\tilde{f}_{1,3}\tilde{f}_{1,2}\tilde{f}_{2,3}$  is precisely the  
1110 right hand side; as is easily seen by using the above relations (and  
1111 noting that the  $\tilde{f}$ 's all commute).

1112

## 6. The hexagon Diagrams

THEOREM 6.1. *The following diagrams commute:*

$$\begin{array}{ccccccc} M \otimes (M' \otimes M'') & \xrightarrow{1 \otimes R} & M \otimes (M'' \otimes M') & \xrightarrow{can} & (M \otimes M'') \otimes M' & \xrightarrow{R \otimes 1} & (M'' \otimes M) \otimes M' \\ = \downarrow & & & & & & = \downarrow \\ M \otimes (M' \otimes M'') & \xrightarrow{can} & (M \otimes M') \otimes M'' & \xrightarrow{R} & M'' \otimes (M \otimes M') & \xrightarrow{can} & (M'' \otimes M) \otimes M' \\ \text{and also} & & & & & & \\ (M \otimes M') \otimes M'' & \xrightarrow{R \otimes 1} & (M' \otimes M) \otimes M'' & \xrightarrow{can} & M' \otimes (M \otimes M'') & \xrightarrow{1 \otimes R} & M' \otimes (M'' \otimes M) \\ = \downarrow & & & & & & = \downarrow \\ (M \otimes M') \otimes M'' & \xrightarrow{can} & M \otimes (M' \otimes M'') & \xrightarrow{R} & (M' \otimes M'') \otimes M & \xrightarrow{can} & M' \otimes (M'' \otimes M) \end{array}$$

PROOF. We shall prove the bottom diagram, the proof of the top is almost the same. In the top part of this diagram, the first  $R$  is  $\Theta_{1,2}\tilde{f}_{1,2}P_{1,2}$  while the second  $R$  is  $\Theta_{2,3}\tilde{f}_{2,3}P_{2,3}$ . Therefore, we consider the composition, which is

$$\begin{aligned} & \Theta_{2,3}\tilde{f}_{2,3}P_{2,3}\Theta_{1,2}\tilde{f}_{1,2}P_{1,2} \\ &= \Theta_{2,3}\tilde{f}_{2,3}\Theta_{1,3}P_{2,3}\tilde{f}_{1,2}P_{1,2} \\ &= \Theta_{2,3}\tilde{f}_{2,3}\Theta_{1,3}\tilde{f}_{1,3}P_{2,3}P_{1,2} \\ &= \Theta_{2,3}\Theta''\tilde{f}_{2,3}\tilde{f}_{1,3}P_{2,3}P_{1,2} \end{aligned}$$

1113 where we have used the following equalities:  $P_{2,3}\Theta_{1,2} = \Theta_{1,3}P_{2,3}$  and  
 1114  $P_{2,3}\tilde{f}_{1,2} = \tilde{f}_{1,3}P_{2,3}$  and  $\tilde{f}_{2,3}\Theta_{1,3} = \Theta''\tilde{f}_{2,3}$ . The last one was proved in  
 1115 the previous section, while the first two are immediate consequences of  
 1116 the definitions. Now, the lower half of the diagram involves only one  
 1117  $R$ , which is given by the permutation  $(132) = (23)(12)$ , followed by  
 1118 the diagonal matrix  $\tilde{f}(\lambda\mu, \nu)$  (on a weight vector in  $M_\lambda \otimes M_\mu \otimes M_\nu$ ),  
 1119 followed by  $(\Delta \otimes 1)(\Theta)$  (as the action on the tensor product is defined  
 1120 by  $\Delta$ ). But we also have  $(\Delta \otimes 1)(\Theta) = (\Theta_{2,3})(\Theta'')$ , so combining this  
 1121 with the relation  $\tilde{f}(\lambda\mu, \nu) = \tilde{f}(\lambda, \nu)\tilde{f}(\mu, \nu)$  shows that the two halves  
 1122 of the diagram are equal.  $\square$

CHAPTER 6

1123 **Geometric Representation Theory for  $SL_q(2)$**



1124

## 1. The Quantum Coordinate Algebra of $SL_2$

1125 In this section, we provide two independent constructions of a Hopf  
 1126 algebra  $O_q(SL_2)$ , which plays the role of the coordinate algebra  $O(SL_2)$   
 1127 in the quantum setting. First, we construct  $O_q(SL_2)$  as the algebra of  
 1128 matrix coefficients associated to  $U_q(\mathfrak{sl}_2)$ , as in Chapter 2. Secondly, we  
 1129 introduce a simple non-commutative algebra called the quantum plane,  
 1130 construct an algebra  $O_q(Mat_2)$ , the universal bi-algebra co-acting on  
 1131 the quantum plane, and inside there exhibit a central “ $q$ -determinant”,  
 1132 which we may set to one, to obtain  $O_q(SL_2)$ . (haven’t written this up  
 1133 yet...)

1134

## 2. Peter-Weyl style definition of $O_q$

1135 DEFINITION 2.1. The quantized coordinate algebra,  $O_q(SL(2))$ ,  
 1136 henceforth denoted  $O_q$ , is the subspace of  $U_q^*$  spanned by matrix co-  
 1137 efficients of type I representations, i.e., linear functionals of the form  
 1138  $c_{f,v}(u) := f(uv)$ , for  $V$  a type I representation of  $U_q$ ,  $f \in V^*$ ,  $v \in V$ .

That  $O_q$  is a subalgebra follows immediately from the formula  
 $c_{f,e}c_{f',e'} = c_{f \otimes f', e \otimes e'}$  (as in the classical case). We give  $O_q$  a coalge-  
 bra structure via  $\Delta(c_{f_i, e_j}) = \sum_k c_{f_i, e_k} \otimes c_{f_k, e_j}$ . An antipode is obtained  
 from that on  $U_q$ , via the bi-linear pairing between  $U_q$  and  $O_q$ : for  
 $a \in O_q$ , we define  $S(a)$  by the formula:

$$\langle S(a), x \rangle = \langle a, S(x) \rangle,$$

1139 where  $x \in U_q$  is arbitrary. That this makes  $O_q$  into a Hopf algebra is  
 1140 an easy verification, just as in the classical case.

1141 Inspecting the proof of the Peter-Weyl Theorem for classical  $SL_2$ ,  
 1142 we see that the proof hinged only on the fact that the category of  
 1143  $U_q(\mathfrak{sl}_2)$ -modules is semi-simple, and that we had an explicit list of all  
 1144 simple objects. The category of finite-dimensional  $U_q(\mathfrak{sl}_2)$ -modules is  
 1145 also semi-simple, and its simple objects are in bijection with those of  
 1146  $U(\mathfrak{sl}_2)$ . Thus we have:

PROPOSITION 2.2. *There exists an isomorphism of  $U_q(\mathfrak{sl}_2) \otimes U_q(\mathfrak{sl}_2)$ -  
 modules,*

$$O_q \cong \bigoplus_k V^*(k) \boxtimes V(k).$$

1147 REMARK 2.3. There is one subtlety in the construction of  $O_q$ : by  
 1148 design the algebra  $O(SL_2)$  was equivariant for  $U(\mathfrak{sl}_2) \otimes U(\mathfrak{sl}_2)$ . We  
 1149 should expect the same for  $O_q$ , that it be equivariant for the action  
 1150 of  $U_q \otimes U_q$ . However, there is a catch, which is that the antipode  
 1151  $S : U_q \rightarrow U_q$  is an anti-automorphism of the coproduct, i.e.  $\Delta(S(x)) =$

1152  $S(x_{(2)}) \otimes S(x_{(1)})$ . This means that  $O_q$  is natural an algebra in the  
 1153 category  $\mathcal{C}^{op} \boxtimes \mathcal{C}$ , not  $\mathcal{C} \boxtimes \mathcal{C}$ . This is merely a reflection of contravariance  
 1154 of the duality functor  $* : \mathcal{C} \rightarrow \mathcal{C}$ .

1155 We wish to derive a “generators and relations” presentation of  $O_q$ ,  
 1156 from its definition as matrix coefficients. To begin, we note that, as  
 1157 before, the module  $V(1)$  generates all finite dimensional representations  
 1158 in the sense that  $V(n) \subset V(1)^{\otimes n}$  (as follows from Clebsch-Gordan).

Letting  $\mathbb{C}\langle a, b, c, d \rangle$  denote the free algebra on symbols  $a, b, c, d$ , we have a surjection,

$$\begin{aligned} \mathbb{C}\langle a, b, c, d \rangle &\twoheadrightarrow O_q, \\ (a, b, c, d) &\mapsto (c_{f^0, v_0}, c_{f^1, v_0}, c_{f^0, v_1}, c_{f^1, v_1}). \end{aligned}$$

Now, we can use the  $R$ -matrix to compute the commutativity relations between the matrix coefficients of  $V(1)$ , which we label  $a, b, c, d$ , where  $a = c_{0,0}$ ,  $b = c_{0,1}$ ,  $c = c_{1,0}$  and  $d = c_{1,1}$ . We label the  $R$ -matrix entries  $R_{i,j}^{k,l}$  and these are given by

$$c_{V,V}(v_i \otimes v_j) = \sum R_{i,j}^{k,l} v_l \otimes v_k.$$

Then we recall from the previous lecture that we have

$$R_{0,0}^{0,0} = R_{1,1}^{1,1} = q^{-1}, \quad R_{0,1}^{1,0} = R_{1,0}^{0,1} = 1, \quad R_{1,0}^{1,0} = q - q^{-1},$$

1159 and all remaining entries zero. These coefficients imply the following

LEMMA 2.4. *The generators  $a, b, c, d$  satisfy the following relations:*

$$\begin{aligned} ab &= qba, & bc &= cb, & cd &= qdc, & ac &= qca, \\ bd &= qdb, & ad - da &= (q - q^{-1})bc, & ad - qbc &= 1. \end{aligned}$$

PROOF. Each of the purely quadratic relations is obtained by applying the relations,

$$\begin{aligned} \sum R_{kl}^{ij} a_m^k a_n^l &= \sum R_{kl}^{ij} c_{f^l \otimes f^k, v_m \otimes v_n} = c_{\sigma^*(f^i \otimes f^j), v_m \otimes v_n} \\ &= c_{f^i \otimes f^j, \sigma(v_m \otimes v_n)} = \sum c_{f^i \otimes f^j, v_s \otimes v_t} R_{mn}^{ts} = \sum a_s^j a_t^i R_{mn}^{ts}, \end{aligned}$$

1160 the so-called Fadeev-Reshetikhin-Takhtajian (FRT) relations.

For instance,

$$\begin{aligned} qba &= qc_{0,1}c_{0,0} = qc_{f^0 \otimes f^0, v_1 \otimes v_0} = c_{\sigma^*(f^0 \otimes f^0), v_1 \otimes v_0} \\ &= c_{f^0 \otimes f^0, c(v_1 \otimes v_0)} = c_{f^0 \otimes f^0, v_0 \otimes v_1} = c_{0,0}c_{0,1} = ab \\ ad &= c_{0,0}c_{1,1} = c_{f^1 \otimes f^0, v_0 \otimes v_1} = c_{\sigma^*(f^0 \otimes f^1), v_0 \otimes v_1} \\ &= c_{f^0 \otimes f^1, \sigma(v_0 \otimes v_1)} = c_{f_0 \otimes f_1, v_1 \otimes v_0} + (q - q^{-1})c_{f^0 \otimes f_1, v^0 \otimes v_1} \\ &= c_{1,1}c_{0,0} + (q - q^{-1})c_{1,0}c_{0,1} = da + (q - q^{-1})cb. \end{aligned}$$

1161 The remaining quadratic relations are proved similarly. The determi-  
 1162 nant relation follows, as in the classical  $SL_2$  computation.  $\square$

1163 EXERCISE 2.5. Using the PBW theorem for  $O_q(SL_2)$ , show that  
 1164 the above generators and relations yield a presentation of  $O_q$ . (Hint:  
 1165 all the ingredients of Exercise ??) can be applied mutatis mutandis to  
 1166 the quantum setting.

The comultiplication on  $O_q(SL_2)$  is given by the same formulas as  
 in classical  $O(SL_2)$ :

$$\begin{aligned} \begin{pmatrix} \Delta(a) & \Delta(b) \\ \Delta(c) & \Delta(d) \end{pmatrix} &= \begin{pmatrix} a & b \\ c & d \end{pmatrix} \otimes \begin{pmatrix} a & b \\ c & d \end{pmatrix} \\ &= \begin{pmatrix} a \otimes a + b \otimes c & a \otimes b + b \otimes d \\ c \otimes a + d \otimes c & c \otimes b + d \otimes d \end{pmatrix}. \end{aligned}$$

The antipode is given by:

$$\begin{pmatrix} S(a) & S(b) \\ S(c) & S(d) \end{pmatrix} = \begin{pmatrix} d & -qb \\ -q^{-1}c & a \end{pmatrix}.$$

1167 One checks easily that this is an antipode on  $SL_q(2)$ ; by uniqueness, it  
 1168 coincides with the antipode given by the pairing with  $U_q$ .

### 1169 3. $O_q$ comodules

1170 Let  $M$  be a right  $O_q$ -comodule. Then we can put a left  $U_q$ -module  
 1171 structure on  $M$  as follows: by definition there is a map  $\Delta : M \rightarrow$   
 1172  $M \otimes O_q$ . Therefore we have maps  $U_q \otimes M \rightarrow U_q \otimes M \otimes O_q \rightarrow M \otimes$   
 1173  $U_q \otimes O_q \rightarrow M$  where the second to last map is the flip and the last  
 1174 is  $1 \otimes \langle, \rangle$ . By the properties of the Hopf pairing, this map makes  
 1175  $M$  into a left  $U$  module. In particular, this association is a functor  
 1176 from right  $O_q$  comodules to left  $U_q$  modules, which, when restricted to  
 1177 finite dimensional  $M$ , yields only type 1  $U_q$  modules. This is because  
 1178 the weights of  $K$  on  $M$  are given by coefficients of eigenvectors coming  
 1179 from expressions of the form  $\langle K, o \rangle$  for  $o \in O_q$ ; but the collection  
 1180 of these is  $\{q^n\}_{n \in \mathbb{Z}}$  as  $O_q$  is defined using only type 1 modules. Our  
 1181 remaining aim in this lecture is to show

1182 THEOREM 3.1. *The functor from finite dimensional right  $O_q$  co-*  
 1183 *modules to type 1 finite dimensional left  $U_q$  modules is an equivalence*  
 1184 *of categories.*

1185 PROOF. In general, suppose  $C$  is a coalgebra and  $M$  a finite dimen-  
 1186 sional comodule. Let  $\{m_1, \dots, m_n\}$  be a basis for  $M$ . Then we can write  
 1187 the coaction as  $\Delta m_i = \sum_j m_j \otimes c_{j,i}$ . Now, coassociativity of this action  
 1188 tells us that it is the same to apply  $\Delta_M \otimes 1$  and  $1 \otimes \Delta_C$ . The first gives

1189  $\sum_{j,k} m_k \otimes c_{k,j} \otimes c_{j,i}$ , and so this implies that  $\Delta c_{i,j} = \sum_j c_{k,j} \otimes c_{j,i}$ , or,  
 1190 in matrix notation,  $\Delta(c_{r,m}) = (c_{r,m}) \otimes (c_{r,m})$ . Further, from the counit  
 1191 axiom,  $\epsilon c_{i,j} = \delta_{i,j}$ . Now, if you are given a collection of  $n^2$  elements  
 1192 of  $C$  called  $(c_{i,j})$ , whose counit and comultiplication satisfy the above  
 1193 relations, then clearly the same formula  $\Delta m_i = \sum_j m_j \otimes c_{j,i}$  makes  $M$   
 1194 into a  $C$ -comodule. Thus, if  $C = U_q$  and  $M$  is a finite dimensional type  
 1195 1 module, then the matrix coefficients for this module satisfy these re-  
 1196 lations by definition. So in fact we have a natural right  $O_q$  comodule  
 1197 structure on  $M$ , as required.  $\square$

#### 1198 4. The Borel, torus, and unipotent radical for $O_q(SL_2)$ .

1199 In the quantum case, we don't have the groups or Lie algebras  
 1200 per se; what we have is their quantum enveloping algebras  $\mathfrak{U}_q$  and  
 1201 the corresponding matrix coefficients  $\mathcal{O}_q$ . As above, we consider  $G =$   
 1202  $SL(2)$ , and define the following subalgebras of  $U_q(\mathfrak{sl}_2)$ :

$$\begin{aligned} U_q(\mathfrak{b}) &= \mathbb{C} \langle E, K, K^{-1} \rangle / \langle KEK^{-1} = q^2 E \rangle, \\ U_q(\mathfrak{t}) &= \mathbb{C}[K, K^{-1}]. \\ U_q(\mathfrak{n}) &= \mathbb{C}[E] \end{aligned}$$

1203 As before, we can check that the first two define Hopf subalgebras,  
 1204 i.e. that they are closed with respect to co-products and antipodes  
 1205 defined on  $U_q(\mathfrak{sl}_2)$ . We have inclusions  $U_q(\mathfrak{t}) \subset U_q(\mathfrak{b}) \subset U_q(\mathfrak{g})$ . We  
 1206 can also define an algebra  $U_q(\mathfrak{n}) = \mathbb{C}[E]$ . However, this isn't a Hopf  
 1207 algebra, because  $\Delta(E) = E \otimes 1 + K \otimes E$ . What we do have is that  
 1208  $\Delta(U_q(\mathfrak{n})) \subset U_q(\mathfrak{sl}_2) \otimes U_q(\mathfrak{n})$ . Thus, if  $V$  is a  $U_q(\mathfrak{n})$ -module,  $W$  a  $U_q(\mathfrak{sl}_2)$ -  
 1209 module, we can still define  $V \otimes W$  a  $U_q(\mathfrak{n})$ -module, by  $E(m \otimes n) =$   
 1210  $Em \otimes n + Km \otimes En$ .

On the level of algebras of functions, we have maps,

$$\mathcal{O}_q(G) \twoheadrightarrow \mathcal{O}_q(B) = \mathcal{O}_q(G)/\langle c \rangle \twoheadrightarrow \mathcal{O}_q(T) = \mathcal{O}_q(B)/\langle b \rangle.$$

1211 As above, one checks that the defining ideals are in fact Hopf ideals, so  
 1212 that these are Hopf algebras. One can define a co-algebra  $\mathcal{O}_q(N)$  dual  
 1213 to  $U_q(\mathfrak{n})$ , but it will not have an algebra structure, only a co-algebra  
 1214 structure.

1215 Something interesting happens when we look at  $\mathcal{O}_q(T)$ . All of the  
 1216  $q$ -commutation relations drop out, so that there is an isomorphism of  
 1217 Hopf algebras  $\mathcal{O}_q(T) \cong \mathcal{O}(T)$ . Similarly, these two have equivalent  
 1218 abelian categories of comodules, which are just  $\mathbb{Z}$ -graded vector spaces  
 1219  $M = \bigoplus M_n$ , where  $M_n = \{v | \Delta(v) = v \otimes a^n\}$ . However, as braided  
 1220 tensor categories they are distinct, because in  $\mathcal{O}_q(T)$ , when you braid

1221  $M_n \otimes M_m \rightarrow M_m \otimes M_n$ , you get a factor of  $q^{\frac{mn}{2}}$  that is not there in the  
1222 classical case.

1223 **4.1. Quantum  $\mathbb{P}^1$  as flag variety of  $SL_2$ .** Recall that in the  
1224 classical case, the induction functor had an interpretation as the global  
1225 sections of  $B$ -equivariant bundles on the flag variety (which for  $SL(2)$   
1226 is just  $\mathbb{P}^1$ ). How should we define quantum  $\mathbb{P}_q^1$  so as to generalize this  
1227 interpretation of the induction functor? As it turns out, we won't be  
1228 able to make sense of  $\mathbb{P}_q^1$  as a space in its own right. Instead, we will  
1229 just pretend that it makes sense as an algebraic variety, and proceed  
1230 to define its quasi-coherent sheaves, via analogy. This approach is  
1231 somewhat justified due to the fact that in the classical case, invertible  
1232 sheaves are of the form  $\mathcal{O}(n) = \mathcal{O}(1)^{\otimes n}$ , and we can recover  $\mathbb{P}^1$  by tak-  
1233 ing  $\text{Proj}(\Gamma_*(\mathcal{O}(1)))$  (see Hartshorne, p. 117-119 for these constructions).  
1234 Thus, in the classical case, the category of quasi-coherent sheaves con-  
1235 tains a subcategory of invertible sheaves, which, taken altogether can  
1236 be used to recover  $\mathbb{P}^1$  itself.

1237 In analogy with the situation of affine algebraic groups, we'd like  
1238 to define quasi-coherent sheaves on  $\mathbb{P}_q^1$  as  $B_q$ -equivariant  $\mathcal{O}_q$ -modules  
1239 (here  $\mathcal{O}_q$  means the structure sheaf on the "group variety"  $G_q$ ); sadly  
1240  $B_q$  and  $G_q$  don't exist as actual varieties either; only their algebras of  
1241 functions make sense. So we'll have to take a different perspective.

1242 **DEFINITION 4.1.**  $\mathcal{QCoh}(\mathbb{P}_q^1)$  is the category whose objects are  $\mathcal{O}_q(SL_2)$ -  
1243 modules  $M$ , which are also  $\mathcal{O}_q(B)$ -comodules, such that the module  
1244 map  $\mathcal{O}_q \otimes M \rightarrow M$  is an  $\mathcal{O}_q(B)$  co-module map, where  $\mathcal{O}_q \otimes M$  has  
1245 the tensor product co-module structure. Morphisms are maps that are  
1246 compatible with both actions.

1247  $\mathcal{O}_q(B)$  co-modules are morally just  $B_q$ -modules (which are not de-  
1248 fined), and this is the motivation for the definition, so that for  $q =$   
1249  $1$ , this gives back the category of modules on the flag variety  $\mathbb{P}^1 =$   
1250  $SL(2)/B$ .

1251 **EXAMPLE 4.2.**  $\mathcal{O}_q$  itself with the restricted co-module action gives  
1252 a quasi-coherent sheaf on  $\mathbb{P}_q^1$ .

1253 **EXAMPLE 4.3.** For any  $V$  a  $\mathcal{O}_q(B)$ -comodule,  $\mathcal{O}_q \otimes V$  gives another  
1254 quasi-coherent sheaf on  $\mathbb{P}_q^1$ , where the new co-module product is that  
1255 induced by the tensor product (not just the original action on  $V$ ).

1256 **EXAMPLE 4.4.**  $\mathcal{O}_q \otimes \mathbb{C}_n = \mathcal{O}_q(n)$ , is the twisting sheaf on  $\mathbb{P}_q^1$ .

1257 **DEFINITION 4.5.** If  $M \in \mathcal{QCoh}(\mathbb{P}_q^1)$ , we define  $\Gamma(M) = \text{Hom}_{\mathbb{P}_q^1}(\mathcal{O}_q, M)$ .

1258 **LEMMA 4.6.**  $\Gamma(M) = M^{B_q}$ .

1259 PROOF. Compatibility with the  $\mathcal{O}_q$  structure would give  $M$ , corre-  
 1260 sponding to where the identity element is to be sent (as per the usual  
 1261 isomorphism  $\text{Hom}_A(A, M) \cong M$ , for  $M$  and  $A$ -module). Compatibility  
 1262 with the comodule structure implies that the identity must be sent to  
 1263 an invariant element.  $\square$

1264 The following Borel-Weil theorem has the same proof as in the  
 1265 classical case:

1266 THEOREM 4.7. (*Borel-Weil*)  $\Gamma(\mathcal{O}_q(n)) \cong V(n)^*$ .

1267 DEFINITION 4.8.  ${}_{SL_q(2)}qCoh(\mathbb{P}_q^1)$  is the category whose objects are  
 1268  $\mathcal{O}_q(SL_2)$ -modules, which are also right  $\mathcal{O}_q(B)$  co-modules and left  
 1269  $\mathcal{O}_q(SL_2)$  co-modules, and so that the module map  $\mathcal{O}_q \otimes M \rightarrow M$   
 1270 is both an  $\mathcal{O}_q(B)$  and  $\mathcal{O}_q(SL_2)$  co-module map. Morphisms in this  
 1271 category are those which commute with all the actions.

1272 This is a somewhat cumbersome definition. Fortunately, it is equiv-  
 1273 alent to a much more reasonable category.

1274 LEMMA 4.9.  ${}_{SL_q(2)}qCoh(\mathbb{P}_q^1) \cong \mathcal{O}_q(B)\text{-comod}$ .

1275 PROOF. If  $V$  is an  $\mathcal{O}_q(B)$ -comodule, then we can send  $V$  to  $\mathcal{O}_q \otimes V$ .  
 1276 On the other hand, given  $M \in {}_{SL_q(2)}qCoh(\mathbb{P}_q^1)$ , we can take  ${}^{\mathcal{O}_q(G)}M$ ,  
 1277 which will be an  $\mathcal{O}_q(B)$ -comodule.  $\square$

1278 When  $q = 1$ , we have (at least) two ways of constructing  $\mathbb{P}^1$ . One  
 1279 is as the flag variety of  $SL_2$ , as described above, while the other is  
 1280 as the variety,  $\mathbb{P}^1 = (\mathbb{A}^2 \setminus \{0\})/\mathbb{C}^\times$ . We want to generalize this second  
 1281 construction to quantum  $\mathbb{P}_q^1$ .

1282 DEFINITION 4.10.  $\mathcal{QCoh}(\widetilde{\mathbb{P}}_q^1)$  is the category of graded modules over  
 1283  $\mathbb{C} \langle x, y \rangle / \langle xy - qyx \rangle$ , modulo the category of torsion modules  
 1284 (i.e.  $\forall m \in M, \exists i \gg 0$  s.t.  $x^i m = y^i m = 0$ ).

1285 In the next few lectures, we will show that in fact the constructions  
 1286  $\mathbb{P}_q^1$  and  $\widetilde{\mathbb{P}}_q^1$  are equivalent. The construction of  $\mathbb{P}_q^1$  may be used to de-  
 1287 fine  $\mathbb{P}_q^n$  as graded modules over  $\mathbb{C} \langle x_0, \dots, x_n \rangle / \langle x_i y_j - q_{ij} y_j x_i \rangle$ ,  
 1288 modulo torsion. More generally, given any graded algebra  $A$ , we can  
 1289 define  $Proj(A)$  to be the category of  $A$  modules, modulo torsion.

## 1290 4.2. An equivalence of categories arising from the Hopf 1291 pairing.

1292 DEFINITION 4.11.  $M$  is *integrable* if it splits into a (possibly infi-  
 1293 nite) direct sum of type I irreducible modules  $V(n)$ .

1294 REMARK 4.12. Equivalently, A type-I  $U_q(\mathfrak{b})$ -module  $M$  is inte-  
 1295 grable if we can write  $M = \bigoplus_n M_n$ , where  $M_n = \{m \mid Km = q^n m\}$ , and  
 1296  $\dim(U_q(\mathfrak{b})m) < \infty, \forall m$ .

1297 We have a Hopf pairing  $\phi$  between  $\mathcal{O}_q(B)$  and  $U_q(\mathfrak{b})$ , because we  
 1298 constructed  $\mathcal{O}_q(G)$  as a subset of  $U_q(\mathfrak{b})^*$  of matrix coefficients. Thus,  
 1299 given an  $\mathcal{O}_q(B)$ -comodule  $V$ , we may define a  $U_q(\mathfrak{b})$ -module structure  
 1300 on  $V$  by

$$U_q(\mathfrak{b}) \otimes V \xrightarrow{id \otimes \Delta} U_q(\mathfrak{b}) \otimes V \otimes \mathcal{O}_q(B) \xrightarrow{\text{swap}} U_q(\mathfrak{b}) \otimes \mathcal{O}_q(B) \otimes V \xrightarrow{\phi \otimes id} \mathbb{C} \otimes V \cong V.$$

1301 LEMMA 4.13. *The above construction satisfies the associativity and*  
 1302 *unit axiom, and thus induces an equivalence of categories  $F : (\text{right})\mathcal{O}_q(B)$ -*  
 1303 *comodules  $\rightarrow$  (left) integrable  $U_q(\mathfrak{b})$ -modules..*

1304 PROOF. First, we check that the unit,  $1 \in U_q(\mathfrak{b})$ , acts as the iden-  
 1305 tity on  $V$ .

$$1 \otimes x \mapsto \sum_{(x)} \phi(1 \otimes x_{\mathcal{O}}) x_V = \sum_{(v)} \epsilon(x_{\mathcal{O}}) x_V = x,$$

1306 by the co-unit axiom for  $V$  as a  $\mathcal{O}_q(B)$  co-module. And we check  
 1307 associativity:

$$\begin{aligned} ab \otimes x &\mapsto \sum_{(x)} \phi(ab \otimes x_{\mathcal{O}}) x_V = \sum_{(x)} \phi(x'_{\mathcal{O}}(a) x''_{\mathcal{O}}(b)) x_V \\ &= \sum_{(x)} \phi(x_{\mathcal{O}}(a) x_{V\mathcal{O}}(b)) x_{VV} = \mu(a \otimes (bx)). \end{aligned}$$

1308 The summation notation used is Sweedler's notation, from e.g. Kassel's  
 1309 *Quantum Groups*.

1310 That you get integrable modules in this way is essentially clear: an  
 1311  $\mathcal{O}_q(B)$ -comodule  $M$  is already split into weight spaces by the  $\mathcal{O}_q(T)$   
 1312 action:  $M = \bigoplus_n M_n$ . By duality, each  $M_n$  will be a type-I weight  
 1313 space, of weight  $n$ . The local finite condition follows from the analogous  
 1314 property for co-modules over a co-algebra. It remains to show that  $F$   
 1315 is essentially surjective. We have already shown that  $F$  hits all finite  
 1316 dimensional  $U_q$  modules. Then, since integrable modules are direct  
 1317 sums of these, it is easy to see that  $F$  hits all of these too.

### 1318 4.3. Restriction and Induction Functors.

DEFINITION 4.14. Define the restriction functor,

$$\text{Res}_B^G : \mathcal{O}_q(G) - \text{comod} \rightarrow \mathcal{O}_q(B) - \text{comod},$$

with the same underlying vector space, and co-action given by:

$$M \mapsto \mathcal{O}_q(G) \otimes M \rightarrow \mathcal{O}_q(B) \otimes M.$$

DEFINITION 4.15. Define the induction functor,

$$\begin{aligned} \text{Ind}_B^G : \mathcal{O}_q(B) - \text{comod} &\rightarrow \mathcal{O}_q(G) - \text{comod} \\ \text{Ind}_B^G : M &\mapsto (\mathcal{O}_q(G) \otimes M)^{\mathcal{O}_q(B)} \end{aligned}$$

1319 Here,  $V^{\mathcal{O}_q(B)} = \{v \in V \mid \Delta(m) = m \otimes 1\}$ . We use the fact that  
 1320  $\mathcal{O}_q(G)$  has two commuting  $\mathcal{O}_q(G)$ -comodule structures, coming from  
 1321 left multiplication and right-inverse multiplication. We take the invari-  
 1322 ants with respect to (say) the right-inverse multiplication (which kills  
 1323 that action and the action on  $M$ ), and thus have an induced left co-  
 1324 module structure on the invariants coming from the left multiplication.

1325 PROPOSITION 4.16.  $(\text{Ind}_B^G, \text{Res}_B^G)$  is an adjoint pair.

1326 PROOF. Given  $\phi : (\mathcal{O}_q(G) \otimes M)^{\mathcal{O}_q(B)} \rightarrow N$ , we construct  $\psi =$   
 1327  $\phi|_{M \otimes 1} : M \rightarrow N$  (a quick check verifies that  $1 \otimes M$  is invariant, so  $\phi$   
 1328 is defined there). This defines the adjunction in one direction. For the  
 1329 other direction, given  $\psi : M \rightarrow N$ , we define  $\phi : (\mathcal{O}_q(G) \otimes M)^{\mathcal{O}_q(B)} \rightarrow$   
 1330  $(\mathcal{O}_q(B) \otimes M)^{\mathcal{O}_q(B)} \cong M \rightarrow N$ . These transformations, being mutually  
 1331 inverse, give the desired isomorphism.  $\square$

1332 Now we want to consider what a 1-dimensional  $\mathcal{O}_q(B)$ -comodule  
 1333 would look like. Later we will apply the induction functor to such  
 1334 modules to recover the representations  $V(n)^*$ .

1335 DEFINITION 4.17. An element  $\chi$  in a Hopf algebra is called group-  
 1336 like if  $\Delta(\chi) = \chi \otimes \chi$ .

1337 Now let  $M$  be a 1-dimensional  $\mathcal{O}_q(B)$ -comodule, with basis  $m$ .  
 1338  $\Delta(m) = m \otimes a$ , for some  $a \in \mathcal{O}_q(B)$ . Applying co-associativity, we see  
 1339 that  $a$  must be group-like. Inside  $\mathcal{O}_q(B)$ , the only group like elements  
 1340 are of the form  $a^n, n \in \mathbb{Z}$ . So let us define  $\mathbb{C}_m$  to be the 1-dimensional  
 1341 co-module with basis  $1_m$ , s.t.  $\Delta(1_m) = 1_m \otimes a^{-n}$ .

1342 THEOREM 4.18.  $\text{Ind}_B^G(\mathbb{C}_m) = V(m)^*$ .

1343 PROOF. By the Peter-Weyl Theorem,

$$\mathcal{O}_q(SL(2)) = \bigoplus_{n \in \mathbb{Z}} V(n)^* \otimes V(n).$$

1344 Thus, tensoring with  $\mathbb{C}_m$  and taking invariants, we get,

$$[\mathcal{O}_q(SL(2)) \otimes \mathbb{C}_m]^{\mathcal{O}_q(B)} = \left[ \bigoplus_{n \in \mathbb{Z}} V(n)^* \otimes V(n) \otimes \mathbb{C}_m \right]^{\mathcal{O}_q(B)}$$

1345 Since taking  $\mathcal{O}_q(B)$ -invariants picks out the zeroeth graded component  
 1346 with respect to the  $\mathcal{O}_q(B)$  action, and since the gradings on the tensor  
 1347 add, we pick out the component corresponding to  $n = m$  (Since we chose



1348  $\mathbb{C}_m$  to be of weight  $-m$ ). This trivializes the action on the two right  
 1349 components, and so all we are left with is the left action on  $V(n)^*$ .  $\square$

1350 **5. Lecture 14 - Quasi-coherent sheaves**

**5.1. Classical case.** Let us recall the basic example of  $G = SL_2$ , for which we have  $N, T \subset B \subset G$  as previously defined. In this case, we have  $G/B \cong \mathbb{P}^1$ . Indeed,  $B$  is a semi-direct product  $T \ltimes N$ , and  $G$  acts transitively on  $\mathbb{A}^2 - \{0\}$ , with stabilizer  $N$ , hence we get:

$$G/B \cong (G/N)/T \cong \frac{\mathbb{A}^2 - \{0\}}{T} \cong \frac{\mathbb{A}^2 - \{0\}}{\mathbb{C}^*} = \mathbb{P}^1.$$

Our goal is to find an analog of this in the quantum case. We would like to have objects  $N_q, T_q \subset B_q \subset G_q = SL_{2,q}$  satisfying the following:

$$G_q/N_q \cong \mathbb{A}_q^2 - \{0\}, G_q/B_q \cong \mathbb{P}_q^1.$$

However, as we have seen previously, these objects don't exist, only their algebras of functions do. This is why we turn our attention to quasi-coherent sheaves, which in the classical case allow us to recover the spaces. In this setup we have the category  $qCoh(SL_2/N)$  of  $\mathcal{O}(SL_2)$ -modules which are also  $N$ -modules in a compatible way, i.e. the map  $\mathcal{O}(SL_2) \otimes M \rightarrow M$  is a map of  $N$ -modules.

We have seen previously that as categories, the following equivalences hold.

$$qCoh(\mathbb{A}^2) \cong \mathbb{C}[x, y]\text{-modules,}$$

$$qCoh(\mathbb{A}^2 - \{0\}) \cong \mathbb{C}[x, y]\text{-modules/torsion modules,}$$

1351 and the restriction functor  $qCoh(\mathbb{A}^2) \rightarrow qCoh(\mathbb{A}^2 - \{0\})$  corresponds  
 1352 to the quotient functor. In fact, the map  $i : \mathbb{A}^2 - \{0\} \hookrightarrow \mathbb{A}^2$  induces a  
 1353 pair of adjoint functors  $(i^*, i_*)$ . We will construct an analog of this in  
 1354 our new language, without reference to actual spaces.

1355 **LEMMA 5.1.**  $\mathcal{O}(SL_2)^N \cong \mathbb{C}[x, y]$ .

**PROOF.** Recall the following fact:

$$\mathcal{O}(SL_2) = \mathbb{C}[c_{f_0, e_0}, c_{f_1, e_0}, c_{f_0, e_1}, c_{f_1, e_1}] / (\det = 1),$$

1356 where  $c_{f_i, e_j}$  are the usual matrix coefficients. There are two actions  
 1357 of  $SL_2$  on  $\mathcal{O}(SL_2)$ , given by  $g \cdot c_{f, v} = c_{f, gv}$  or  $c_{gf, v}$ . Taking, say, the  
 1358 first one, we see that  $c_{f_0, e_0}$  and  $c_{f_1, e_0}$  are  $N$ -invariant, and in fact,  $N$ -  
 1359 invariants cannot have terms involving  $c_{f_0, e_1}$  or  $c_{f_1, e_1}$ . Thus we get  
 1360  $\mathcal{O}(SL_2)^N = \mathbb{C}[c_{f_0, e_0}, c_{f_1, e_0}]$ . Were we to take the second action instead,  
 1361 we would obtain  $\mathcal{O}(SL_2)^N = \mathbb{C}[c_{f_1, e_0}, c_{f_1, e_1}]$ . In any case, the claim  
 1362 holds.  $\square$

REMARK 5.2. Another way to prove this would be to use the Peter-Weyl theorem:  $\mathcal{O}(SL_2) = \text{op}V(n)^* \otimes V(n)$ . Computing  $N$ -invariants, we obtain:

$$\mathcal{O}(SL_2)^N = \text{op}V(n)^*.$$

1363 As an algebra over  $\mathbb{C}$ , this is generated (freely) by  $f_0, f_1$ , the dual basis  
1364 of  $V(1)^*$ .

Using the lemma, we can define the following functor  $F$ .

$$\mathbb{C}[x, y]\text{-modules} \begin{array}{c} \xrightarrow{F} \\ \xleftarrow{G} \end{array} qCoh(SL_2/N)$$

$$F : M \mapsto \mathcal{O}(SL_2) \otimes_{\mathcal{O}(SL_2)^N} M$$

$$G : M \mapsto M^N.$$

1365 Let us check that  $FM$  is indeed an object of  $qCoh(SL_2/N)$ . It is  
1366 clearly an  $\mathcal{O}(SL_2)$ -module, and inherits the structure of  $N$ -module from  
1367  $\mathcal{O}(SL_2)$ , via  $n \cdot (f \otimes m) = (n \cdot f) \otimes m$ . To see that this is well defined,  
1368 let  $f \in \mathcal{O}(SL_2), \alpha \in \mathcal{O}(SL_2)^N$ , and  $m \in M$ .

$$\begin{aligned} n \cdot (\alpha f \otimes m) &= n \cdot (\alpha f) \otimes m \\ &= (n \cdot \alpha)(n \cdot f) \otimes m \\ &= \alpha(n \cdot f) \otimes m \quad (\text{since } \alpha \text{ is } N\text{-invariant}) \\ &= (n \cdot f) \otimes \alpha m \\ &= n \cdot (f \otimes \alpha m). \end{aligned}$$

1369 Moreover, the action  $\mu : \mathcal{O}(SL_2) \otimes FM \rightarrow FM$  is a map of  $N$ -modules.

$$\begin{aligned} \mu(n \cdot (f \otimes f' \otimes m)) &= \mu(n \cdot f \otimes n \cdot (f' \otimes m)) \\ &= \mu(n \cdot f \otimes n \cdot f' \otimes m) \\ &= (n \cdot f)(n \cdot f') \otimes m \\ &= (n \cdot f f') \otimes m \\ &= n \cdot (f f' \otimes m) \\ &= n \cdot \mu(f \otimes f' \otimes m). \end{aligned}$$

1370 PROPOSITION 5.3.  $F$  is a quotient by torsion modules, i.e.

1371 (a)  $F$  is unto;

1372 (b) As a subcategory,  $F^{-1}(0)$  is the category of torsion modules.

PROOF. (a) For any object  $M$  in  $qCoh(SL_2/N)$ , we have  $FGM \cong M$ . Indeed, this is true for the structure sheaf  $\mathcal{O}(SL_2)$ .

$$FG(\mathcal{O}(SL_2)) = \mathcal{O}(SL_2) \otimes_{\mathcal{O}(SL_2)^N} \mathcal{O}(SL_2)^N \cong \mathcal{O}(SL_2).$$

And the category is generated by its structure sheaf, hence the result holds for any  $M$ .

(b) Recall the following:

$$SL_2 = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \middle| ad - bc = 1 \right\};$$

$$\mathcal{O}(SL_2) = \mathbb{C}[a, b, c, d]/(ad - bc = 1);$$

$$\mathcal{O}(SL_2)^N = \mathbb{C}[a, c].$$

Let  $M$  be a torsion module, i.e. one on which  $a, c$  act locally nilpotently. We want to show that  $FM = \mathcal{O}(SL_2) \otimes_{\mathcal{O}(SL_2)^N} M$  is zero.

Since  $a$  and  $d$  commute,  $ad$  acts locally nilpotently on  $FM$ , and similarly for  $bc$ . Thus  $ad - bc$  acts locally nilpotently on  $FM$ , but we know it is 1, hence it acts as the identity. Therefore every element of  $FM$  is zero.

Conversely, assume  $FM$  is zero, and take  $m \in M$ . Since  $1 \otimes m$  is zero in  $FM$ , we must have, for some  $k$ s large enough,  $(ad - bc)^k \otimes m = 0$  “on the nose”, i.e. in  $\mathbb{C}[a, b, c, d] \otimes_{\mathcal{O}(SL_2)^N} M$ . Expanding this and using commutation, we obtain:

$$\sum_{i=0}^k \kappa_i a^i b^{k-i} c^{k-i} d^i \otimes m = \sum_{i=0}^k b^{k-i} d^i \otimes \kappa_i a^i c^{k-i} m = 0.$$

1373 Each term of this sum must be zero, and thus each right-hand factor  
 1374 is zero in  $M$ . In particular,  $a^k m$  and  $c^k m$  are zero.  
 1375 Therefore,  $FM$  is zero iff  $a, c$  act locally nilpotently on  $M$ .  $\square$

1376 **5.2.  $\mathbb{G}_m$ -equivariant construction of quantum  $\mathbb{P}^1$ .** In analogy  
 1377 to what we have done, we define  $qCoh(G_q/B_q)$  as the category of left  
 1378  $\mathcal{O}_q(SL_2)$ -modules which are also right  $\mathcal{O}_q(B)$ -comodules such that the  
 1379 module structure  $\mathcal{O}_q(SL_2) \otimes M \rightarrow M$  is a map of  $\mathcal{O}_q(B)$ -comodules.

1380 **THEOREM 5.4.**  $qCoh(G_q/B_q) \cong \text{Proj}(\mathbb{C} \langle x, y \rangle / xy = qyx)$ .

1381

1382 *This is the category of  $\mathbb{Z}$ -graded modules over  $\mathbb{C} \langle x, y \rangle / (xy = qyx)$*   
 1383 *modulo torsion modules, i.e. those on which  $x, y$  act locally nilpotently.*

1384 *For the grading we have  $\deg(x) = \deg(y) = 1$ .*

1385 Before proving this, we define in a similar way  $qCoh(G_q/N_q)$  as  
 1386 the category of left  $\mathcal{O}_q(SL_2)$ -modules which are also right  $\mathcal{O}_q(N)$ -  
 1387 comodules such that  $\mathcal{O}_q(SL_2) \otimes M \rightarrow M$  is a map of  $\mathcal{O}_q(N)$ -comodules.

1388     REMARK 5.5.  $\mathcal{O}_q(N)$  is NOT a Hopf algebra, as in the classical  
1389 case.

Let us recall what are the objects we are working with.  
We have  $\mathcal{O}_q(SL_2) \twoheadrightarrow \mathcal{O}_q(B) \twoheadrightarrow \mathcal{O}_b(T), \mathcal{O}_q(N)$ , where:

$$\mathcal{O}_q(SL_2) \cong \mathbb{C} \langle a, b, c, d \rangle / \text{following relations}$$

$$\begin{aligned} ad - qbc = 1, ab = qba, cd = qdc, ac = qca, bc = cb, bd = qdb, \\ ad - da = (q - q^{-1})bc. \end{aligned}$$

$$\mathcal{O}_q(B) \cong \mathcal{O}_q(SL_2) / \langle c \rangle$$

$$\mathcal{O}_q(T) \cong \mathcal{O}_q(B) / \langle b \rangle$$

$$\mathcal{O}_q(N) \cong \mathcal{O}_q(B) / \mathcal{O}_q(B)(a - 1).$$

1390 Here  $\langle b \rangle$  and  $\langle c \rangle$  denote the Hopf ideals generated by  $b$  and  $c$   
1391 respectively. Note that  $\mathcal{O}_q(SL_2), \mathcal{O}_q(B), \mathcal{O}_q(T)$  are Hopf algebras, and  
1392  $\mathcal{O}_q(N)$  is a coalgebra but fails to be an algebra. This is due to the  
1393 fact that the quantum enveloping algebra  $U_q(N) = \mathbb{C}[E]$  fails to be a  
1394 coalgebra, since it is not closed under coproduct. Indeed,  $E \in U_q(sl_2)$   
1395 satisfies  $\Delta E = E \otimes 1 + K \otimes E$ .

1396

1397 Now to prove the theorem, we need to prove the following fact. Let us  
1398 denote  $\mathbb{A}_q^2 := \mathbb{C} \langle x, y \rangle / (xy = qyx)$ , called the **quantum plane**.

1399     PROPOSITION 5.6.  $qCoh(G_q/N_q) \cong$  category of modules over  $\mathbb{A}_q^2$   
1400 modulo torsion modules.

1401     PROOF. The proof of proposition (5.3) essentially works in this case  
1402 also. We use the same argument to show that  $FM$  is zero iff  $x$  and  $y$   
1403 act locally nilpotently on it. The commutation relations for  $\mathcal{O}_q(SL_2)$   
1404 make the computations messier, but the result still holds.  $\square$

1405     To complete the proof of the theorem, notice that an object of  
1406  $qCoh(G_q/B_q)$  is like an object of  $qCoh(G_q/N_q)$  with an extra structure  
1407 of  $\mathcal{O}_q(T)$ -comodule. However, we know that  $\mathcal{O}_q(T)$  is equal to  $\mathcal{O}(T)$ ,  
1408 namely  $\mathbb{C}[a, a^{-1}]$ . Hence an  $\mathcal{O}_q(T)$ -comodule structure is an  $\mathcal{O}(T)$ -  
1409 comodule structure, which is equivalent to a  $T$ -module structure. Here  
1410  $T$  is just a 1-dimensional torus, so this torus action corresponds to a  
1411  $\mathbb{Z}$ -grading.

**5.3. Quantum differential operators on  $\mathbb{A}_q^2$ .** Recall that the differential operators on  $\mathbb{A}^2$  are given by the 2<sup>nd</sup> Weyl algebra.

$$\text{Diff}(\mathbb{A}^2) = W_2 = \mathbb{C} \langle x, y, \partial_x, \partial_y \rangle / \text{following relations}$$

$$[x, y] = [\partial_x, \partial_y] = [\partial_x, y] = [\partial_y, x] = 0; [\partial_x, x] = [\partial_y, y] = 1.$$

To define a quantum analog, we could try the following naive deformation.

$$W_{2,q} = \mathbb{C} \langle x, y, \partial_x, \partial_y \rangle / \text{following relations}$$

$$xy = qyx, \partial_x \partial_y = q^{-1} \partial_y \partial_x, \partial_x x - qx \partial_x = 1, \partial_y y - qy \partial_y = 1.$$

1412 The problem is that  $U_q(sl_2)$  does NOT embed in this  $W_{2,q}$ , so this is  
 1413 not the deformation we are looking for. Instead we will use another  
 1414 approach to differential operators.

5.3.1. *Differential operators à la Grothendieck.* Starting with a commutative  $\mathbb{C}$ -algebra  $A$ , we define  $\text{Diff}(A) \subset \text{End}_{\mathbb{C}}(A)$  through a filtration  $\text{Diff}_0(A) \subset \text{Diff}_1(A) \subset \dots$ , setting  $\text{Diff}(A) = \bigcup_n \text{Diff}_n(A)$ .

$$\text{Diff}^0(A) = A$$

$$\text{Diff}^{n+1}(A) = \{ \varphi \in \text{End}_{\mathbb{C}}(A) \mid [\varphi, a] \in \text{Diff}^n(A) \forall a \in A \}$$

1415 Here we view  $a \in A$  as the endomorphism  $l_a$  of left-multiplication by  
 1416  $a$ .

EXAMPLE 5.7.  $\text{Diff}(\mathbb{C}[x_1, \dots, x_n]) = W_n$ , the  $n^{\text{th}}$  Weyl algebra, defined as:

$$\mathbb{C} \langle x_1, \dots, x_n, \partial_1, \dots, \partial_n \rangle / [\partial_i, x_i] = 1 \text{ and all other generators commute.}$$

1417 Writing  $A = \mathbb{C}[x_1, \dots, x_n]$ , we first compute  $\text{Diff}^1(A)$ .

1418

1419 Notice that  $\varphi \in \text{End}_{\mathbb{C}}(A)$  is a derivation iff it satisfies  $\varphi(1) = 0$  and  
 1420  $\varphi \in \text{Diff}_1(A)$ . Indeed, a derivation clearly satisfies  $\varphi(1) = 0$ , and among  
 1421 such endomorphisms, the condition of being in  $\text{Diff}^1(A)$  becomes:

$$\begin{aligned} \varphi l_a - l_a \varphi &= l_b \text{ for some } b = l_b(1) \\ \Leftrightarrow \varphi l_a - l_a \varphi &= l_{\varphi(a)} \text{ since } \varphi(1) = 0 \\ \Leftrightarrow \varphi(ax) - a\varphi(x) &= \varphi(a)x, \end{aligned}$$

for all  $x, a \in A$ , that is,  $\varphi$  is a derivation. Thus we have the short exact sequence:

$$0 \longrightarrow \text{Der}(A) \longrightarrow \text{Diff}^1(A) \xrightarrow{\text{ev}_1} A \longrightarrow 0$$

which splits, for example via the embedding  $l : A \hookrightarrow \text{Diff}^1(A)$  of left-multiplication. Thus we have:

$$\text{Diff}_1(A) \cong \text{AopDer}(A).$$

We know that  $\partial_1, \dots, \partial_n$  are derivations, but in fact, any derivation  $d \in \text{Der}(A)$  is generated by these over  $A$ . Namely, we have:

$$d = \sum_{i=1}^n d(x_i)\partial_i.$$

1422 An inductive step allows us to show that  $\text{Diff}^n(A)$  as a left  $A$ -module  
 1423 is generated (freely) by all monomials in  $\partial_1, \dots, \partial_n$  of degree at most  
 1424  $n$ . Taking the union over all  $n$ , we obtain the algebra  $W_n$ . Indeed,  
 1425 the algebra structure is the free structure with the given commutation  
 1426 relations as only relations.

1427 **REMARK 5.8.** The algebra of differential operators over a singular  
 1428 variety can be much more complicated than this.

5.3.2. *Generalization to the quantum case.* We want to use this definition of differential operators to define quantum differential operators over the quantum plane, i.e. on the algebra:

$$A_q := \mathbb{C} \langle x, y \rangle / (xy = qyx).$$

We need to be careful, as the naive application of the definition will not yield what we are looking for. Instead, let us use the fact that  $A_q$  is a  $U_q(\mathfrak{sl}_2)$ -module algebra, i.e. the multiplication map  $\mu : A_q \otimes A_q \rightarrow A_q$  is a map of  $U_q(\mathfrak{sl}_2)$ -modules. Moreover, it is commutative with respect to the  $R$ -matrix, i.e. the following diagram commutes.

$$\begin{array}{ccc} A_q \otimes A_q & \xrightarrow{\mu} & A_q \\ R \downarrow & \nearrow \mu & \\ A_q \otimes A_q & & \end{array}$$

Recall that  $\mathcal{O}_q(SL_2)$  is commutative in the category of  $\mathcal{O}_q \otimes \mathcal{O}_q^{co-op}$ -comodules, with respect to the  $R$ -matrix on  $U_q(\mathfrak{sl}_2)$ , which becomes  $R \otimes R^{-1}$ . If we take the invariants  $\mathcal{O}_q^{N_q} \subset \mathcal{O}_q$ , it is still commutative with respect to  $R \otimes R^{-1}$ . Furthermore,  $R$  is of the form:

$$\sum_n a_n F_n \otimes E_n \circ \tilde{f}.$$

1429 Thus when we apply  $R \otimes R^{-1}$  to  $\mathcal{O}_q^{N_q}$ , the nilpotent part of  $R^{-1}$  acts  
 1430 trivially (only the identity survives), and we are left with  $R \otimes \tilde{f}$ .

1431  
 1432 Define the category of  $\mathbb{Z}$ -graded  $U_q(\mathfrak{sl}_2)$ -modules with an  $R$ -matrix of  
 1433 the form  $R \circ \tilde{f} \otimes (q^{\frac{1}{2}})^{\text{deg}(a)\text{deg}(b)}$ . Note that  $U_q(\mathfrak{sl}_2)$  is already graded by  
 1434 weight, and here we consider an additional grading.

1435 CLAIM 5.9.  $A_q \simeq {}_{op}V_n^*$  is a commutative algebra in this category of  
1436 graded  $U_q(\mathfrak{sl}_2)$ -modules.

Define  $\underline{End}(A_q) \subset \text{End}_{\mathbb{C}}(A_q)$  as all sums of homogeneous endomorphisms (with respect to both gradings). Another way to define this is by looking at:

$$U_q(\mathfrak{sl}_2) \otimes \mathbb{C}[T, T^{-1}].$$

Both factors are Hopf algebras, hence so is their tensor product.  $\text{End}_{\mathbb{C}}(A_q)$  is also a module over this algebra, and  $\underline{End}(A_q)$  consists of the endomorphisms that are semisimple with respect to  $K$  and  $T$ .

Our next goal will be to define a commutator:

$$[\ , \ ]_n : \underline{End}(A_q) \otimes A_q \rightarrow \underline{End}(A_q)$$

1437 and use it to define quantum differential operators  $\text{Diff}_q(A_q)$ . We will  
1438 then compute these, and see that  $U_q(\mathfrak{sl}_2)$  and  $\text{Diff}_q^0(A_q)$  are closely  
1439 related, although not equal in general.

1440

## 6. Quantum $D$ -modules

In this lecture, our goal is to define quantum differential operators. In the classical case, we defined differential operators inductively; for an algebra  $A$ , we defined

$$D_{k+1}(A) = \{\phi \in \text{End}(A) \mid [\phi, L_a] \in D_k(A) \ (\forall a \in A)\}$$

1441 where  $L_a$  denotes left multiplication by  $A$ . We'll give a similar defi-  
1442 nition for the quantum case. However, since tensor products are not  
1443 commutative but are  $R$ -commutative, we will need to define an  $R$ -  
1444 commutator.

1445 To obtain the closest parallels to the classical case, we will need  
1446 to limit which algebras  $A$  we consider. Of course we'll only consider  
1447 integrable modules, but we need another condition too. We'll do this  
1448 by introducing an operator  $T$ , which we should think of as the quantum  
1449 version of the Euler operator  $x\partial_x + y\partial_y$ . We'll only consider algebras  
1450  $A$  on which  $T$  acts similarly to the Euler operator in the classical case;  
1451 this is the condition we need so that everything works out nicely.

Recall that  $U_q(\text{SL}(2))$  has center  $\mathbb{C}[C]$ , where  $C$  is the Casimir

$$C = FE + \frac{Kq + K^{-1}q^{-1}}{(q - q^{-1})^2}$$

1452 Just as in the classical case, the Casimir “separates irreducibles”, in the  
1453 sense that  $C$  acts on  $V(m)$  by multiplication by  $\frac{q^{m+1} + q^{-m-1}}{(q - q^{-1})^2}$ . We intro-  
1454 duce a new formal parameter  $T$ , and define a map  $\mathbb{C}[C] \rightarrow \mathbb{C}[T, T^{-1}]$

1455 taking  $C \mapsto \frac{Tq+T^{-1}q^{-1}}{(q-q^{-1})^2}$ . Of course,  $T$  is not in  $U_q$ , and in general it  
 1456 will not be possible to define an action of  $T$  on a  $U_q$ -module (in a way  
 1457 agreeing with the action of  $C$ ). However, we can extend the action to  
 1458  $T$  for irreducible modules  $V(m)$ :  $T$  simply acts by multiplication by  
 1459  $q^m$ . Thus, we see that  $T$  represents the quantum version of the clas-  
 1460 sical Euler operator  $x\partial_x + y\partial_y$  (which also acts by multiplication on  
 1461 irreducibles).

We want to limit ourselves to the category of algebras which interact nicely with  $T$ . To express this condition, we define the extended algebra

$$\tilde{U}_q = U_q \otimes_{\mathbb{C}(C)} \mathbb{C}[T, T^{-1}]$$

1462 We only want to consider integrable  $U_q$ -algebras which have a  $\tilde{U}_q$ -  
 1463 module structure. Another way of saying this is that we want to con-  
 1464 sider  $U_q$ -modules with a  $\mathbb{Z}$ -grading corresponding to highest weights  
 1465 (that is, a vector  $v$  is graded by the highest weight of the irreducible  
 1466 subrepresentation containing it).

We also need to consider how the  $R$  matrix behaves with respect to the  $T$ -grading. Suppose  $M$  is in an integrable  $U_q$ -representation. As usual, we let  $M_n$  denote the  $n$ th graded piece  $M_n = \{m \in M \mid Km = q^n m\}$ . Recall that for  $v \in M_{n'}, w \in M_{m'}$ , we used the function  $\Theta_{-K}$  defined as

$$\Theta_{-K}(v \otimes w) = q^{-m'n'/2}(v \otimes w)$$

Then our  $R$  matrix is  $R = \sum a_n F^n \otimes E^n \circ \Theta_{-K}$ . We want to shift the emphasis from the weights to our new  $T$ -grading by highest weights instead; so, we define  $\Theta_T$  as follows. Suppose that  $v$  and  $w$  are contained in irreducible subrepresentations  $V(n)$  and  $V(m)$  respectively. Then

$$\Theta_T(v \otimes w) = q^{mn/2}(v \otimes w)$$

1467 We define a new  $R$  matrix which also accounts for the  $T$ -grading:  $\tilde{R} =$   
 1468  $R \circ \Theta_T$ .

Let's look at our fundamental example  $U_q(\mathrm{SL}(2))$ . We want to define the quantum differential operators on  $\mathbb{A}_q^2$ . Comparing to the classical case, we expect that we should examine endomorphisms of

$$\mathcal{O}_q^{N_q} = \mathbb{C} \langle x, y \rangle / (xy = qyx)$$

1469 For ease of notation, we'll denote this algebra by  $A_q$ .

1470 CLAIM 6.1. (1)  $A_q = \oplus V^*(n)$  is a  $\mathbb{Z}$ -graded integrable  $U_q$ -module.  
 1471 (2)  $A_q$  is in fact a  $U_q$ -module algebra, that is, the multiplication  
 1472 map  $A_q \otimes A_q \rightarrow A_q$  is a map of  $U_q$ -modules.



1473 (3)  $A_q$  is commutative with respect to  $\tilde{R}$  (up to powers of  $q$ ). Writ-  
 1474 ing  $R = (R_0 \otimes R_1) \circ \Theta_{-K}$  in our usual summation notation,  
 1475 this means that  $ab = q^c R_0(b)R_1(a)$  for some appropriate power  
 1476  $q^c$  depending on  $a$  and  $b$ .

1477 We already proved 1 and 2, and 3 follows from our  $R$ -matrix com-  
 1478 putations earlier.

1479 Now we analyze  $\text{End}_{\mathbb{C}}(A_q)$ . There is an adjoint action of  $U_q$  on  
 1480  $\text{End}_{\mathbb{C}}(A_q)$ :  $u(f)(a) = u_1 f(Su_2 \cdot a)$ .

1481 When defining differentials, we shouldn't allow every endomorphism;  
 1482 we need to limit ourselves to endomorphisms that work well with the  
 1483  $T$ -grading if we want to mimic the classical situation. Thus, we take  
 1484 our differentials from the inner endomorphisms of  $A_q$  in the category  
 1485 of  $\mathbb{Z}$ -graded integrable  $U_q$ -modules. These endomorphisms don't nec-  
 1486 essarily preserve the grading, but they only change it "finitely". That  
 1487 is, we should be able to write the endomorphism as a finite sum of its  
 1488 graded pieces. We denote this subring by  $\underline{\text{End}}(A_q)$ .

We also need to define a quantum commutator that respects the gradings. Define the auxiliary function  $\epsilon_i : A_q \rightarrow \mathbb{C}$  so that it takes  $v \in V(n)$  to  $\epsilon_i(v) = q^{2in}$ . Also, let  $m : \underline{\text{End}}(A_q) \otimes A_q \rightarrow \underline{\text{End}}(A_q)$  denote the natural multiplication, so  $m(f \otimes r) = f \circ L_r$ . Then, we define  $[\cdot, \cdot]_i : \underline{\text{End}}(A_q) \otimes A_q \rightarrow \underline{\text{End}}(A_q)$  to be

$$[\cdot, \cdot]_i = m - m \circ \tilde{R} \circ \text{flip} \circ (\text{Id} \otimes \epsilon_i)$$

To be absolutely clear, we rewrite this action explicitly. For  $r \in A_q$  and  $f \in \underline{\text{End}}(A_q)$ , define  $\theta_i = \epsilon_i(r)\Theta_T(L_r, f)\Theta_{-K}(L_r, f)$ . So,  $\theta_i$  accounts for all the factors of  $q$  that occur. Then

$$[f, r]_i = f \circ L_r - \theta_i(r, f)L_{R_0(r)}R_1(f)$$

1489 This changes the degrees in the appropriate way. If we did not use this  
 1490 graded commutator, we would have too few differential operators - we  
 1491 would end up with just left multiplication.

LEMMA 6.2. For all  $f, g \in \underline{\text{End}}(A_q)$ , and  $r \in A_q$ , we have

$$[f \circ g, r]_{j+k} = f \circ [g, r]_j + \theta_j(r, g)[f, R_0(r)]_k R_1(g)$$

1492 This lemma follows from the hexagon diagram we discussed earlier.  
 1493 We'll use it to show that differential operators form a ring in the usual  
 1494 way.

Finally, we can define the differential operators inductively. Let  $D_{-1}(A_q) = 0$ , and define

$$D_{k+1}(A_q) = \{\phi \in \underline{\text{End}}(A_q) \mid [\phi, L_a]_k \in D_k(A_q) \ (\forall a \in A_q)\}$$

1495 Note that the commutator changes each step, so that it always has  
 1496 the right grading action. As usual, we let  $D(A_q)$  be the union of the  
 1497  $D_k(A_q)$ . We can start analyzing the differential operators just as in the  
 1498 classical case.

DEFINITION 6.3. Let  $(n)$  denote the quantum quantity  $(q^{2n}-1)/(q^2-1)$ . Define  $\mathbb{C}$ -linear endomorphisms of  $A_q$ :

$$\begin{aligned}\partial_x(y^n x^m) &= q^n(m)y^n x^{m-1} \\ \partial_y(y^n x^m) &= (n)y^{n-1}x^m\end{aligned}$$

1499 The  $q^n$  factor arises from commuting  $x^m$  across  $y^n$ .

1500 The following lemma shows that quantum differential operators be-  
 1501 have just like their classical counterparts.

1502 LEMMA 6.4. (1)  $D(A_q)$  is a ring under composition.  
 1503 (2)  $D_0(A_q) = A_q$   
 1504 (3)  $\partial_x, \partial_y \in D_1(A_q)$   
 1505 (4)  $D(A_q)$  is a free left  $A_q$ -module with basis  $\partial_x^m \partial_y^n$ .

1506 The first part is proven by using the lemma above to show that  
 1507  $D(A_q)$  is fixed under composition. The second part is proven using the  
 1508  $q$ -commutativity of  $A_q$  under the  $\tilde{R}$ -matrix. The third part is proven  
 1509 just as in the classical case, by showing  $[\partial, L_a] = \partial(a)$  for any  $\partial \in D_1$ .  
 1510 The fourth part is also proven just as in the classical case by considering  
 1511 the action on  $A_q$ . It is not hard to come up with explicit generators  
 1512 and relations for  $D(A_q)$  using this lemma. In particular, the following  
 1513 relations are useful to know.

CLAIM 6.5.

$$\begin{aligned}x\partial_x &= K^{-1}T \left( \frac{KT-1}{q^2-1} \right) \\ y\partial_y &= \frac{K^{-1}T-1}{q^2-1} \\ x\partial_y &= K^{-1}TE \\ y\partial_x &= q^{-1}TF\end{aligned}$$

Finally, we want to identify the 0-graded part  $D_0$  of  $D(A_q)$  as a subalgebra inside of  $\tilde{U}$ . Classically, we have that the algebra

$$\mathbb{C} \langle x\partial_y, y\partial_x, x\partial_x - y\partial_y \rangle \subset W$$

1514 is naturally identified with  $U(\mathrm{SL}(2))$ . If we include the Euler operator  
 1515  $T$  so as to contain every degree 0 operator in the Weyl algebra, we get  
 1516  $U(\mathrm{SL}(2))[T]/(C = 2T^2 + T)$ .

Similarly, in the quantum case, we have  $D_0 \subset \tilde{U}_q$ . We can quotient out by the relation  $T = 1$  to find something inside of  $U_q$  - by our above calculation, this subalgebra contains elements corresponding to  $K^{-1}E$ ,  $K^{-1}$ ,  $F$ , but not  $K$  or  $E$ . We can identify this subalgebra precisely as follows. Every Hopf algebra  $H$  has an adjoint action on itself:  $h(u) = h_1 u S(h_2)$ . It's easy to check that  $H$  is a module algebra for the adjoint action. For any  $H$ , we define the locally finite part of  $H$  to be the subalgebra

$$H^{\text{l.f.}} = \{h \in H \mid \dim(H \cdot_{\text{adj}} h) < \infty\}$$

1517 Classically, we have  $U(\mathfrak{g})^{\text{l.f.}} = U(\mathfrak{g})$ . It turns out that in the quantum  
 1518 case  $U_q(\mathfrak{sl}(2))^{\text{l.f.}}$  is the subalgebra of  $U_q$  corresponding to the elements  
 1519 of  $D_0/(T = 1)$ .

1520

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